

Restless ‘rest’: intrinsic sensory hyperactivity and disinhibition in post-traumatic stress disorder

Kevin Clancy,¹ Mingzhou Ding,² Edward Bernat,³ Norman B. Schmidt¹ and Wen Li¹

Post-traumatic stress disorder is characterized by exaggerated threat response, and theoretical accounts to date have focused on impaired threat processing and dysregulated prefrontal-cortex-amygdala circuitry. Nevertheless, evidence is accruing for broad, threat-neutral sensory hyperactivity in post-traumatic stress disorder. As low-level, sensory processing impacts higher-order operations, such sensory anomalies can contribute to widespread dysfunctions, presenting an additional aetiological mechanism for post-traumatic stress disorder. To elucidate a sensory pathology of post-traumatic stress disorder, we examined intrinsic visual cortical activity (based on posterior alpha oscillations) and bottom-up sensory-driven causal connectivity (Granger causality in the alpha band) during a resting state (eyes open) and a passive, serial picture viewing state. Compared to patients with generalized anxiety disorder ($n = 24$) and healthy control subjects ($n = 20$), patients with post-traumatic stress disorder ($n = 25$) demonstrated intrinsic sensory hyperactivity (suppressed posterior alpha power, source-localized to the visual cortex—cuneus and precuneus) and bottom-up inhibition deficits (reduced posterior→frontal Granger causality). As sensory input increased from resting to passive picture viewing, patients with post-traumatic stress disorder failed to demonstrate alpha adaptation, highlighting a rigid, set mode of sensory hyperactivity. Interestingly, patients with post-traumatic stress disorder also showed heightened frontal processing (augmented frontal gamma power, source-localized to the superior frontal gyrus and dorsal cingulate cortex), accompanied by attenuated top-down inhibition (reduced frontal→posterior causality). Importantly, not only did suppressed alpha power and bottom-up causality correlate with heightened frontal gamma power, they also correlated with increased severity of sensory and executive dysfunctions (i.e. hypervigilance and impulse control deficits, respectively). Therefore, sensory aberrations help construct a vicious cycle in post-traumatic stress disorder that is in action even at rest, implicating dysregulated triangular sensory-prefrontal-cortex-amygdala circuitry: intrinsic sensory hyperactivity and disinhibition give rise to frontal overload and disrupt executive control, fuelling and perpetuating post-traumatic stress disorder symptoms. Absent in generalized anxiety disorder, these aberrations highlight a unique sensory pathology of post-traumatic stress disorder (ruling out effects merely reflecting anxious hyperarousal), motivating new interventions targeting sensory processing and the sensory brain in these patients.

1 Department of Psychology, Florida State University, Tallahassee, FL, USA

2 J. Crayton Pruitt Family Department of Biomedical Engineering, University of Florida, Gainesville, FL, USA

3 Department of Psychology, University of Maryland, College Park, MD, USA

Correspondence to: Wen Li,

Department of Psychology, Florida State University, B342 PDB, 1107 W. Call St., Tallahassee, FL 32303, USA

E-mail: wenli@psy.fsu.edu

Keywords: post-traumatic stress disorder (PTSD); sensory hyperactivity; resting state; alpha/gamma oscillations; Granger causality

Abbreviations: GAD = generalized anxiety disorder; M-RS = modified resting state; PTSD = post-traumatic stress disorder; S-RS = standard resting state

Introduction

Post-traumatic stress disorder (PTSD) is a highly debilitating mental illness characterized by hypersensitivity to threat (Daghighi *et al.*, 2001). Nevertheless, unlike most anxiety disorders that are also characterized by hypersensitivity to threat, such as generalized anxiety disorder (GAD) and social anxiety disorder (Mennin *et al.*, 2005; Vassilopoulos, 2005; Garner *et al.*, 2006; Cisler and Koster, 2010; Weinberg and Hajcak, 2011), PTSD exhibits hypersensitivity to a broad range of sensory stimuli beyond trauma-related or threatening cues (Ehlers and Clark, 2000; Hayes *et al.*, 2012). Patients with PTSD often complain about being bothered or feeling ‘flooded’ by everyday stimuli, including background noises that others would not notice (Stewart and White, 2008). Systematic profiling of basic sensory processes demonstrates sensory filtering/gating deficits and sensory hypersensitivity in PTSD (Stewart and White, 2008; Engel-Yeger *et al.*, 2013), while prepulse inhibition of baseline startle response further specifies sensorimotor gating deficiency in PTSD (Grillon *et al.*, 1996). Furthermore, using non-startle, trauma-neutral cues, multiple electrophysiological studies show attenuated repetition suppression in P50 (reflecting impaired sensory gating) and exaggerated sensory evoked brain potentials and mismatch negativity (reflecting sensory hyperactivity) in PTSD (Gillette *et al.*, 1997; Morgan and Grillon, 1999; Neylan *et al.*, 1999; Skinner *et al.*, 1999; Shalev *et al.*, 2000; Lewine *et al.*, 2002; Ghisolfi *et al.*, 2004; Holstein *et al.*, 2010; Ge *et al.*, 2011; Javanbakht *et al.*, 2011; Gjini *et al.*, 2013).

This sensory hyperactivity and deficient sensory gating closely aligns with a defining symptom of PTSD—hypervigilance, namely, excessive and constant ‘scanning’ of the environment for potential threat (American Psychiatric Association, 2013). In fact, sensory anomalies and hypervigilance may stem from the same biological root: chronic, tonic increases of dopaminergic and noradrenergic levels in PTSD are known to suppress sensory gating and heighten postsynaptic activity in the sensory cortex (i.e. increase sensory cortical excitability) (Adler *et al.*, 1988; Aston-Jones *et al.*, 1994; Southwick *et al.*, 1997; Berridge and Waterhouse, 2003; Sherin and Nemeroff, 2011; Baisley *et al.*, 2012), which would in turn sustain hypervigilance in these patients. With heightened sensory cortical excitability combined with deficient sensory gating, excessive sensory feedforward input would propagate to higher-order brain regions, inundating higher-order processing and potentially causing global hyperactivity/disinhibition and widespread dysfunctions. In fact, sensory hyperactivity and gating failure due to dopamine and noradrenaline dysregulation have been well documented in schizophrenia and mania; moreover, such sensory anomalies have been linked to various executive deficits (e.g. attention and working memory), constituting a pathological mechanism for these disorders (Freedman *et al.*, 1987; Adler *et al.*, 1990; Geyer *et al.*,

2001; Thoma *et al.*, 2003; Park *et al.*, 2015). Therefore, it stands to reason that sensory hyperactivity and gating failure may play a similar role in PTSD pathology and thus warrant further research.

Our study aimed to elucidate this sensory pathology of PTSD and its neural pathophysiology using EEG. To isolate the broad, threat-neutral sensory hyperactivity in PTSD from anxiety disorders related to threat-specific hyperactivity (and to rule out effects due to mere anxious hyperarousal), we contrasted patients with PTSD versus patients with GAD, in addition to a healthy control group. Akin to the constant, ongoing sensory scanning and vigilance in PTSD, even in the absence of clear threat, we specifically interrogated intrinsic, ‘resting state’ sensory activity with no task demand. Towards that end, we measured alpha (8–12 Hz) oscillations, a well-established inverse marker of sensory activity (including sensory cortical excitability and sensory gating) such that reduced resting state alpha oscillatory power (at occipitoparietal sites) would index intrinsic sensory hyperactivity (Worden *et al.*, 2000; Shaw, 2003; Palva and Palva, 2007; Bollimunta *et al.*, 2008; Foxe and Snyder, 2011; Klimesch, 2012). In addition, alpha oscillatory activities are particularly critical for long-range rhythmic synchronization mediating inhibitory inter-regional interactions (Engel *et al.*, 2001; Tang *et al.*, 2007; Sadaghiani *et al.*, 2012; Hillebrand *et al.*, 2016; Wang *et al.*, 2016). We thus assayed alpha causal interaction between occipitoparietal and frontal sites using Granger causality (GC) analysis (Geweke, 1982; Ding *et al.*, 2006), thereby elucidating deficient inhibition of sensory propagation (i.e. excessive sensory projection) to the frontal cortex in PTSD. Relatedly, we measured frontal gamma oscillations (30–50 Hz), which increase with local neuronal excitation, to examine frontal, higher-order processing (Fell *et al.*, 2003; Jia and Kohn, 2011) and test the hypothesis of frontal overload due to excessive sensory projection in PTSD. Finally, highlighting the clinical implications of this neural pathophysiology, we interrogated the association between aberrations in these neural activities and dysfunctions in low-order, sensory processing and higher-order, executive control.

Materials and methods

Participants

Outpatients defined by a current primary diagnosis of PTSD ($n = 28$) or GAD ($n = 24$), and healthy control subjects ($n = 23$) with no current or past diagnoses (in the past year) participated in the study after providing written, informed consent approved by the Florida State University Institutional Review Board and the Department of Defense Human Research Protection Official’s Review. Diagnoses were made by trained clinicians using the Structured Clinical Interview for DSM-V (American Psychiatric Association, 2013). Participants were 19–60 years old with no history of severe neurological disorders or traumatic brain injury, no current or past psychotic

spectrum or bipolar disorders, and no current substance dependence or abuse of opioids, stimulants, or cocaine.

Three participants with PTSD and three healthy control subjects were excluded due to excessive EEG artefact or failure to follow instructions, resulting in a final sample of 25 PTSD, 24 GAD and 20 healthy control participants. Among patients with PTSD, one patient did not have standard resting state (S-RS) data, and four patients did not have modified resting state (M-RS) data, and were thus excluded from analyses considering both states simultaneously. The three groups were equivalent in age and gender ratio (P 's > 0.1), but the PTSD group had higher substance use than the other groups (P 's < 0.005). Substance use was thus entered as a covariate in all relevant analyses. Table 1 provides additional demographic information and questionnaire scores for the three groups. Further details are provided in the Supplementary material.

Table 1 Participant demographics

	PTSD	GAD	HC
<i>n</i>	25	24	20
Age (years)	34.6 ± 10.4	30.0 ± 12.5	32.5 ± 13.8
Gender (female/male)	16/9	17/7	8/12
Substance use, % ^a	64 ^{***}	0	15
Medication use, %	40	50	20
DERS-impulse control	15.1 ± 1.1 ^{**}	13.5 ± 1.1	10.5 ± 1.2
PCL total	61.0 ± 16.1 ^{**}	43.2 ± 14.0 ^{**}	30.7 ± 9.0
PCL arousal cluster	18.5 ± 5.2 ^{**}	14.7 ± 4.6 ^{**}	9.2 ± 2.7
PCL-hypervigilance	4.1 ± 1.0 ^{**}	2.4 ± 1.3 [*]	1.7 ± 0.8
BAI	26.2 ± 15.7 [*]	15.8 ± 9.1 [*]	7.0 ± 7.5
STAI-T	54.6 ± 9.5 ^{**}	54.9 ± 6.7 ^{**}	40.3 ± 8.2
BDI	26.8 ± 12.5 ^{**}	22.3 ± 7.5 ^{**}	11.6 ± 8.8
Axis I comorbidity	<i>n</i> (%)	<i>n</i> (%)	
No comorbid diagnoses	4 (16)	10 (42)	
Comorbid diagnoses	21 (84)	14 (58)	
PDD	12 (48)	3 (13)	
SAD	9 (36)	8 (33)	
MDD	5 (20)	3 (13)	
Panic disorder	3 (12)	1 (4)	
Specific phobia	2 (8)	3 (13)	
OCD	2 (8%)	0	
Alcohol use	3 (12)	0	
Substance use	3 (12)	0	
Other ^b	10 (40)	5 (21)	
Mean diagnoses, <i>n</i> (SD)	2.22 (1.47)	1.00 (1.25)	

BAI = Beck Anxiety Inventory; STAI-T = State and Trait Anxiety Inventory – Trait; BDI = Beck Depression Inventory; DERS = Difficulties in Emotion Regulation Scale; PCL = PTSD Checklist; PDD = Persistent Depressive Disorder; SAD = Social Anxiety Disorder; OCD = Obsessive Compulsive Disorder.

Both PTSD and GAD groups showed higher scores on all questionnaires compared to the healthy control group (P 's < 0.05), except for DERS-Impulse Control, which was specifically elevated in PTSD. The PTSD group further showed higher scores on the PCL total, PCL-arousal cluster and PCL-hypervigilance, and the DERS-Impulse control and BAI questionnaires, relative to the GAD group (P 's < 0.05).

^aSubjects with opioid, stimulant and cocaine use were excluded. ^{*} P < 0.05; ^{**} P < 0.01, ^{***} P < 0.005.

^bOther diagnoses refer to diagnoses endorsed by no more than one subject per group, including: binge eating disorder, agoraphobia, hoarding, depressive-not otherwise specified, bulimia, trichotillomania, excoriation, anxiety-not otherwise specified, anorexia nervosa, and other trauma-related disorders.

Questionnaires

PTSD checklist for DSM-IV: civilian version

The PTSD checklist (PCL) (Blanchard *et al.*, 1996) is a widely used measure of PTSD symptom severity across four clusters: intrusion; avoidance; negative alterations in mood and cognition; and hyperarousal. Item 16 assessing symptoms of hypervigilance or 'being watchful or on guard' was used to index hypervigilance. In the present study, internal consistency was high for the total questionnaire (α = 0.95), as well as the individual hyperarousal cluster (α = 0.84).

Difficulties in Emotion Regulation: impulse control subscale

The Difficulties in Emotion Regulation (DERS) (Gratz and Roemer, 2004) is a 36-item measure of emotion dysregulation across six domains. The impulse control subscale is particularly pertinent to the current investigation by tapping into executive inhibition when distressed. The impulse control subscale consists of six items on a 5-point Likert scale (e.g. 'When I am upset, I become out of control'). The DERS and its subscales have strong internal consistency and construct validity within both clinical and non-clinical samples (Gratz and Roemer, 2004).

Other questionnaires included Beck Anxiety Inventory, State-Trait Anxiety Inventory—Trait and Beck Depression Inventory, details of which are provided in the Supplementary material. As indicated in Table 1, there was a main effect of Group for all the questionnaires (F 's > 4.50, P 's < 0.05). PTSD and GAD groups showed higher scores compared to the healthy control group (P 's < 0.05) in all questionnaires, except for DERS-Impulse Control, which was specifically elevated in PTSD (GAD versus healthy controls, P = 0.06). The PTSD group showed further elevations on the Beck Anxiety Inventory, PCL total, PCL-hyperarousal cluster, and PCL-hypervigilance symptom, compared to the GAD group (P 's < 0.005).

Experimental paradigm

Participants were seated in a comfortable recliner in a dimly lit, sound attenuated and electrically shielded room. EEG data were recorded during eyes-open resting state (2 min, eyes fixating on a crosshair on the screen), followed by a session of passive viewing of scenes (5 min; 921 pictures, neutral, positive and negative randomly intermixed; 333 ms/image) (details in the Supplementary material). While the first EEG session assessed intrinsic sensory cortical activity and inter-regional connectivity, the latter session measured (mal)adaptive responses in the context of rich, unceasing sensory input from the environment. For simplicity, we named the first session the standard resting state (S-RS) and the second session the modified resting state (M-RS) as it simulated a real-life resting state in a sensory-rich world.

EEG acquisition and preprocessing

EEG data were recorded from a 96 channel BrainProducts actiCap system with Neuroscan SynAmps RT amplifiers (1000 Hz sampling rate, 0.05–200 Hz online bandpass filter, referenced to the FCz channel). Electro-oculogram (EOG) was recorded using four electrodes with vertical and horizontal bipolar derivations. EEG/EOG data were downsampled to 250 Hz,

high-pass (1 Hz) and notch (60 Hz) filtered. We applied Fully Automated Statistical Thresholding for EEG artefact Rejection (FASTER) algorithm for artefact detection and rejection (Nolan *et al.*, 2010). EEG oscillation powers were computed for individual channels for each epoch (1-s) using the multitaper spectral estimation technique (Thomson, 1982; Mitra and Pesaran, 1999). Alpha (8–12 Hz) and gamma (30–50 Hz) powers (higher gamma frequencies were avoided to prevent microsaccade-related signal contamination) were normalized by the mean power for the global spectrum (1–50 Hz) within each epoch. Alpha powers were extracted from occipitoparietal (right, middle, and left) electrodes, where they were maximally distributed (Palva and Palva, 2007; Foxe and Snyder, 2011; Klimesch, 2012); gamma powers were extracted from frontal sites (of the left and right hemispheres) to reflect frontal, higher-order processing (Fell *et al.*, 2003; Nyhus and Curran, 2010; Jia and Kohn, 2011) (Fig. 1). Lastly, we ascertained the neural sources of alpha and gamma oscillations using Exact Low Resolution Electromagnetic Tomography (eLORETA) based on oscillation powers across the entire scalp electrodes (Pascual-Marqui *et al.*, 2011). Specific technical details are provided in the Supplementary material.

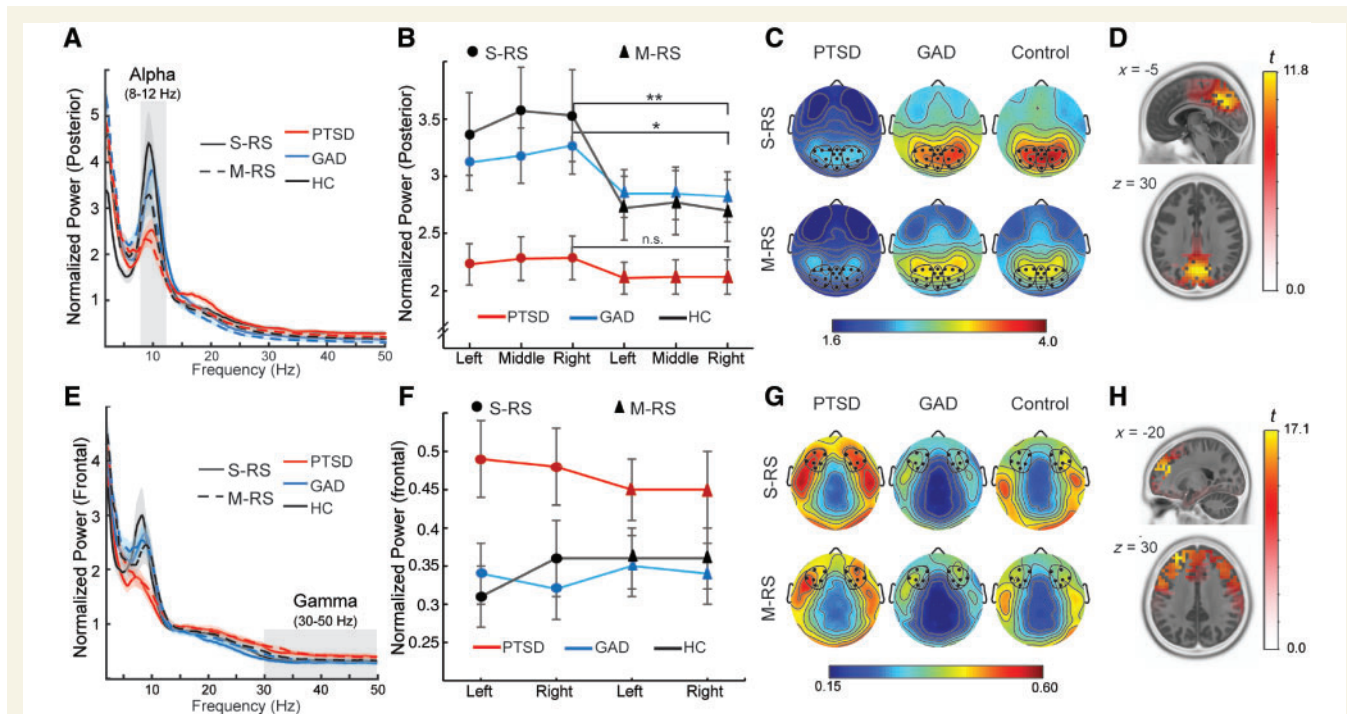
Causal connectivity analyses

Inter-regional interactions are thought to be mediated by long-range synchronized neural oscillations, particularly alpha

oscillations that underpin both higher-level, cognitive inhibition via top-down projections (Engel *et al.*, 2001; Sadaghiani *et al.*, 2012; Wang *et al.*, 2016) and low-level, automatic inhibition via bottom-up projections (Tang *et al.*, 2007; Hillebrand *et al.*, 2016). Therefore, we applied Granger causality analysis (Geweke, 1982; Ding *et al.*, 2006) to assess frontal→posterior alpha Granger causality to index top-down executive inhibition and posterior→frontal Granger causality to index bottom-up sensory inhibition. Following transformation into reference-free, current source density (CSD) data, scalp powers of ipsilateral frontal-posterior pairs were submitted to bivariate autoregressive (AR) modelling, from which Granger causality spectra were derived (Ding *et al.*, 2000, 2006). Further technical details are provided in the Supplementary material.

Statistical analyses

We performed omnibus repeated-measures analyses of variance (rANOVAs; with Greenhouse-Geisser corrections) on alpha and gamma powers and alpha Granger causality parameters. Pearson correlations were examined among these variables to explore their inherent associations. Clinical associations were assessed by correlating these neural activities with low- and high-order dysfunctions (hypervigilance and difficulty in impulse control). Substance use was included as a covariate for all relevant tests. All *P*-values (unless otherwise noted) were two-tailed. Multiple-comparison correction was



performed on all follow-up contrasts using the false discovery rate ($P < 0.05$ FDR). Lastly, Supplementary Table 1 summarizes the results pertinent to the hypotheses.

Results

Oscillation powers

An omnibus rANOVA (State \times Site \times Group) for posterior alpha power confirmed a main effect of state—alpha was reduced in M-RS relative to S-RS [$F(1,61) = 11.88$, $P = 0.001$, $\eta_p^2 = 0.17$], akin to alpha blocking by visual stimulation (Foxe and Snyder, 2011). Importantly, a main effect of group [$F(2,61) = 3.96$, $P = 0.024$, $\eta_p^2 = 0.12$] demonstrated alpha suppression (i.e. sensory hyperactivity) in PTSD (versus GAD/healthy controls, P 's = 0.021/0.012, FDR corrected P 's < 0.05 , d 's = 0.75/0.82) (Fig. 1A–C), which was further qualified by a three-way (State \times Site \times Group) interaction [$F(3.46, 103.89) = 2.81$, $P = 0.036$, $\eta_p^2 = 0.09$]. Follow-up two-way ANOVAs (State \times Group) at left and middle sites confirmed the state and group effects above (P 's < 0.05). While confirming the group effect above [$F(2,60) = 4.04$, $P = 0.023$, $\eta_p^2 = 0.12$], the right posterior site also showed a marginal effect of State \times Group interaction [$F(2,61) = 2.65$, $P = 0.079$, $\eta_p^2 = 0.08$], represented by the absence of state effect in PTSD ($P = 0.288$) in contrast to GAD and healthy controls (P 's < 0.05), suggesting a lack of alpha adaptation switching from S-RS to M-RS. Akin to visual cortical processing, neural sources of alpha power (collapsed across groups and states) were identified in the bilateral dorsal occipital visual cortex (cuneus and precuneus; peak voxel: $-5, -60, 30$; $t = 11.8$, $P < 0.0001$) (Fig. 1D).

An omnibus three-way rANOVA (State \times Site \times Group) for frontal gamma power revealed a marginal effect of group [$F(2,61) = 2.48$, $P = 0.093$, $\eta_p^2 = 0.08$], reflecting greater gamma power in PTSD [versus GAD: $t(42) = 2.16$,

$P = 0.037$, FDR corrected $P < 0.05$ one-tailed, $d = 0.67$; versus healthy control: $t(38) = 1.98$, $P = 0.055$, FDR corrected $P < 0.05$ one-tailed, $d = 0.64$] (Fig. 1E–G). There was a Site \times Group interaction effect [$F(2,60) = 3.07$, $P = 0.054$, $\eta_p^2 = 0.09$], explained by a main effect of site in healthy controls (right $>$ left; $P = 0.034$, $\eta_p^2 = 0.23$) but not the patient groups (PTSD, GAD P 's > 0.21). No other significant effects emerged (P 's > 0.13). Gamma power (collapsed across groups and states) was source-localized to the bilateral superior frontal gyrus and dorsal cingulate cortex (peak voxel: $-25, 45, 30$; $t = 17.1$, $P < 0.00001$), linking heightened gamma activity in PTSD to excessive higher-order processing, even at rest (Fig. 1H).

Causal interactions

An omnibus rANOVA (State, Direction, Hemisphere and Group) for alpha Granger causality (Fig. 2) revealed a main effect of direction [bottom-up/posterior \rightarrow frontal $>$ top-down/frontal \rightarrow posterior alpha Granger causality, $F(1,60) = 68.16$, $P < 0.001$, $\eta_p^2 = 0.53$], confirming the dominance of posterior \rightarrow frontal alpha influence in large-scale resting state network interaction (Tang *et al.*, 2007; Hillebrand *et al.*, 2016). A main effect of state also emerged [S-RS $>$ M-RS, $F(1,60) = 5.62$, $P = 0.021$, $\eta_p^2 = 0.09$], suggesting weakened inhibitory influences with increased visual input. Importantly, a main effect of group [$F(2,60) = 5.21$, $P = 0.008$, $\eta_p^2 = 0.15$] indicated reduced inhibitory influences in PTSD (versus GAD/healthy controls, P 's < 0.01 , FDR corrected P 's < 0.05 , d 's > 0.85). These main effects were qualified by a marginal four-way interaction [$F(2,60) = 2.69$, $P = 0.076$, $\eta_p^2 = 0.08$], which was explicated in follow-up three-way (State \times Direction \times Group) ANOVAs in each hemisphere.

The left hemisphere showed only a significant effect of direction as discussed above [$F(1,60) = 58.55$, $P < 0.001$, $\eta_p^2 = 0.49$]. The right hemisphere exhibited all main effects

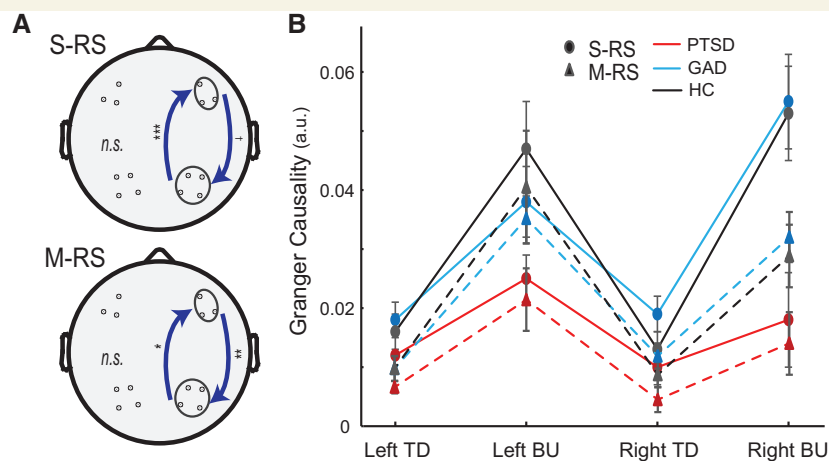


Figure 2 Granger causality. (A) S-RS/M-RS: Reduction in right hemisphere posterior \rightarrow frontal and frontal \rightarrow posterior alpha causality in PTSD (versus GAD/healthy controls). (B) Average Granger causality values for both directions in both hemispheres. BU = bottom-up; TD = top-down. Error bars = SEM. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.005$; † $P < 0.1$.

(Group, State and Direction; P 's < 0.01, $\eta_p^2 > 0.12$), which were qualified by a marginal State \times Direction \times Group interaction [$F(2,60) = 2.64$, $P = 0.08$, $\eta_p^2 = 0.08$]. A Direction \times Group ANOVA for the S-RS indicated a Direction \times Group interaction [$F(2, 64) = 5.24$, $P = 0.008$, $\eta_p^2 = 0.14$]: Granger causality reduction in PTSD (versus GAD/healthy controls) was more salient in the bottom-up/posterior \rightarrow frontal [$F(2,64) = 6.47$, $P = 0.003$, $\eta_p^2 = 0.17$] than top-down/frontal \rightarrow posterior direction [$F(2,64) = 2.62$, $P = 0.080$, $\eta_p^2 = 0.08$]. A similar ANOVA for the M-RS confirmed a main group effect as above [$F(2,61) = 4.73$, $P = 0.012$, $\eta_p^2 = 0.13$] without Group \times Direction interaction ($P = 0.198$). In sum, patients with PTSD exhibited deficits in bidirectional intrinsic inhibition in the right hemisphere.

Power-connectivity correlations

Correlations among alpha/gamma power and alpha Granger causality were then analysed for the entire sample (collapsed across the groups) to elucidate associations among the corresponding processes these indices each reflected, thereby contextualizing the group effects in the interwoven relations of these key processes (Fig. 3A). We focused on the right hemisphere where Granger causality effects were salient. Posterior alpha power positively correlated with bottom-up/posterior \rightarrow frontal Granger causality (S-RS/M-RS: r 's = 0.69/0.80, P 's < 0.001): greater sensory activity was associated with greater bottom-up, sensory projection. Importantly, both posterior alpha power and bottom-up Granger causality negatively correlated with frontal gamma power (S-RS/M-RS: r 's = -0.63/-0.54, P 's < 0.001 and S-RS/M-RS: r 's = -0.31/-0.38, P 's < 0.01, respectively), linking sensory hyperactivity and deficient bottom-up inhibition to excessive frontal activity. Nevertheless, frontal gamma power did not correlate with

top-down/frontal \rightarrow posterior Granger causality (S-RS/M-RS: r 's = 0.08/-0.09, P 's > 0.47), suggesting the disconnection of frontal activity from top-down inhibition. Lastly, top-down/frontal \rightarrow posterior Granger causality positively correlated with posterior alpha power in M-RS ($r = 0.35$, $P = 0.004$) but not S-RS ($r = 0.09$, $P = 0.473$), linking top-down inhibition to sensory activity reduction in the presence of busy visual input.

Clinical association

In support of our prediction, intrinsic posterior alpha power and bottom-up Granger causality (at the S-RS state) were negatively correlated with severity of 'hypervigilance' (PCL-hypervigilance score); namely, sensory hyperactivity and deficient bottom-up inhibition were associated with greater hypervigilance ($r = -0.21$, $P = 0.094$ and $r = -0.28$, $P = 0.019$, respectively) (Fig. 3B). Bottom-up Granger causality also negatively correlated with difficulty in impulse control (DERS-Impulse Control Subscale score), $r = -0.26$, $P = 0.036$, linking deficient bottom-up inhibition to executive dysfunction.

Discussion

Compared with patients with GAD and healthy control subjects, patients with PTSD exhibited broad suppression of posterior alpha activity and bottom-up alpha Granger causality, indicating excessive sensory activity and deficient inhibition of sensory feedforward projections in PTSD. As these neural activities closely coupled with frontal gamma oscillations, patients with PTSD also showed exaggerated frontal gamma activity. While these anomalies appeared at rest with minimal sensory input, reflecting intrinsic neural pathophysiology, they also manifested in a passive image

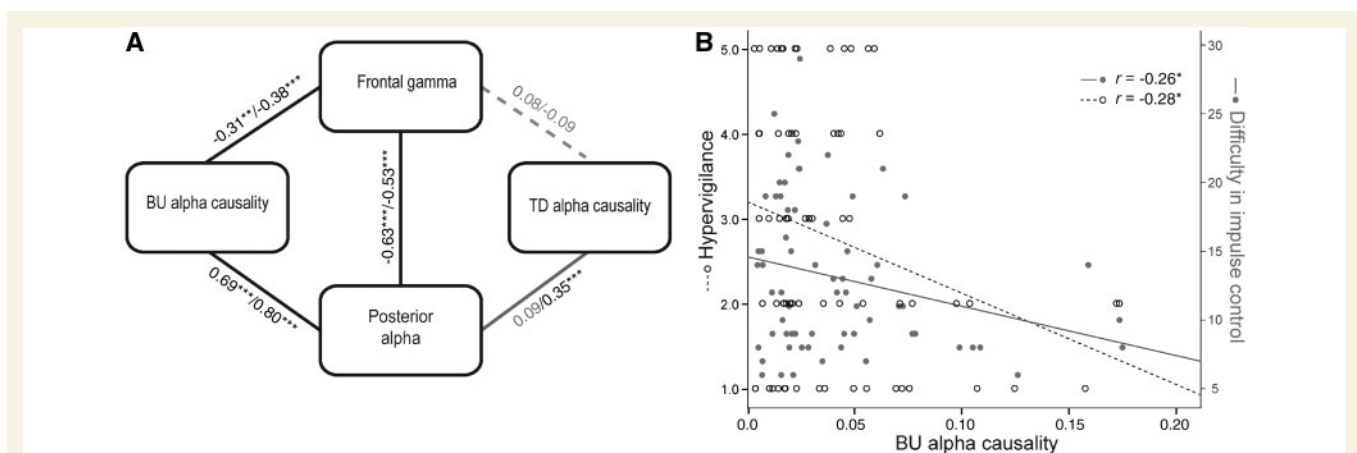


Figure 3 Correlation analyses. (A) Correlations across alpha/gamma powers and Granger causality. Pearson r 's for pairwise correlations in both states (S-RS/M-RS). Solid black lines indicate significant correlations in both states; the solid grey line indicates correlation in M-RS only ($r = 0.35$). Dashed grey lines indicate non-significant correlations in either state. (B) Correlations between bottom-up Granger causality and severity in hypervigilance and difficulty in impulse control (correlations remained significant after co-varying out substance use). BU = bottom-up; TD = top-down. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.005$.

viewing state with a continuous image stream (M-RS), highlighting their impact in a real-life, sensory-rich environment. Moreover, in spite of enhanced frontal gamma activity, patients with PTSD demonstrated diminished top-down alpha Granger causality (i.e. deficient top-down inhibition), especially in the presence of busy visual input at M-RS. Taken together, these findings suggest that sensory hyperactivity combined with deficient inhibition of sensory projection in PTSD could contribute to excessive frontal activation. Indeed, these sensory anomalies (especially bottom-up alpha Granger causality) correlated not only with severity in hypervigilance (reflecting low-order sensory surveillance of the environment) but also impulse control deficits (reflecting higher-order executive regulation). Importantly, that patients with GAD and healthy controls were equivalent in these indices rules out the possibility that these effects were simply due to general anxious hyperarousal. Finally, comparisons between the PTSD group and a traumatized non-PTSD group confirmed these PTSD-related anomalies, ruling out trauma-related confounds (see details in the Supplementary material).

Research (including simultaneous functional MRI-EEG studies) has isolated a negative correlation between human posterior alpha power and visual cortical activity (Laufs *et al.*, 2003, 2006; Bollimunta *et al.*, 2008). In keeping with that, our eLORETA source analysis localized the alpha activity to the dorsal visual cortex (primarily, cuneus and precuneus), which being critical for visual spatial perception, could represent the direct neural underpinning of excessive visual scanning of the environment (i.e. hypervigilance) in PTSD. Notably, intrinsic (S-RS) alpha power in PTSD approximates the alpha power at M-RS (with rich visual input) in GAD and healthy control groups, exemplifying an exaggerated intrinsic (resting state) sensory activity in patients with PTSD as if they were constantly bombarded by busy sensory input. Furthermore, unlike the other two groups, the PTSD group failed to show alpha adaptation (in the right hemisphere that is critical for visuospatial attention) (Corbetta and Shulman, 2011) as visual input increased, highlighting a rigid, set mode of sensory hypervigilance in PTSD. This lack of sensory adaptation can cause not only the frequent complaints of sensory hypersensitivity and distress (Stewart and White, 2008) but also, in the long run, blunt sensory registration, resulting in paradoxical problems of sensory numbing in PTSD (Engel *et al.*, 2001; Stewart and White, 2008). Along this line, somatosensory analogue of posterior alpha oscillations, the mu oscillations, also showed power suppression in patients with PTSD (versus GAD/healthy control groups; see details in the Supplementary material). While accentuating multisensory hyperactivity, this finding raises an intriguing possibility that chronic somatosensory hyperactivity could lead to somatosensory blunting, ironically contributing to analgesia in patients with PTSD.

These sensory anomalies can have impacts beyond the sensory system. Posterior alpha power and bottom-up inhibition were closely correlated with frontal gamma

activity, which, source-localized to the prefrontal cortex, was elevated in PTSD. Our previous finding suggests that stress-induced sensory hyperactivity propagates across the sensory cortical hierarchy to trigger responses in the prefrontal cortex (Krusemark and Li, 2013). Similarly, the excessive sensory projection to the frontal cortex in PTSD could instigate exaggerated frontal neural activity in these patients, even during a resting state. The absence of a significant association between frontal gamma activity and top-down inhibition further emphasized the notion that this frontal activity pertains to passive reaction to sensory overflow as opposed to effective deployment of executive control. In fact, that top-down inhibition was impaired in PTSD relative to GAD and healthy control (based on DERS-Impulse Control Score; Table 1), in spite of enhanced frontal gamma activity, argues that as sensory overflow inundates the frontal cortex and depletes frontal cognitive resources, higher-order executive function is compromised. In keeping with that, the level of suppressed bottom-up sensory inhibition closely coupled with the severity of impulse control deficits.

This impaired executive function would complete a vicious cycle of PTSD pathology by failing to downregulate the overly active sensory brain and prevent it from overloading frontal processing, resulting in widespread symptoms in PTSD. Therefore, current findings of regional brain activity combined with long-range network interactions implicate a vicious cycle of sensory hyperactivity and executive disinhibition in PTSD, pointing to a sensory hypothesis of PTSD: constant, spontaneous sensory hyperactivity leads to frontal overload and cognitive depletion, which breaks down executive control, fuelling and perpetuating PTSD symptoms (Fig. 4). This sensory hypothesis of PTSD expands the current affective conceptualization of PTSD (Dalgleish *et al.*, 2001; Hofmann *et al.*, 2012), which focuses on prefrontal-cortex-amygdala circuit pathology in response to threat; namely, PTSD is characterized by hypoactive ventromedial prefrontal and anterior cingulate cortices and hyper-reactive amygdala in response to threat (Rauch *et al.*, 2006; Etkin and Wager, 2007; Patel *et al.*, 2012). The inclusion of this sensory mechanism would thus form a triangular sensory-prefrontal-cortex-amygdala circuit that is aberrant in PTSD. Moreover, this intrinsic sensory pathology not only poses impacts on a plethora of operations, being low-level and early in the information processing stream, but also represents a tonic, endogenous dysfunction even when the patient is at 'rest'. Such anomalies, spontaneously occurring, could be responsible for the widespread and severe symptomatology in patients with PTSD.

Although both PTSD and GAD groups showed more severe hypervigilance and hyperarousal symptoms than healthy control subjects (P 's < 0.05), the marked neural differences between PTSD and GAD in the current study emphasize a sensory-based pathology that is unique to PTSD, contrasting threat-specific hyperactivity (or general anxious hyperarousal) and potentially limbic-centric

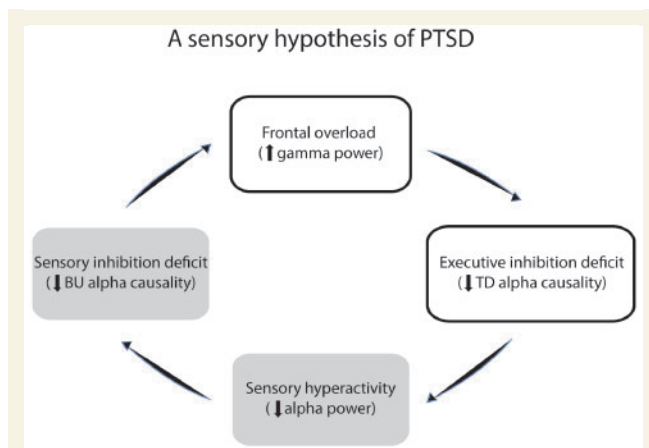


Figure 4 Schematic presentation of a sensory hypothesis of PTSD. A vicious cycle in action during resting state, entailing (i) sensory hyperactivity; (ii) deficient bottom-up (BU), sensory inhibition; (iii) frontal overload (due to sensory overflow); and (iv) deficient top-down (TD), executive inhibition and regulation.

pathology in GAD. While echoing the increasingly recognized distinction between PTSD and anxiety disorders (American Psychiatric Association, 2013), this sensory pathology in PTSD draws an interesting parallel to more severe mental illnesses such as psychosis. Sensory anomalies have gained substantial support as an important pathology in schizophrenia (Geyer *et al.*, 2001; Thoma *et al.*, 2003; Park *et al.*, 2015). In fact, a bottom-up, sensory model of schizophrenia has been proposed, implicating low-level sensory anomalies in the proliferation of brain-wide dysfunctions, including downstream cognitive and executive dysfunctions (Javitt, 2009). Similar to schizophrenia, PTSD is associated with dysregulation of the hypothalamic-pituitary-adrenal and noradrenergic systems (Yehuda, 1998; Seedat *et al.*, 2003). As mentioned earlier, these neurochemical imbalances, especially dysregulated dopamine-adrenergic systems, can directly influence sensory gating and processing (Aston-Jones *et al.*, 1994; Berridge and Waterhouse, 2003; Valentino and Van Bockstaele, 2008), contributing to the sensory anomalies manifested in these patients. Importantly, the discovery of direct neural underpinnings of sensory anomalies and hypervigilance in PTSD—attenuated alpha oscillations and alpha causal connectivity—would inspire novel, mechanism-based PTSD treatment. For instance, by incorporating recent advances in neuromodulation via transcranial magnetic or electrical stimulation, new treatments can directly modulate neural oscillations in the sensory cortex and thus eradicate this sensory root in PTSD pathology.

Funding

This research was supported by the National Institute of Mental Health R01MH093413 (W.L.). The work was also

supported in part by the subaward of the United States Army award W81XWH-10-2-018 (N.S. and E.B.), and does not necessarily represent the views of the Department of Defense, Department of Veterans Affairs, or the United States Government, nor does it constitute or imply endorsement, sponsorship, or favouring of the study design, analysis, or recommendations.

Supplementary material

Supplementary material is available at *Brain* online.

References

- Adler LE, Gerhardt GA, Franks R, Baker N, Nagamoto H, Drebing C, *et al.* Sensory physiology and catecholamines in schizophrenia and mania. *Psychiatry Res* 1990; 31: 297–309.
- Adler LE, Pang K, Gerhardt G, Rose GM. Modulation of the gating of auditory evoked potentials by norepinephrine: pharmacological evidence obtained using a selective neurotoxin. *Biol Psychiatry* 1988; 24: 179–90.
- American Psychiatric Association. Diagnostic and statistical manual of mental disorders. Washington, DC: American Psychiatric Association; 2013.
- Aston-Jones G, Rajkowski J, Kubiak P, Alexinsky T. Locus coeruleus neurons in monkey are selectively activated by attended cues in a vigilance task. *J Neurosci* 1994; 14: 4467–80.
- Baisley SK, Fallace KL, Rajbhandari AK, Bakshi VP. Mutual independence of 5-HT(2) and alpha1 noradrenergic receptors in mediating deficits in sensorimotor gating. *Psychopharmacology* 2012; 220: 465–79.
- Berridge CW, Waterhouse BD. The locus coeruleus-noradrenergic system: modulation of behavioral state and state-dependent cognitive processes. *Brain Res Brain Res Rev* 2003; 42: 33–84.
- Blanchard EB, Jones-Alexander J, Buckley TC, Forneris CA. Psychometric properties of the PTSD Checklist (PCL). *Behav Res Ther* 1996; 34: 669–73.
- Bollimunta A, Chen Y, Schroeder CE, Ding M. Neuronal mechanisms of cortical alpha oscillations in awake-behaving macaques. *J Neurosci* 2008; 28: 9976–88.
- Cisler JM, Koster EH. Mechanisms of attentional biases towards threat in anxiety disorders: an integrative review. *Clin Psychol Rev* 2010; 30: 203–16.
- Corbetta M, Shulman GL. Spatial neglect and attention networks. *Annu Rev Neurosci* 2011; 34: 569–99.
- Dalgleish T, Moradi AR, Taghavi MR, Neshat-Doost HT, Yule W. An experimental investigation of hypervigilance for threat in children and adolescents with post-traumatic stress disorder. *Psychol Med* 2001; 31: 541–7.
- Ding M, Bressler SL, Yang W, Liang H. Short-window spectral analysis of cortical event-related potentials by adaptive multivariate autoregressive modeling: data preprocessing, model validation, and variability assessment. *Biol Cybern* 2000; 83: 35–45.
- Ding M, Chen Y, Bressler SL. Granger causality: basic theory and application to neuroscience. In: Schelter M, Winderhalder M, Timmer J, editors. *Handbook of Time Series Analysis*. Weinheim: Wiley-VCH Verlag; 2006. p. 437–60.
- Ehlers A, Clark DM. A cognitive model of posttraumatic stress disorder. *Behav Res Ther* 2000; 38: 319–45.
- Engel AK, Fries P, Singer W. Dynamic predictions: oscillations and synchrony in top-down processing. *Nat Rev Neurosci* 2001; 2: 704–16.

- Engel-Yeger B, Palgy-Levin D, Lev-Wiesel R. The sensory profile of people with post-traumatic stress symptoms. *Occup Ther Ment Health* 2013; 29: 266–78.
- Etkin A, Wager TD. Functional neuroimaging of anxiety: a meta-analysis of emotional processing in PTSD, social anxiety disorder, and specific phobia. *Am J Psychiatry* 2007; 164: 1476–88.
- Fell J, Fernandez G, Klaver P, Elger CE, Fries P. Is synchronized neuronal gamma activity relevant for selective attention? *Brain Res Brain Res Rev* 2003; 42: 265–72.
- Foxe JJ, Snyder AC. The role of alpha-band brain oscillations as a sensory suppression mechanism during selective attention. *Front Psychol* 2011; 2: 154.
- Freedman R, Adler LE, Gerhardt GA, Waldo M, Baker N, Rose GM, et al. Neurobiological studies of sensory gating in schizophrenia. *Schizophr Bull* 1987; 13: 669–78.
- Garner M, Mogg K, Bradley BP. Orienting and maintenance of gaze to facial expressions in social anxiety. *J Abnorm Psychol* 2006; 115: 760–70.
- Ge Y, Wu J, Sun X, Zhang K. Enhanced mismatch negativity in adolescents with posttraumatic stress disorder (PTSD). *Int J Psychophysiol* 2011; 79: 231–5.
- Geweke J. Measurement of linear dependence and feedback between multiple time series. *J Am Stat Assoc* 1982; 77: 304–13.
- Geyer MA, Krebs-Thomson K, Braff DL, Swerdlow NR. Pharmacological studies of prepulse inhibition models of sensorimotor gating deficits in schizophrenia: a decade in review. *Psychopharmacology* 2001; 156: 117–54.
- Ghisolfi ES, Margis R, Becker J, Zanardo AP, Strim�ter IM, Lara DR. Impaired P50 sensory gating in post-traumatic stress disorder secondary to urban violence. *Int J Psychophysiol* 2004; 51: 209–14.
- Gillette GM, Skinner RD, Rasco LM, Fielstein EM, Davis DH, Pawelak JE, et al. Combat veterans with posttraumatic stress disorder exhibit decreased habituation of the P1 midlatency auditory evoked potential. *Life Sci* 1997; 61: 1421–34.
- Gjini K, Boutros NN, Haddad L, Aikins D, Javanbakht A, Amirsadri A, et al. Evoked potential correlates of post-traumatic stress disorder in refugees with history of exposure to torture. *J Psychiatr Res* 2013; 47: 1492–8.
- Gratz KL, Roemer L. Multidimensional assessment of emotion regulation and dysregulation: development, factor structure, and initial validation of the difficulties in emotion regulation scale. *J Psychopathol Behav* 2004; 26: 41–54.
- Grillon C, Morgan CA, Southwick SM, Davis M, Charney DS. Baseline startle amplitude and prepulse inhibition in Vietnam veterans with posttraumatic stress disorder. *Psychiatry Res* 1996; 64: 169–78.
- Hayes JP, Vanelzakker MB, Shin LM. Emotion and cognition interactions in PTSD: a review of neurocognitive and neuroimaging studies. *Front Integr Neurosci* 2012; 6: 89.
- Hillebrand A, Tewarie P, van Dellen E, Yu M, Carbo EW, Douw L, et al. Direction of information flow in large-scale resting-state networks is frequency-dependent. *Proc Natl Acad Sci USA* 2016; 113: 3867–72.
- Hofmann SG, Ellard KK, Siegle GJ. Neurobiological correlates of cognitions in fear and anxiety: a cognitive-neurobiological information-processing model. *Cogn Emot* 2012; 26: 282–99.
- Holstein DH, Vollenweider FX, Jancke L, Schopper C, Csomor PA. P50 suppression, prepulse inhibition, and startle reactivity in the same patient cohort suffering from posttraumatic stress disorder. *J Affect Disord* 2010; 126: 188–97.
- Javanbakht A, Liberzon I, Amirsadri A, Gjini K, Boutros NN. Event-related potential studies of post-traumatic stress disorder: a critical review and synthesis. *Biol Mood Anxiety Disord* 2011; 1: 5.
- Javitt DC. When doors of perception close: bottom-up models of disrupted cognition in schizophrenia. *Annu Rev Clin Psychol* 2009; 5: 249–75.
- Jia X, Kohn A. Gamma rhythms in the brain. *PLoS Biol* 2011; 9: e1001045.
- Klimesch W. Alpha-band oscillations, attention, and controlled access to stored information. *Trends Cogn Sci* 2012; 16: 606–17.
- Krusemark EA, Li W. From early sensory specialization to later perceptual generalization: dynamic temporal progression in perceiving individual threats. *J Neurosci* 2013; 33: 587–94.
- Laufs H, Holt JL, Elfont R, Krams M, Paul JS, Krakow K, et al. Where the BOLD signal goes when alpha EEG leaves. *Neuroimage* 2006; 31: 1408–18.
- Laufs H, Krakow K, Sterzer P, Eger E, Beyerle A, Salek-Haddadi A, et al. Electroencephalographic signatures of attentional and cognitive default modes in spontaneous brain activity fluctuations at rest. *Proc Natl Acad Sci USA* 2003; 100: 11053–8.
- Lewine JD, Thoma RJ, Provencal SL, Edgar C, Miller GA, Canive JM. Abnormal stimulus-response intensity functions in posttraumatic stress disorder: an electrophysiological investigation. *Am J Psychiatry* 2002; 159: 1689–95.
- Mennin DS, Heimberg RG, Turk CL, Fresco DM. Preliminary evidence for an emotion dysregulation model of generalized anxiety disorder. *Behav Res Ther* 2005; 43: 1281–310.
- Mitra PP, Pesaran B. Analysis of dynamic brain imaging data. *Biophys J* 1999; 76: 691–708.
- Morgan CA III, Grillon C. Abnormal mismatch negativity in women with sexual assault-related posttraumatic stress disorder. *Biol Psychiatry* 1999; 45: 827–32.
- Neylan TC, Fletcher DJ, Lenoci M, McCallin K, Weiss DS, Schoenfeld FB, et al. Sensory gating in chronic posttraumatic stress disorder: reduced auditory P50 suppression in combat veterans. *Biol Psychiatry* 1999; 46: 1656–64.
- Nolan H, Whelan R, Reilly RB. FASTER: Fully Automated Statistical Thresholding for EEG artifact Rejection. *J Neurosci Methods* 2010; 192: 152–62.
- Palva S, Palva JM. New vistas for alpha-frequency band oscillations. *Trends Neurosci* 2007; 30: 150–8.
- Park HRP, Lim VK, Kirk IJ, Waldie KE. P50 sensory gating deficits in schizotypy. *Pers Individ Dif* 2015; 82: 142–7.
- Pascual-Marqui RD, Lehmann D, Koukkou M, Kochi K, Anderer P, Saletu B, et al. Assessing interactions in the brain with exact low-resolution electromagnetic tomography. *Philos Trans A Math Phys Eng Sci* 2011; 369: 3768–84.
- Patel R, Spreng RN, Shin LM, Girard TA. Neurocircuitry models of posttraumatic stress disorder and beyond: a meta-analysis of functional neuroimaging studies. *Neurosci Biobehav Rev* 2012; 36: 2130–42.
- Rauch SL, Shin LM, Phelps EA. Neurocircuitry models of posttraumatic stress disorder and extinction: human neuroimaging research—past, present, and future. *Biol Psychiatry* 2006; 60: 376–82.
- Sadaghiani S, Scheeringa R, Lehongre K, Morillon B, Giraud AL, D’Esposito M, et al. Alpha-band phase synchrony is related to activity in the fronto-parietal adaptive control network. *J Neurosci* 2012; 32: 14305–10.
- Seedat S, Stein MB, Oosthuizen PP, Emsley RA, Stein DJ. Linking posttraumatic stress disorder and psychosis: a look at epidemiology, phenomenology, and treatment. *J Nerv Ment Dis* 2003; 191: 675–81.
- Shalev AY, Peri T, Brandes D, Freedman S, Orr SP, Pitman RK. Auditory startle response in trauma survivors with posttraumatic stress disorder: a prospective study. *Am J Psychiatry* 2000; 157: 255–61.
- Shaw JC. *The brain’s alpha rhythms and the mind*. Amsterdam: Elsevier; 2003.
- Sherin JE, Nemeroff CB. Post-traumatic stress disorder: the neurobiological impact of psychological trauma. *Dialogues Clin Neurosci* 2011; 13: 263–78.
- Skinner RD, Rasco LM, Fitzgerald J, Karson CN, Matthew M, Williams DK, et al. Reduced sensory gating of the P1 potential in rape victims and combat veterans with posttraumatic stress disorder. *Depress Anxiety* 1999; 9: 122–30.

- Southwick SM, Krystal JH, Bremner JD, Morgan CA III, Nicolaou AL, Nagy LM, et al. Noradrenergic and serotonergic function in posttraumatic stress disorder. *Arch Gen Psychiatry* 1997; 54: 749–58.
- Stewart LP, White PM. Sensory filtering phenomenology in PTSD. *Depress Anxiety* 2008; 25: 38–45.
- Tang AC, Sutherland MT, Sun P, Zhang Y, Nakazawa M, Korzekwa A, et al. top-down versus bottom-up processing in the human brain: distinct directional influences revealed by integrating SOBI and Granger causality. In: Davies ME, James CJ, Abdallah SA, Plumbley MD, editors. 7th International Conference on Independent Component Analysis and Signal Separation, ICA 2007, London, UK, September 9–12, 2007 Proceedings. Berlin, Heidelberg: Springer Berlin Heidelberg; 2007. p.802–9.
- Thoma RJ, Hanlon FM, Moses SN, Edgar JC, Huang M, Weisend MP, et al. Lateralization of auditory sensory gating and neuropsychological dysfunction in schizophrenia. *Am J Psychiatry* 2003; 160: 1595–605.
- Thomson DJ. Spectrum estimation and harmonic analysis. *Proc IEEE* 1982; 70: 1055–96.
- Valentino RJ, Van Bockstaele E. Convergent regulation of locus coeruleus activity as an adaptive response to stress. *Eur J Pharmacol* 2008; 583: 194–203.
- Vassilopoulos SP. Social anxiety and the vigilance-avoidance pattern of attentional processing. *Behav Cogn Psychother* 2005; 33: 13–24.
- Wang C, Rajagovindan R, Han SM, Ding M. Top-down control of visual alpha oscillations: sources of control signals and their mechanisms of action. *Front Hum Neurosci* 2016; 10: 15.
- Weinberg A, Hajcak G. Electrocortical evidence for vigilance-avoidance in generalized anxiety disorder. *Psychophysiology* 2011; 48: 842–51.
- Worden MS, Foxe JJ, Wang N, Simpson GV. Anticipatory biasing of visuospatial attention indexed by retinotopically specific alpha-band electroencephalography increases over occipital cortex. *J Neurosci* 2000; 20: RC63.
- Yehuda R. Psychoneuroendocrinology of post-traumatic stress disorder. *Psychiatr Clin North Am* 1998; 21: 359–79.