

# Low rates of nitrogen fixation in eastern tropical South Pacific surface waters

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An extensive region of the Eastern Tropical South Pacific (ETSP) Ocean has surface waters that are nitrate-poor yet phosphate-rich. It has been proposed that this distribution of surface nutrients provides a geochemical niche favorable for N<sub>2</sub> fixation, the primary source of nitrogen to the ocean. Here, we present results from two cruises to the ETSP where rates of N<sub>2</sub> fixation and its contribution to export production were determined with a suite of geochemical and biological measurements. N<sub>2</sub> fixation was only detectable using nitrogen isotopic mass balances at two of six stations, and rates ranged from 0 to 23 μmol N m<sup>-2</sup> d<sup>-1</sup> based on sediment trap fluxes. Whereas the fractional importance of N<sub>2</sub> fixation did not change, the N<sub>2</sub>-fixation rates at these two stations were several-fold higher when scaled to other productivity metrics. Regardless of the choice of productivity metric these N<sub>2</sub>-fixation rates are low compared with other oligotrophic locations, and the nitrogen isotope budgets indicate that N<sub>2</sub> fixation supports no more than 20% of export production regionally. Although euphotic zone-integrated short-term N<sub>2</sub>-fixation rates were higher, up to 100 μmol N m<sup>-2</sup> d<sup>-1</sup>, and detected N<sub>2</sub> fixation at all six stations, studies of nitrogenase gene abundance and expression from the same cruises align with the geochemical data and together indicate that N<sub>2</sub> fixation is a minor source of new nitrogen to surface waters of the ETSP. This finding is consistent with the hypothesis that, despite a relative abundance of phosphate, iron may limit N<sub>2</sub> fixation in the ETSP.

nitrogen fixation | eastern tropical south Pacific | nitrogen budgets | nitrate | nitrogen isotopes

Rates of marine photosynthesis significantly influence the concentration of carbon dioxide in the atmosphere, particularly on glacial–interglacial time scales (1). In broad regions of the low latitude ocean, rates of photosynthesis are thought to be limited by the availability of fixed nitrogen (N), thus restricting the biological sequestration of carbon in the deep ocean (2). The inventory of fixed N in the ocean is regulated by the balance between the principal, biologically mediated N sources and sinks, di-nitrogen (N<sub>2</sub>) fixation, and denitrification. Despite the critical importance of the fixed N inventory in regulating photosynthesis, the spatial distribution of N fluxes to the ocean remain poorly constrained.

For decades, both geochemical and biological analyses (3–6) have pointed to a spatial decoupling of N fluxes to and from the ocean, with the highest rates of N<sub>2</sub> fixation documented in the tropical North Atlantic and the largest N losses concentrated in the Eastern tropical Pacific and Arabian Sea. This spatial decoupling of N inputs and outputs corresponds to a temporal decoupling, requiring the time scale of ocean circulation for N<sub>2</sub> fixation to respond to changes in rates of denitrification and vice versa. Despite this apparent decoupling in the modern ocean, paleoceanographic evidence indicates that N fluxes to and from the ocean have been closely balanced at least over the past 20 kyr (7, 8), although more recent work suggests transient imbalances may have occurred (9). Although N loss in the ocean is largely constrained to the sediments and water column oxygen deficient zones (ODZs) in the Eastern Pacific and Arabian Sea, similar

constraints on the location of N<sub>2</sub>-fixation fluxes to the ocean are lacking and thus the degree to which marine N sources and sinks have been coupled through time remain uncertain.

Both remote sensing (10) and biogeochemical modeling (11) have suggested that the highest rates of N<sub>2</sub> fixation may occur in the surface waters of the Eastern and Central tropical Pacific, which carry the geochemical signatures of N loss occurring in nearby sediments and water column ODZs (5, 6, 11). This spatial coupling of N inputs and losses in the ocean would provide the proximity necessary to allow feedbacks within the N cycle to respond to the changes in the N inventory on the decade- to hundred-year time scales apparently required by the paleoceanographic record (8, 11). Furthermore, these findings are consistent with paleoceanographic evidence for denitrification influencing the location of N<sub>2</sub>-fixation fluxes (12). Nonetheless, these indirect estimates of high N<sub>2</sub>-fixation rates occurring in the Eastern tropical Pacific have remained controversial in part because they challenge the well-documented high iron requirement of the enzyme nitrogenase, which carries out N<sub>2</sub> fixation (13, 14). The surface waters of the Central and Eastern tropical South Pacific (ETSP) receive some of the lowest atmospheric dust fluxes (15), raising the question how the largest global marine N<sub>2</sub>-fixation fluxes (11) could occur in a region where iron sources are scarce. Indeed, previous field studies found N<sub>2</sub>-fixation rates that were at or below detection limits and inferred that iron limits primary productivity in the region (16). Other models of the global distribution of marine N<sub>2</sub>-fixation fluxes emphasize the iron requirements of diazotrophs and shift the bulk of predicted N<sub>2</sub>-fixation

## Significance

We present direct, field-based measurements of low nitrogen fixation rates in the eastern tropical South Pacific (ETSP) Ocean demonstrating that N<sub>2</sub> fixation plays a minor role supporting export production regionally. These results are in contrast to indirect estimates that the highest global rates of N<sub>2</sub> fixation occur in the ETSP. The low N<sub>2</sub>-fixation rates occur in a region with relatively high surface ocean phosphate concentrations (and low nitrate concentrations) but where atmospheric iron deposition rates are diminishingly low. Consequently, these results indicate that the ETSP hosts a minor fraction of global N<sub>2</sub>-fixation fluxes and that low nitrate to phosphate concentration ratios alone are insufficient to support high N<sub>2</sub>-fixation fluxes.

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See Commentary on page 4246.

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**Table 1. Results of the  $\delta^{15}\text{N}$  budgets**

Year and station	$\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$ (‰)	$\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$ (‰)	$f_{\text{Nfix}}$ (%)	$R_{\text{Nfix}}$ $\delta^{15}\text{N}$ budget ( $\mu\text{mol N m}^{-2} \text{d}^{-1}$ )	$R_{\text{Nfix}}$ short-term incub ( $\mu\text{mol N m}^{-2} \text{d}^{-1}$ )	Short-term incubation	
						$f_{\text{Nfix}}$ (%)	$\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$ (‰)
2010							
Station 1	11.5 ± 0.2	11.7 ± 2.1	0 ± 15	0 ± 48	37 ± 17	12	10.0
Station 3	11.1 ± 0.01	13.7	0	0 ± 0	98 ± 129	362	-1
Station 5	9.8 ± 0.9	11.1 ± 0.1	0 ± 0	0 ± 0	51 ± 20	170	-1
2011							
Station 1	11.8 ± 0.1	9.2 ± 1.4	21 ± 10	24 ± 13	23 ± 24	20	9.2
Station 5	10.1 ± 0.0	7.9 ± 2.1	20 ± 19	11 ± 10	86 ± 9	160	-1
Station 13	9.6 ± 0.9	13.2 ± 2.3	0 ± 0	0 ± 0	61 ± 22	39	5.4

Results include  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-member ( $\pm 1$  SD), measured  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  ( $\pm 1$  SD), the calculated fractional contribution of  $\text{N}_2$  fixation to export production ( $f_{\text{Nfix}}$  %) ( $\pm 1$  SD), and  $\text{N}_2$ -fixation rate ( $R_{\text{Nfix}}$   $\delta^{15}\text{N}$  budget;  $\mu\text{mol N m}^{-2} \text{d}^{-1}$ ) ( $\pm 1$  SD), as well as  $\text{N}_2$ -fixation rates from short-term  $^{15}\text{N}_2$  incubations ( $R_{\text{Nfix}}$  short-term incub;  $\mu\text{mol N m}^{-2} \text{d}^{-1}$ ) ( $\pm 1$  SD). The last two columns report the fraction of the  $\text{PN}_{\text{sink}}$  flux that would be supported by  $\text{N}_2$  fixation as well as the  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  that would be expected assuming the short-term  $\text{N}_2$ -fixation rate; see *Discussion* for details.

fluxes to the Southwest Pacific Ocean proximal to larger atmospheric dust sources (17–19).

Given the uncertainty in the spatial distribution of  $\text{N}_2$  fixation in the global ocean, field campaigns making direct measurements of  $\text{N}$  fluxes to the ocean remain a priority, in particular in the expansive ETSP gyre, where few such measurements have been made (20). Here, we present a suite of measurements from the ETSP gyre, collected between 80°W to 100°W along 20°S (Fig. S1), evaluating  $\text{N}_2$  fixation on ~1- to 3-d time scales, including short-term (i.e., 24 h)  $^{15}\text{N}_2$  incubation-based fixation rates.  $\text{N}_2$ -fixation rates and their contribution to export production were also evaluated with a  $\text{N}$  isotope mass balance which compared the isotopic composition of sinking particulate  $\text{N}$  ( $\text{PN}_{\text{sink}}$ ) collected for 35–70 h in floating sediment traps with that of subsurface  $\text{NO}_3^-$ . Together with a parallel analysis of the abundance and expression of the dinitrogenase reductase iron protein encoded by the *NifH* gene from the same cruises (21), as well as measurements of the isotopic composition of  $\text{PN}_{\text{sink}}$  collected over 13 mo in a deep-moored sediment trap (22), these datasets constrain the role of  $\text{N}_2$  fixation in the ETSP gyre.

## Results

**$\text{N}_2$ -Fixation Rates and Their Contribution to Export Production in the ETSP.**  $\text{N}_2$ -fixation rates calculated with geochemical methods track the distinct imprints that diazotrophs leave on water column nutrient stoichiometry and isotopic composition. The approach used here relies on the unique isotopic signature ( $\delta^{15}\text{N} = \{[(^{15}\text{N}/^{14}\text{N})_{\text{sample}} / (^{15}\text{N}/^{14}\text{N})_{\text{reference}}] - 1\} \times 1,000$ , with atmospheric  $\text{N}_2$  as the reference) of diazotrophic biomass [ $\delta^{15}\text{N}_{\text{Nfix}} = -2$ – $0$ ‰ (23, 24)], which is low compared with that of mean ocean nitrate [ $\delta^{15}\text{N}_{\text{NO}_3}$ , ~ $5$ ‰ (25)]. Because the  $\delta^{15}\text{N}$  of the two dominant sources of new  $\text{N}$  to surface waters [subsurface  $\text{NO}_3^-$  + nitrate ( $\text{NO}_2^-$ ) and  $\text{N}_2$  fixation] have distinct  $\delta^{15}\text{N}$  values, the  $\delta^{15}\text{N}$  of these two sources can be used together with the  $\delta^{15}\text{N}$  of  $\text{PN}_{\text{sink}}$  ( $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$ ) to construct a two end-member mixing model ( $\delta^{15}\text{N}$  budget) to estimate the relative importance of each for supporting export production (26–28) (Fig. S2). The fractional importance of  $\text{N}_2$  fixation for supporting export production ( $x$ ) can be expressed as

$$\delta^{15}\text{N}_{\text{PN}_{\text{sink}}} = x(\delta^{15}\text{N}_{\text{Nfix}}) + (1-x)(\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}). \quad [1]$$

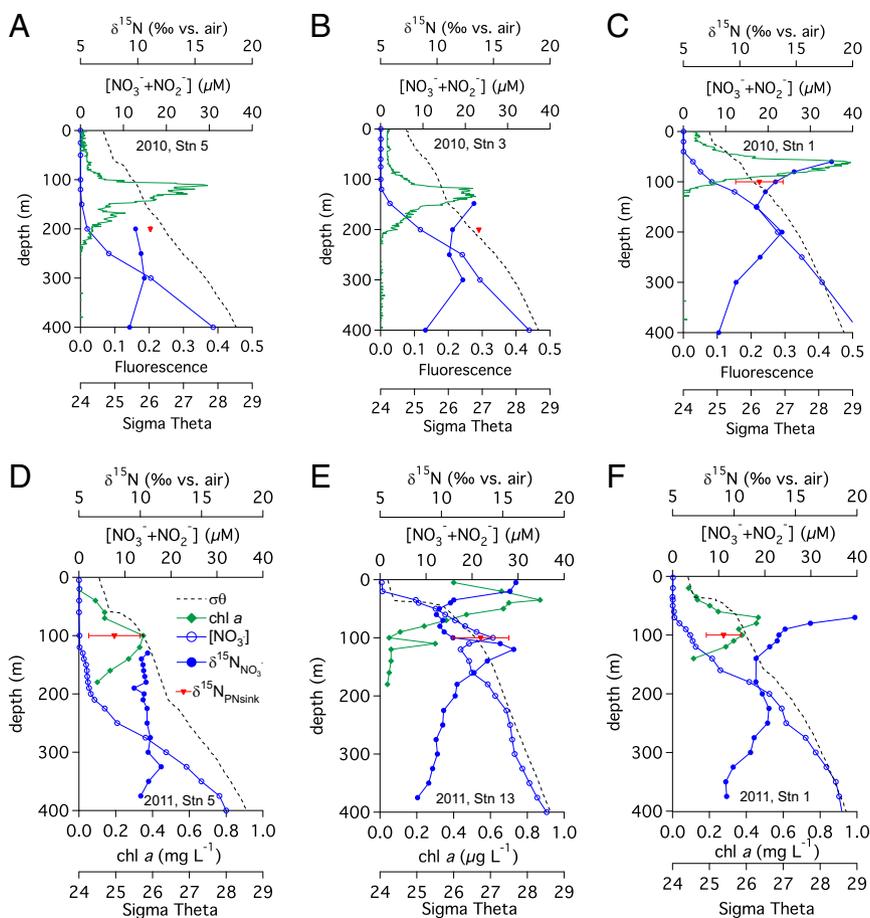
Rearranging and solving for  $x$  yields

$$x = (\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2} - \delta^{15}\text{N}_{\text{PN}_{\text{sink}}}) / (\delta^{15}\text{N}_{\text{Nfix}} + \delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}). \quad [2]$$

Multiplying  $x$  by the  $\text{PN}_{\text{sink}}$  flux provides a time-averaged  $\text{N}_2$ -fixation rate that can be compared with short-term  $\text{N}_2$ -fixation rate measurements.

Floating sediment trap  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  and water column  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  measurements from two cruises to the ETSP (January to February 2010 and March to April 2011) were used to construct the  $\delta^{15}\text{N}$  budgets (Table 1 and Fig. S1). This approach depends on the careful characterization of both the  $\delta^{15}\text{N}_{\text{Nfix}}$  as well as the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-members. The  $\delta^{15}\text{N}_{\text{Nfix}}$  end-member is constrained by field measurements of diazotrophic biomass  $\delta^{15}\text{N}$  that range between approximately  $-2$ ‰ and  $0$ ‰ (23, 24); lower  $\delta^{15}\text{N}_{\text{Nfix}}$  values result in a lesser contribution of  $\text{N}_2$  fixation to export production (Eq. 2). Here, we take  $\delta^{15}\text{N}_{\text{Nfix}} = -1$ ‰. Uncertainty in the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-member largely depends on the degree to which  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  varies with depth in the upper thermocline and whether or not there is unconsumed  $\text{NO}_3^- + \text{NO}_2^-$  in surface waters. At these stations, mixed layer concentrations of  $\text{NO}_3^- + \text{NO}_2^-$  were typically at or below detection limits ( $0.05 \mu\text{M}$ ) (except in 2011 at the more northern Station 13, where the mixed-layer  $\text{NO}_3^- + \text{NO}_2^-$  concentration was between  $0.3$  and  $0.6 \mu\text{M}$ ) and increased rapidly below the base of the euphotic zone (Fig. 1 and Table S1). Effectively complete consumption of surface water  $\text{NO}_3^- + \text{NO}_2^-$  in this region of the ETSP alleviates concerns with the choice of isotope effect used for  $\text{NO}_3^-$  assimilation in  $\delta^{15}\text{N}$  budget calculations (29, 30). Profiles at eastern stations (i.e., Stations 1 and 13) show isotopic features indicative of dissimilatory  $\text{NO}_3^-$  reduction occurring in the nearby ODZs of the ETSP (Fig. 1) (6, 31–33). Additional increases in  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  coincident with the deep chlorophyll *a* maximum (Stations 1, 3, and 13; Fig. 1) indicate that  $\text{NO}_3^-$  assimilation elevated the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  within and immediately below the base of the euphotic zone (34, 35).

Because the ETSP has strong gradients in  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  with depth in the upper thermocline (31, 33), we use water column profiles of density,  $\text{NO}_3^- + \text{NO}_2^-$  concentration, and  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$ , together with fluorescence (2010 cruise) or chlorophyll *a* concentration (2011 cruise), to select the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-member at each station (Fig. 1 and Table S1). The  $\delta^{15}\text{N}$  budgets were evaluated with three choices of the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-member: (i) the deep chlorophyll maximum, (ii) the depth of the floating sediment trap, and (iii) the shallowest  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  minimum (Table S2). The lower concentration and elevated  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  at the base of the deep chlorophyll maximum relative to depths immediately below (Fig. 1) indicates that  $\text{NO}_3^-$  assimilation has affected the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  at this depth and that the source of  $\text{NO}_3^- + \text{NO}_2^-$  to the euphotic zone has a lower  $\delta^{15}\text{N}$ , arguing against this choice for the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-member (36). A similar argument can be made against assigning a  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  source at the depth of the sediment trap. We argue that the shallowest  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  minimum is most representative of the unaltered source of  $\text{NO}_3^- + \text{NO}_2^-$  to the euphotic zone. Similar to the  $\delta^{15}\text{N}_{\text{Nfix}}$  end-member choice, lower



**Fig. 1.** Biogeochemical characterization of the ETSP upper thermocline. Water column profiles of potential density (dashed black line),  $\text{NO}_3^- + \text{NO}_2^-$  concentration (open circles),  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  (filled circles),  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  with error bars ( $\pm 1$  SD) (filled triangles), and CTD fluorescence trace from the 2010 cruise (solid green line) or discrete chlorophyll *a* measurements from the 2011 cruise (filled diamonds) for 2010 Station 5 (100°W, 20°S) (A), 2010 Station 3 (90°W, 20°S) (B), 2010 Station 1 (80°W, 20°S) (C), 2011 Station 5 (100°W, 20°S) (D), 2011 Station 13 (82°W, 15°S) (E), and 2011 Station 1 (80°W, 20°S) (F).

$\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-member values will result in a smaller role for  $\text{N}_2$  fixation in supporting export production (Eq. 2). For comparison, the results of all three approaches are given in Table S2.

On the 2010 cruise, which took place during the austral summer from January to February 2010, the  $\delta^{15}\text{N}$  budgets did not detect  $\text{N}_2$  fixation at any of the three stations (Table 1 and Fig. S1). However, at Station 1, the SD associated with the  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  measurement ( $11.7 \pm 2.1\text{‰}$ ) leads to relatively high uncertainty in the  $\text{N}_2$ -fixation rate,  $0 \pm 48 \mu\text{mol N m}^{-2} \text{d}^{-1}$ , leaving open the possibility that  $\text{N}_2$  fixation may have contributed up to 15% of export production (Table 1). At Station 3, no replicate measurements of the  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  ( $13.7\text{‰}$ ) were made, so no uncertainty in the  $\text{N}_2$ -fixation rate of  $0 \mu\text{mol N m}^{-2} \text{d}^{-1}$  could be estimated. At Station 5, the low SD associated with the  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  measurement ( $11.1 \pm 0.1\text{‰}$ ) corresponds to no range in either the fractional contribution of  $\text{N}_2$  fixation to export production ( $0 \pm 0\%$ ) or the  $\text{N}_2$ -fixation rate ( $0 \pm 0 \mu\text{mol N m}^{-2} \text{d}^{-1}$ ) (Table 1).

In March to April 2011,  $\text{N}_2$  fixation was detected in the  $\delta^{15}\text{N}$  budgets at Stations 1 and 5 (Table 1 and Fig. S1). The  $\delta^{15}\text{N}$  budget at Station 1 gave an  $\text{N}_2$ -fixation rate of  $24 \pm 13 \mu\text{mol N m}^{-2} \text{d}^{-1}$ , supporting  $21 \pm 10\%$  of export production, which appears somewhat high and inconsistent with the lack of molecular evidence for diazotrophs at this station (21). Despite the relatively high SD of duplicate  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  measurements ( $9.2 \pm 1.4\text{‰}$ ), the range of  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  values within the uncertainty are lower than all of the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  shallower than 350 m (Fig. 1

and Table S1), indicating that  $\text{N}_2$  fixation is the likely source of the low- $\delta^{15}\text{N}$  N required to balance the  $\delta^{15}\text{N}$  budget at Station 1. In addition, the  $\delta^{15}\text{N}$  mass balance-based  $\text{N}_2$ -fixation rate is indistinguishable from the short-term  $\text{N}_2$ -fixation rate measured at this station in 2011 (see Discussion below) (Table 1).

The  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  at Station 5 on the 2011 cruise was  $7.9 \pm 2.1\text{‰}$ , lower than the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  throughout the upper 400 m, which is relatively constant with depth compared with other stations (Fig. 1). The  $\delta^{15}\text{N}$  budget at Station 5 yields  $\text{N}_2$ -fixation rates of  $11 \pm 10 \mu\text{mol N m}^{-2} \text{d}^{-1}$ , supporting  $20 \pm 19\%$  of export production, although we note that the very low mass flux ( $0.05 \text{mmol N m}^{-2} \text{d}^{-1}$ ) (Table S3) likely contributes to the large uncertainty in  $\delta^{15}\text{N}$  budget calculations. The  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  at Station 13 was  $13.2 \pm 2.3\text{‰}$ , which is higher than the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  at the shallowest  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  minimum,  $9.6 \pm 0.9\text{‰}$ , and thus implies that  $\text{N}_2$  fixation does not support any export production at this station. However, a higher  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  than the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-member indicates that another  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  end-member may be more appropriate. For example, up to  $16 \pm 13\%$  of export production at Station 13 could be supported by  $\text{N}_2$  fixation if 100% of the  $\text{NO}_3^- + \text{NO}_2^-$ -fueled export production at this station originated between 100 and 150 m, with a maximum  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  of  $15.9\text{‰}$ , and where a secondary peak in chlorophyll *a* was observed (Fig. 1).

**$\text{N}_2$ -Fixation Rates Derived from Productivity Metrics.** In the regions of the ETSP with  $\text{NO}_3^-$ -depleted surface waters, the  $\delta^{15}\text{N}_{\text{PN}_{\text{sink}}}$  flux is more similar to the  $\delta^{15}\text{N}_{\text{NO}_3+\text{NO}_2}$  in the upper thermocline

than to the  $\delta^{15}\text{N}_{\text{Nfix}}$  end-member (Fig. 1), indicating that  $\text{NO}_3^- + \text{NO}_2^-$  is the dominant source of new N fueling export production, consistent with results from other regions with  $\text{NO}_3^-$ -depleted surface waters (26–28). Although the composition of material captured in surface-tethered floating sediment traps is considered representative of the sinking flux, the magnitude of the export flux determined by sediment traps was lower than that recorded by other metrics of new and export production on these two cruises (37), as has been found previously (38). In cases where the  $\delta^{15}\text{N}$  budgets fail to detect  $\text{N}_2$  fixation, multiplying by alternative productivity metrics would not yield positive  $\text{N}_2$ -fixation rates, and we expect that the results of the  $\delta^{15}\text{N}$  budgets that fail to detect  $\text{N}_2$  fixation at four of the six stations are robust (see *Discussion* below). However, when  $\text{N}_2$  fixation is detected in the  $\delta^{15}\text{N}$  budgets, multiplying the fraction of export production supported by  $\text{N}_2$  fixation ( $x$  in Eq. 1) by an underestimate of the export flux may consequently underestimate  $\text{N}_2$ -fixation rates.

To address potential underestimation of  $\text{N}_2$ -fixation rates attributable to undercollection of the export flux by the sediment traps,  $\text{N}_2$ -fixation rates were also calculated using alternative metrics of new production ( $\text{O}_2/\text{Ar}$  ratios,  $^{14}\text{C}$  uptake) and export production ( $^{234}\text{Th}$  deficits) (Table S4). These alternative productivity metrics yielded higher rates of  $\text{N}_2$  fixation, with the highest  $\text{N}_2$ -fixation rates at Station 1 resulting from multiplying the fractional importance of  $\text{N}_2$  fixation by the  $\text{O}_2/\text{Ar}$ -based net community production (NCP) estimate ( $197 \pm 59 \mu\text{mol N m}^{-2} \text{d}^{-1}$ ) and at Station 5 by the  $^{234}\text{Th}$ -deficit estimate of export production ( $100 \pm 30 \mu\text{mol N m}^{-2} \text{d}^{-1}$ ). Multiplying the fractional contribution of  $\text{N}_2$  fixation to export production by these alternate productivity metrics provides a geochemically derived upper bound to regional  $\text{N}_2$ -fixation rates, which, although lower than rates from the tropical North Atlantic (4), are comparable to the intermediate rates observed in the summer in the North Pacific gyre (39). Although this study did not extend to the regions of the Central Pacific, where  $\text{N}_2$  fixation was diagnosed by remote sensing studies (10), the prediction for the favorable surface ocean  $\text{NO}_3^-$  and  $\text{PO}_4^{3-}$  concentrations and stoichiometry in the ETSP gyre to support the highest global  $\text{N}_2$ -fixation fluxes (11) is not supported by  $\delta^{15}\text{N}$  budget calculations.

## Discussion

Despite the  $\delta^{15}\text{N}$  budgets only detecting  $\text{N}_2$  fixation at Stations 1 and 5 on the 2011 cruise,  $\text{N}_2$  fixation was detected by short-term rate measurements at all stations on both cruises (Table 1). In 2011, the short-term  $\text{N}_2$ -fixation rate at Station 1 ( $23 \pm 24 \mu\text{mol N m}^{-2} \text{d}^{-1}$ ) is indistinguishable from the  $\delta^{15}\text{N}$  budget-based rate using sediment trap fluxes ( $24 \pm 13 \mu\text{mol N m}^{-2} \text{d}^{-1}$ ), but lower than the other geochemical  $\text{N}_2$ -fixation flux estimates, which range from 111 to  $197 \mu\text{mol N m}^{-2} \text{d}^{-1}$  (Table S4). At Station 5, the short-term rate ( $86 \pm 9 \mu\text{mol N m}^{-2} \text{d}^{-1}$ ) is higher than all but the  $^{234}\text{Th}$ -based  $\text{N}_2$ -fixation rate estimate,  $100 \pm 30 \mu\text{mol N m}^{-2} \text{d}^{-1}$  (Table S4). To evaluate whether the short-term  $\text{N}_2$ -fixation rates are consistent with the  $\delta^{15}\text{N}$  measurements, we (i) compare short-term rates of  $\text{N}_2$  fixation with the  $\text{PN}_{\text{sink}}$  mass flux (Table S3) to evaluate what fraction of the  $\text{PN}_{\text{sink}}$  flux the short-term rate represents (“ $f_{\text{Nfix}}$ ”) and (ii) calculate what the  $\delta^{15}\text{N}_{\text{PNsink}}$  would need to be for the  $\delta^{15}\text{N}$  budget to match the short-term rates (Table 1).

As described above, the  $\delta^{15}\text{N}$  budget-based  $\text{N}_2$ -fixation rate is indistinguishable from the short-term incubation results at Station 1 in 2011, resulting in a predicted  $\delta^{15}\text{N}_{\text{PNsink}}$  identical to what was measured (Table 1). At Station 13 in 2011, the short-term rates correspond to 39% of the export flux and would have resulted in a  $\delta^{15}\text{N}_{\text{PNsink}}$  of 5.4‰, significantly lower than the measured  $\delta^{15}\text{N}_{\text{PNsink}}$  of  $13.2 \pm 2.3$ ‰ (Table 1). At the stations with lower organic matter fluxes, the short-term  $\text{N}_2$ -fixation rates were several-fold larger than the  $\text{PN}_{\text{sink}}$  fluxes (2010 cruise, Stations 3 and 5, and 2011 cruise Station 5), corresponding to

predicted  $\delta^{15}\text{N}_{\text{PNsink}}$  values of  $-1$ ‰ (Table 1). We note that the discrepancy at Stations 3 and 5 on the 2010 cruise is exacerbated by the collection of the  $\text{PN}_{\text{sink}}$  flux at 200 m; at all other stations, the  $\text{PN}_{\text{sink}}$  flux was collected at 100 m (Table S3). At Station 5 in 2011, we suspect that the low mass flux at this ultraoligotrophic station (16), with the high SD for  $\delta^{15}\text{N}_{\text{PNsink}}$ , contributes to the larger discrepancy between the short-term  $\text{N}_2$ -fixation rate and the  $\text{PN}_{\text{sink}}$  flux. We also note that the calculation of  $f_{\text{Nfix}}$  with the  $\text{PN}_{\text{sink}}$  flux, as opposed to the other metrics of new and export production, represents an upper limit to the fractional importance of  $\text{N}_2$  fixation for supporting export production. However, together, these calculations suggest that the short-term  $\text{N}_2$ -fixation rates should have been apparent in all of the  $\delta^{15}\text{N}$  budgets.

The larger discrepancy between the  $\delta^{15}\text{N}$  budget- and short-term incubation-based  $\text{N}_2$ -fixation rates at stations with lower  $\text{PN}_{\text{sink}}$  fluxes requires consideration of potential explanations. First, the  $\text{N}_2$  fixation measured in the short-term incubations may not have contributed to sinking particles if the diazotrophs did not sink and/or were grazed and not converted to fecal pellets before the sediment traps were recovered. However, this scenario might be expected to correspond to a stronger quantitative PCR-based signal of *NifH* than was observed at these stations (21) (see below). Additionally, analysis of  $\delta^{15}\text{N}_{\text{PNsink}}$  collected at Station 5 in a deep (i.e., 3,660 m), moored sediment trap deployed from February 2010 to March 2011 shows that the  $\delta^{15}\text{N}_{\text{PNsink}}$  does record the input of low- $\delta^{15}\text{N}$  material, and thus  $\text{N}_2$  fixation’s geochemical signature is most pronounced, during the austral summer, when mass fluxes were lowest (22). Moreover, there is no evidence for preferential remineralization of low- $\delta^{15}\text{N}$  material between the surface-tethered and 3,660-m traps (22), suggesting that whatever low- $\delta^{15}\text{N}$   $\text{PN}_{\text{sink}}$  material was produced in surface waters was not rapidly remineralized to low- $\delta^{15}\text{N}$   $\text{NO}_3^-$  in the upper thermocline, as may occur in the subtropical North Pacific (28) and North Atlantic gyres (35), where  $\text{N}_2$ -fixation rates are higher. Finally, euphotic zone DON concentration and  $\delta^{15}\text{N}$  at these stations ( $\sim 4.5$ – $5.0 \mu\text{M}$  and  $5.0 \pm 0.5$ ‰) were consistent with previous measurements from the North Pacific (40) and did not indicate that newly fixed N accumulated in the surface DON pool.

Another potential explanation for the discrepancy between the geochemical and short-term  $\text{N}_2$ -fixation rates comes from recent work documenting the presence of  $^{15}\text{N}$ -labeled N-oxides and/or ammonium ( $\text{NH}_4^+$ ) in several brands of  $^{15}\text{N}_2$ -labeled gas (41), which could lead to an overestimate of  $\text{N}_2$ -fixation rates using  $^{15}\text{N}_2$  tracer techniques, especially when  $\text{N}_2$ -fixation rates are low, as in the ETSP. Short-term  $\text{N}_2$ -fixation rates in this study were determined using two (2010 cruise) or three (2011 cruise) different brands of  $^{15}\text{N}_2$  labeled gas, including those identified as more and less contaminated (41), and showed largely comparable rates and many instances of  $\text{N}_2$ -fixation rates at or near the limit of detection, arguing against this explanation as the cause of the discrepancy. Indeed, other work argues that short-term rates determined with  $^{15}\text{N}_2$  gas may underestimate  $\text{N}_2$ -fixation rates if  $^{15}\text{N}_2$  gas is not properly equilibrated before incubation (42), which, if correct, would exacerbate the difference between short-term and geochemical  $\text{N}_2$ -fixation rate estimates in this study.

The  $\delta^{15}\text{N}$ -budget- and short-term incubation-based  $\text{N}_2$ -fixation rate estimates integrate over 24- to 70-h periods; in the context of the offset between the geochemical and short-term  $\text{N}_2$ -fixation rates, it is useful to consider the results from a study of *NifH* gene expression on these same cruises, which should reflect diazotrophic activity over comparable time scales (21). Although diazotrophs could be detected, their abundance and activity in the ETSP was low compared with studies from other oligotrophic regions (21). Moreover, the heterotrophic diazotrophs that dominated the diazotrophic community in the ETSP gyre typically have significantly lower per-cell  $\text{N}_2$ -fixation rates than the

cyanobacterial diazotrophs common where higher  $N_2$ -fixation rates are found (4, 21, 39), and these low per-cell rates could not be reconciled with previously reported short-term  $N_2$ -fixation rates in the ETSP gyre (21, 43). Thus, the molecular results from these cruises are consistent with the results of the  $\delta^{15}N$  budgets that fail to detect significant rates of  $N_2$  fixation at four of the six stations in the ETSP. The discrepancy between the *NifH* results and the short-term  $N_2$ -fixation rates suggests two possibilities: that there are unrecognized diazotrophs contributing to the short-term  $N_2$ -fixation rate measurements and/or that the short-term rates are artificially high and that actual  $N_2$ -fixation rates are closer to the geochemical estimates, in particular at stations where the  $\delta^{15}N$  budgets did not detect  $N_2$  fixation. If the short-term rates are correct then the geochemical data implies that those rates do not persist for long periods of time, because the  $PN_{\text{sink}}$  flux and  $\delta^{15}N$  required by the short-term rates are not consistent with the  $\delta^{15}N$  budgets (Table 1).

Finally, we expect that the higher proportion of export production supported by  $N_2$  fixation in 2011 compared with 2010 is related to the relative timing of the two cruises, with 2011 sampling later during the austral summer (March to April 2011 vs. January to February 2010). In particular, the results from a deep-moored sediment trap deployed at Station 5 from February 2010 to March 2011 records a minimum in  $\delta^{15}N_{PN_{\text{sink}}}$  between March to May 2010, when organic matter fluxes were also lowest (22), suggesting that the 2010 cruise may have preceded the peak regional significance of  $N_2$  fixation. The deep trap results also indicate that  $N_2$ -fixation rates are unlikely to be significantly higher throughout the rest of the year, consistent with prior observations from adjacent waters sampled during the austral spring (16).

## Summary

Here, we present an investigation of  $N_2$  fixation using biological and geochemical tools to evaluate the quantitative significance of  $N_2$  fixation in the ETSP. Although short-term  $N_2$ -fixation rates integrated over the euphotic zone averaged  $60 \mu\text{mol N m}^{-2} \text{d}^{-1}$ , roughly half the rates measured in the North Pacific subtropical gyre (39), geochemical  $N_2$ -fixation rates, together with a parallel analysis of *NifH*, suggest  $N_2$ -fixation rates are lower still, from 11 to  $24 \mu\text{mol N m}^{-2} \text{d}^{-1}$ , when detected at all, and play a minimal role in supporting export production. When scaled to surface waters in the South Pacific with similar nutrient characteristics (i.e.,  $[\text{NO}_3^- + \text{NO}_2^-] < 1.0 \mu\text{M}$  and  $[\text{PO}_4^{3-}] > 0.1 \mu\text{M}$ ;  $24 \times 10^{12} \text{m}^2$ ), the  $\delta^{15}N$  budget-based  $N_2$ -fixation rate of  $10 \mu\text{mol N m}^{-2} \text{d}^{-1}$  corresponds to an annual flux of  $\sim 1.2 \text{Tg N y}^{-1}$ , whereas an intermediate short-term  $N_2$ -fixation rate (or  $\delta^{15}N$  budget-based rate evaluated with an alternate productivity metric) of  $75 \mu\text{mol N m}^{-2} \text{d}^{-1}$  corresponds to an annual flux of  $9 \text{Tg N y}^{-1}$ . Given that the moored trap  $\delta^{15}N_{PN_{\text{sink}}}$  data indicate that  $N_2$ -fixation fluxes are unlikely to be significantly higher throughout the rest of the year (22),  $9 \text{Tg N y}^{-1}$  can be considered an upper bound for regional  $N_2$ -fixation fluxes. These rates are a small fraction of the  $95 \text{Tg N y}^{-1}$  predicted for the whole Pacific basin from geochemical modeling studies (11), and also challenge the predictions (10, 11) that the highest global  $N_2$ -fixation rates are found in the Central and Eastern tropical South Pacific. This work demonstrates that low  $\text{NO}_3^-$  and relatively high  $\text{PO}_4^{3-}$  concentrations alone are insufficient to support significant  $N_2$ -fixation fluxes, perhaps because of the scarcity of iron. The findings are also consistent with recent suggestions that higher  $N_2$ -fixation rates might be found in the surface waters of the Southwest Pacific (18, 19), which maintains similarly favorable nutrient concentrations and ratios and receives relatively higher dust fluxes (15).

## Materials and Methods

**Water Column Sample Collection.** Samples were collected on the research vessel (RV) Atlantis in January to February 2010, and the RV Melville in March to April 2011 on a zonal transect along  $20^\circ \text{S}$  between  $80^\circ \text{W}$  and  $100^\circ \text{W}$ , with exact station locations and sample depths, nutrient concentrations, and isotopic compositions reported in Table S1. Water column samples were collected by Niskin bottles deployed on a rosette equipped with conductivity temperature depth (CTD), as well as dissolved oxygen Sea-Bird Electronics sensors. All samples were collected into acid-washed, sample-rinsed high-density polyethylene bottles, and samples from the upper 400 m passed a  $0.2\text{-}\mu\text{m}$  filter before collection. All samples were stored at  $-20^\circ \text{C}$  until analysis on land.

**$\text{NO}_3^- + \text{NO}_2^-$  Concentration and Isotopic Composition Analysis.** The concentration of  $\text{NO}_3^- + \text{NO}_2^-$  ( $[\text{NO}_3^- + \text{NO}_2^-]$ ) was determined using chemiluminescent analysis (44) in a configuration with a detection limit of  $0.05 \mu\text{M}$  and  $\pm 0.1 \mu\text{M}$  1 SD. The  $\delta^{15}N_{\text{NO}_3^- + \text{NO}_2^-}$  was determined using the "denitrifier" method (45, 46) with modifications (47) on samples with  $[\text{NO}_3^- + \text{NO}_2^-] > 0.3 \mu\text{M}$  (typically  $\leq 0.2\%$  1 SD).

**Sediment Trap Sample Collection and Analysis.** Sinking particulate material was collected using surface-tethered floating particle-interceptor traps (PIT) (48, 49) equipped with 12 polycarbonate cylinders. Floating sediment traps were deployed at 200 m at Stations 3 and 5 on the 2010 cruise; at all other stations traps were deployed at 100 m. Sediment trap samples were collected into a carbonate buffered brine solution and then split into replicate samples. In 2010, two splits were collected from each sediment trap, one of which was acidified to remove inorganic carbon. In 2011, two sediment traps were deployed at each station, each with an acidified and unacidified split (Table S3). In 2010, filtration through a 1-mm mesh removed "swimmers" from sediment trap material. On the 2011 cruise, swimmers were identified by dissecting microscopy and handpicked from sediment trap samples using sterilized micropipettors and forceps. Mass and isotopic fluxes were determined by combustion analysis of trap samples at the University of California Davis Stable Isotope Facility. The limit of detection for combustion analysis was  $1.5 \mu\text{mol}$  of N. The mass-weighted average  $\delta^{15}N$  of acidified and nonacidified splits of trap material captured in the sediment traps is reported in Table 1 and Table S3.

**$^{15}N_2$ -Incubation Rate Measurements.** Short-term  $N_2$ -fixation rate measurements incubated with  $^{15}N_2$  gas from Sigma Aldrich (lot nos. SZ1670V and MBBB0968V) were carried out in triplicate in acid-washed, sample-rinsed, light-transparent 4-L polycarbonate bottles amended with 1.5 mL of 99 atom%  $^{15}N_2$  and 1.5 mL of 0.5 M  $\text{NaH}^{13}\text{CO}_3$ . A third batch of  $^{15}N_2$  gas (Eurisotop) was used to make short-term rate measurements reported elsewhere (43). Incubations were performed with water collected from four depths within the euphotic zone under simulated in situ conditions of temperature and light and run for staggered periods (e.g., 0, 12, 24, and 48 h). Incubations were terminated by filtration of the 4-L sample onto a precombusted 25-mm Whatman glass fiber filter, which was then analyzed by mass spectrometry at the University of Southern California for  $\delta^{15}N$ .

The  $\delta^{15}N$  of suspended particulate N ( $PN_{\text{susp}}$ ) (Table S1) averaged over the upper water column ( $\sim 150 \text{m}$ ) was used as the time zero  $\delta^{15}N$  for determining the atom % excess for rate calculations. Trapezoidal integrations of discrete  $N_2$ -fixation rate measurements extended down to 150 m, except on the 2010 cruise at Station 3 (180 m) and Station 5 (175 m). Limits of detection were 10–14  $\mu\text{g}$  of N, and reproducibility ( $\pm 1 \text{SD}$ ) was  $\pm 0.3\%$  for  $\delta^{15}N$  and  $\pm 0.1\text{--}0.2 \mu\text{g}$  for mass determinations.

**Productivity Measurements.**  $^{234}\text{Th}$ -based export fluxes were reported elsewhere (37, 50), and rates of  $\text{O}_2/\text{Ar}$ -based NCP (reported in  $\text{mmol C m}^{-2} \text{d}^{-1}$ ) were determined from the stoichiometric equivalent of net oxygen production (NOP) [ $\text{NCP} = \text{NOP}/\text{PQ}$ , where PQ is a photosynthetic quotient ( $\text{O}_2/\text{C}$ ) taken here to have a value of 1.4 (51)]. NOP fluxes were calculated assuming steady-state  $\text{O}_2$  mass balance within the mixed layer:  $\text{NOP} = \Delta\text{O}_{2\text{Bio}}k_v + \text{O}_{2\text{eq}}$  (52, 53), where  $k_v$  is piston velocity (rate of gas exchange, determined as described in ref. 54),  $\text{O}_{2\text{eq}}$  is the concentration of dissolved  $\text{O}_2$  in equilibrium with the atmosphere at the in situ temperature and pressure, and  $\Delta\text{O}_{2\text{Bio}}$  is the biological  $\text{O}_2$  supersaturation, determined as  $\Delta\text{O}_{2\text{Bio}} = \text{O}_2/\text{Ar}_{\text{supersat}} - \left( \frac{\text{O}_2/\text{Ar}_{\text{atm}}}{\text{O}_2/\text{Ar}_{\text{eq}}} - 1 \right)$  to factor out the effect of temperature/salinity variability on  $\text{O}_2$  solubility (52). The effect of bubble injection on dissolved  $\text{O}_2/\text{Ar}$  is typically small enough to be neglected (55). Additional  $\text{O}_2/\text{Ar}$  NCP methodological details are given in SI Materials and Methods. Finally,  $^{14}\text{C}$  uptake and chlorophyll a concentration methods used the Bermuda-Atlantic Time-Series Station protocols (56).

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