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The Sacramental Vision: Mythopoeic Imagination and Ecology in Coleridge, MacDonald, Lewis, and Tolkien

Christopher S. Brawley
THE SACRAMENTAL VISION: MYTHOPOEIC IMAGINATION AND ECOLOGY IN
COLERIDGE, MACDONALD, LEWIS, AND TOLKIEN

By

CHRISTOPHER S. BRAWLEY

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The members of the Committee approve the dissertation of Christopher S. Brawley defended on October 22, 2003.

____________________
Shannon Burkes
Professor Directing Dissertation

____________________
Eric Walker
Outside Committee Member

____________________
Karen Laughlin
Committee Member

The Office of Graduate Studies has verified and approved the above named committee members
To my Mother:

“In my life I saw myself
On the blackened streets of the world.
A Golden Glare caught my eye.
Upon reaching it, I found it to be:
A Penny made of Gold.”

From: “Penny From Heaven”
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ABSTRACT

The purpose of the present project is to isolate and define a prominent characteristic of mythopoeic fantasy, the attempt to reawaken the numinous consciousness, which in the hands of Coleridge, MacDonald, Lewis, and Tolkien serves to provide a revisioning of the human relationship with the natural world. The project will counter two kinds of argument, one by literary critics who view this type of literature as "escapist," bearing no relationship to the world, and one by environmental critics who believe Christianity causes hostility towards "right" relations with the earth. By analyzing specific texts by these authors, who are heavily influenced by Christianity, the project will show that mythopoeic fantasy, if successful, offers the reader a unique religious response to the environment.

The element of the numinous consciousness within the works of the four authors will be discussed specifically in relation to the influential book by Rudolf Otto, The Idea of the Holy. However, in analyzing the common denominator of the numinous consciousness in these mythopoeic works, the present study will further note differences among the authors in their presentation of this religious mode of experience. Chapters two and three will focus on the “inner” quest for the numinous, which involves a transcendence or annihilation of the self in order for a revisioning of the world. Coleridge’s “The Rime of the Ancient Mariner” and George MacDonald’s Phantastes will be analyzed in terms of this transcendence of the self which helps to facilitate the experience of the numinous. Chapters four and five will deal with the numinous as it exists on a more epic scale, as that which is “outer.” In Lewis’s The Last Battle and Tolkien’s The Lord of the Rings, the emphasis will be placed on the transcendence not of the individual self but of the entire world. Although a distinction will be made between the “inner” and “outer” manifestations of the numinous, all four authors employ fantasy as a subversive form of art which allows readers to revise their perceptions of the natural world.
CHAPTER ONE

FANTASY: RECOVERING WHAT WAS LOST

“The whole secret of the study of nature lies in learning how to use one’s eyes”

---George Sand

With the recent popularity of the Harry Potter novels by J.K. Rowling, as well as the cinematic adaptations of Tolkien’s *The Lord of the Rings* and the latest installment of the *Star Wars* saga, it seems that fantasy has resurfaced as a major mode of expression in the popular imagination. Perhaps what is most intriguing about this is that fantasy is not only embraced by a small clique of devotees, nor is it aimed simply at children. The gap between the child and the adult has apparently been bridged by fantasy, and one may find many adults as eager to indulge in the pleasures of it as any child. This validates J.R.R. Tolkien’s familiar evaluation of the genre: “If fairy-story as a kind is worth reading at all it is worthy to be written for and read by adults.”

However, with this admission, certain questions must immediately be addressed: why are children and adults interested in fantasy? Is it a mere form of escapism, or is there something of intellectual value within this mode of expression? What does it do, if anything, for us or to us? And, finally, the deceptively simple question, just what *is* fantasy?

One way of defining fantasy is suggested by Brian Attebery, who states that one must merely point to a bookshelf full of personal favorites, maybe Tolkien, Lewis, Lindsay, Wells, and say, “read this or this. This is fantasy.” However, as this is not a critical definition of a form of literature, it is best to turn to those academicians who have attempted to study what

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fantasy is. Yet any cursory study will show that their methods are just as subjective as pointing to the bookshelf. They will choose some familiar set of criteria and base their definition on these, saying “this is fantasy.” In her critical study entitled *Fantasy and Mimesis*, Kathryn Hume shows that many theories of fantasy suffer from exclusivity, focusing on fantasy as a separate genre identified by elements such as text, audience, author or reader. This isolation of certain elements of fantasy, for Hume, is too limiting. Instead, Hume argues, fantasy must be seen as one of two impulses which inform all fiction. The first impulse is that of mimesis, which Hume states is “felt as the desire to imitate, to describe, events, people, situations, and objects with such verisimilitude that others can share your experience...” The other impulse, fantasy itself, is defined by Hume as “any departure from consensus reality.” It may seem that this definition of fantasy suffers from the opposite of exclusivity, being overly inclusive and allowing for works from the ancient world up to the present day to be considered “fantasy.” It could also be argued that this definition fails to maintain any distinction between the boundaries considered important by critics in the field, such as those between fantasy and science fiction or the horror story. However, because of its very inclusiveness, her definition is the most effective and the most applicable in terms of capturing the full range of fantasy’s potential.

Probably the most useful insight in Hume’s discussion of fantasy is her answer to the question of how fantasy is used. She posits four basic approaches to reality which literature, either mimetic or fantastic, addresses. It will be important for later discussion to briefly outline these approaches. The first approach to reality is the *literature of illusion*. Works in this category attempt to offer an escape from the complexities of life and the overall boredom of the everyday world. Whether they be pastoral texts, such as *Wind in the Willows*, which offers an idyllic Eden free from responsibility, or the adventure story in the *Wizard of Oz*, where the reader is encouraged to identify with the main character, these texts disengage the readers from the ordinary world in order to offer them comfort. According to Hume, these books “offer us roses without thorns and pleasures without payment.”

The next two approaches to reality are related, being two ends of a continuum, the *literature of vision* and the *literature of revision*. While they both aim at engaging the reader,

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the literature of vision attempts to disturb readers by taking them away from a secure sense of reality and positing a new one for them to contemplate. Authors such as Beckett, Kafka, and Vonnegut all employ fantasy to show how reality can be limited. On the other end of the continuum lies the literature of revision. This type of literature takes the vision further by not only offering a vision of a different reality, but comforting the reader with a “plan” or way to actively engage the new reality. According to Hume, “Literature of revision allows people to escape from their culture’s imperfect systems of authority based on reason, and lets them experience other possibilities for ordering experience, whether religious or utopian.”

Often these forms of fantasy are didactic, whether on a human or cosmological level, offering the reader a possible course of action to improve some existing condition. For example, when Hume discusses C.S. Lewis’ *Space Trilogy*, she sees it as a form of cosmic didacticism: Lewis is attempting to revise morality in order to bring it into accord with his Christian cosmological worldview. Of course this is what readers also experience in the world of Narnia, as will be seen in chapter four. Hume says that Lewis “takes theological doctrines which have gone dead for most Westerners, strips them of their immediate connotations and contexts in order to evade our stock responses, and then makes their inner dynamic vivid again, attempting to reimpress us with the wonder of it all.” The emphasis on “reimpressing” and “wonder” will be key themes as to how fantasy functions with respect to the authors discussed in later chapters.

The last approach to reality which Hume discusses is the *literature of disillusion*, which aims at disturbing the reader’s vision of reality and fails to offer any alternative program for revision. This type of literature offers up the disconcerting admission that reality is finally unknowable. Whether these texts employ dream frameworks, drug experiences or psychosis, the visions are always perspectivist in the sense that reality is only a form of subjective interpretation.

The purpose in briefly outlining Hume’s definition of the literary approaches to reality is not just to applaud their accuracy, but also to suggest more precise ways in which the tradition of fantasy authors such as Coleridge, MacDonald, Lewis, and Tolkien may fit in. Based on her

5 Hume, *Fantasy and Mimesis*, 55.
6 Hume, *Fantasy and Mimesis*, 123.
7 Hume, *Fantasy and Mimesis*, 118.
inclusive definition of fantasy as “any departure from consensus reality,” the selections Hume
draws upon are considerable, leaving little engagement with specific texts. Hume does spend
time discussing Lewis, especially the Space Trilogy, in terms of the literature of revision, but
the other authors who are the focus of the present discussion require more careful consideration.
Although The Lord of the Rings is referred to on many occasions, Hume seems to place
Tolkien’s work within the literature of illusion. She suggests his work is a “literature of
desire,” a desire to turn one’s back on the world, to escape it, and to ponder the idyllic beauty of
Middle-Earth as a form of wishful longing. Her contention that these works of illusion are
“crippled myths” and that Tolkien “fans” find life unsatisfactory is to miss the fact that
Tolkien, like Lewis, is rather writing literature of revision, allowing his readers not an escape
from reality but urging a rediscovery of it, especially in its deepest religious form.

This dissertation aims at adopting Hume’s categories of literature but revising her own
application of them by placing the four authors not within a literature of illusion, which offers
readers escape, but within the literature of revision. What these authors are attempting, in both
their fiction and in their criticism of fantasy, is the employment of fantasy as the appropriate
means, in fact the only means, to help readers respond to their world religiously, seeing the
world not as it is, but the world as it was meant to be seen. This literature of revision endows
the reader with a sacramental vision of the world, not only as it exists in the fantasy novel, but
metaphorically as a means of recreating his or her own world once the book has been put down.

In treating certain fantasy authors within the literature of illusion, Hume fails to
acknowledge these authors’ unique visions. In discussing this literature of illusion, Hume states
that since “there is no generally accepted mythology or religion, so our adventure literature
offers a completely secularized ego-centered equivalent to stories that once had transcendent
elements.” I would argue the contrary, that these fantasy authors are mythopoeic, that is, they
are attempting to recreate (mythos=“story”; poenin=“recreate”) a new mythology in order to

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8 Hume, Fantasy and Mimesis, 125.
9 Hume, Fantasy and Mimesis, 117.
10 Hume, Fantasy and Mimesis, 59.
11 Hume, Fantasy and Mimesis, 66.
12 Tolkien, The Tolkien Reader, 77.
13 Hume, Fantasy and Mimesis, 68.
infuse readers with the sense of the transcendent which is no longer accessible, for many people, in religion.

Hume’s contention that the function of literature is to impart a meaning-giving experience is especially appropriate for these mythopoeic authors. Their desire is to offer their readers new perspectives and, most importantly, for these readers to respond with feeling and emotion, both in the created world of fantasy, and in the revisioning of their own world. Hume argues that fantasy creates meaning structures for readers, in much the same way that the historian of religions Mircea Eliade argued that ancient cultures imitated mythic patterns to create reality. For Hume, however, those readers who do not have these structures are “cut off from any experience of the numinous” either inwardly or outwardly. This respect for the transcendent is what is at the heart of the mythopoeic imagination, is in fact what mythopoeic authors hope to implant in their readers, and will be discussed in subsequent chapters. What is important in all these works is the emotional response to them, a response that most of these authors would agree can not really be put into words at all. This seems to be a position with which Hume agrees as well when she says “our sense of meaning is essentially a matter of feeling and emotion---i.e., not rational or objective.”

Further contributions to the present project emerge from the works of Rosemary Jackson. In her text Fantasy: The Literature of Subversion, Jackson draws upon Tzvetan Todorov’s definition of the fantastic as the “absolute hesitation” on the part of the protagonist and the reader in the face of the unexplainable. Making a distinction between the Marvellous, that which has a supernatural explanation, and the Uncanny, that which has a natural explanation, Todorov argues that the fantastic exists in-between these two categories, disturbing the main character as well as the reader as to the true nature of a perceived event. Todorov’s classic example of a text which embodies this “hesitation” is a story by Jaques Cazotte entitled, “Le Diable Amoureux.” In the story, Alvaro is in love with Biondetta who is, in fact, a devil. However, the uncertainty throughout the text experienced by Alvaro as to the true nature of

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14 Hume, Fantasy and Mimesis, 170.
15 Hume, Fantasy and Mimesis, 191.
16 Hume, Fantasy and Mimesis, 172.
17 Hume, Fantasy and Mimesis, 168.
19 Jackson, Fantasy, 28-29.
Biondetta leaves him unsettled, disturbed. As Jackson states, “He is split between a primitive faith in the possibility of supernatural events occurring (Biondetta as Devil) and a deep incredulity that there is anything other than the merely human (Biondetta as woman).” The uncertainty which is experienced by Alvaro, and consequently by the reader, is exactly the “hesitation” which Todorov posits as the key to defining the purely fantastic.

In her definition of the fantastic, Jackson draws on Todorov while employing a model similar to Kathryn Hume’s “impulses.” Jackson’s categories are the mimetic and the marvellous. For Jackson, as well as Hume, the mimetic is the deliberate attempt to imitate something in the “real” world, while the marvellous, or Hume’s “fantasy,” is the creation of an alternative, or secondary, world which has relation to our own only in a metaphorical or symbolic way. Jackson’s argument, however, is that the truly fantastic has no confidence in either the mimetic or marvellous representations of the world; it straddles that thin line between the two, serving only to disturb the protagonist or reader and failing to provide any true comfort in the nature of perceived reality. This is where her influence by Todorov becomes evident. For Jackson, moreover, fantasy is a subversive mode of literature which “traces the unsaid and the unseen of culture: that which has been silenced, made invisible, covered over and made ‘absent.’”

However, what interests us the most in Jackson’s analysis is the category of the marvellous, as it applies to our mythopoeic authors, Coleridge, MacDonald, Lewis, and Tolkien. Although Jackson’s concerns are largely with the fantastic as she understands it, and not with the marvellous, it is worth analyzing her critical comments on the marvellous in the hopes that they will shed light on our particular interests. While Jackson argues that the impulses which inform both the fantastic and the marvellous are similar, they have separate functions. The fantastic, by subverting such unities as character, time, and place, seeks to disturb or unsettle the reader, while the marvellous seeks to comfort the reader. Jackson states that such creations as Middle-Earth or Narnia are compensatory, making up for a lack by presenting some version of an “ideal” world which readers can escape into. These texts, for

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21 Jackson, *Fantasy*, 34.
22 Jackson, *Fantasy*, 35.
24 Jackson, *Fantasy*, 33, 46.
Jackson, are backward-looking, expressing nostalgia for the sacred which cannot be found within the nature of the truly fantastic. Hence, according to her definition, such texts are not fantasy, but belong to her category of the marvellous. The portrayal of the ideal, in the form of the created secondary world, is what these authors use as their tool to investigate the “real” world. The secondary worlds, however, are only indirectly relevant to our own. According to Jackson, “This secondary, duplicated cosmos, is relatively autonomous, relating to the ‘real’ world only through metaphorical reflections and never, or rarely, intruding or interrogating it.” It is this idea which must be challenged.

If mythopoeic authors fulfill Kathryn Hume’s criteria for the literature of revision (a point on which she would not entirely agree), we must now consider Jackson’s contributions to our study. In employing the term “subversive” for fantasies which disrupt unities of character, space, and time, Jackson is accurate; however, I would further use the term for those works she identifies as marvellous, the works by the authors used in the present study. For these authors, their works are meant to be subversive, both in the sense of disturbing or unsettling the reader, and in the sense of engaging the imagination in the created secondary world, so that the “real” world can be transformed as the result of the re-vision initiated in the encounter with the fantasy world.

Jackson, on the contrary, relates the marvellous to a mere form of escapism, a term despised by many of our present authors. She describes these marvellous creations as conservative and states, “These more conservative fantasies simply go along with a desire to cease ‘to be,’ a longing to transcend or escape the human. They avoid the difficulties of confrontation, that tension between the imaginary and the symbolic, which is the crucial, problematic area dramatized in more radical fantasies.”

A desire to escape the world only to be comforted in a fantasy landscape is not at the core of the mythopoeic imagination. What concerns the present authors is the desire to use fantastic elements subversively to reorganize and recombine normative modes of perception in order to revision the world in a more sacramental way. As C.S. Lewis states, in this type of

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26 Jackson, *Fantasy*, 42.
27 Jackson, *Fantasy*, 156.
fantasy, “We do not retreat from reality, we rediscover it.” Thus, the present project will show how the fundamental idea in Jackson’s book, that a “departure from consensus reality” is subversive, applies to the mythopoeic authors at hand, even though she would argue differently.

These mythopoeic authors achieve a sense of rediscovered reality through a subversion of ordinary modes of perception. In Jackson’s book, she adopts Freud’s idea that fantasy relates to an earlier, magical or animistic pattern of thought where distinctions between self and other are blurred or break down. She states that in fantasies this same process occurs: “Generic distinctions between animal, vegetable, and mineral are blurred in fantasy’s attempt to ‘turn over’ ‘normal’ perceptions and undermine ‘realistic’ ways of seeing.” I would argue that Jackson’s arguments are accurate, and that they not only apply to her limited definition of fantasy, but that they are a major concern also of authors within her category of the marvellous, whom this discussion has called mythopoeic. For example, in Tolkien’s *The Lord of the Rings*, the beings known as Ents are trees which have the same abilities as humans; they can walk, talk, think, and act. However, the act of the imagination, the act which combines both the properties of the human and the tree within the story, is truly subversive. The image of the Ents, and their subsequent actions, are not meant as escapes from reality, but vehicles for the imagination to rediscover trees in the “real” world, to remove from them what Lewis and Tolkien refer to as the “drab blur of triteness or familiarity” so that they can be seen anew, as living beings. This involves what Tolkien referred to as one of the three major functions of fantasy, “recovery.”

In Tolkien’s 1947 essay “On Fairy-Stories,” he discusses four major functions of Faerie: Fantasy, Recovery, Escape and Consolation. As a subsequent chapter will elaborate further upon these functions, the present concern will be to outline, however briefly, the chief function of fantasy according to Tolkien as it relates to our present thesis that mythopoeic writing is a literature of revision, concerning itself with the subversion of “normal” modes of perceiving in order to replace them with a sacramental vision. The main contribution of Tolkien within this specific thesis is his concept of “recovery.”

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28 Jackson, *Fantasy*, 90.
29 Jackson, *Fantasy*, 49.
An elaboration upon a previous example may be the most effective means of understanding what Tolkien had in mind in terms of “recovery.” Tolkien’s creation of the Ents in *The Lord of the Rings* is a result of the imagination’s ability to fuse two separate categories, the tree and the human, into one literary construction, the Ent. According to Tolkien, this is the function of the sub-creative art, which is a human imitation of the original act of creation by the divine power. Such creation requires an act of subversion, of reordering our normal modes of perception. The result of this reordering of reality Tolkien terms “Enchantment.” So the question must be asked, what is the value in this literary subversion? Merely to entertain? To help us escape the world around us? On the contrary, at the center of this activity is the more self-aware engagement in the “real” world through which comes a rediscovery or a “recovery” of its divine nature. As Lewis argued, in these fantastic creations we are not retreating from reality but rediscovering it.

As a philologist, Tolkien was well aware of language’s ability not only to express ideas about our world, but also to superimpose abstractions onto concrete reality. For example, we have the word “tree,” and an abstract conception of it, so that whenever the word is mentioned, a preconceived notion appears in the mind. While language’s importance as vital for us to communicate and understand our world should not be denied, one should be wary of its portrayal of reality. The negative function of language is that it allows for the “appropriation” of the world, a sense that reality is somehow “known,” when the necessary abstraction of language makes it over-familiar. Since trees are all around, and we have a word and a conception of them, we risk losing the individuality of each tree, that sense of childlike wonder that is closely allied to the religious imagination. The “veil of familiarity” has been placed before our eyes, and we cannot see the world in its truest, most deeply religious, sense. Referring to this act of appropriating reality, Tolkien states, “They have become like the things which once attracted us by the glitter, or their colour, or their shape, and we laid hands on them, and then locked them in our hoard, acquired them, and acquiring, ceased to look at them.”

Mythopoeic fantasy allows us to regain a clear view of the sacramental nature of the world. Recovery, for Tolkien, is seeing the world not as it seems to be, with our appropriations,

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33 Tolkien, *The Tolkien Reader*, 73.
34 Tolkien, *The Tolkien Reader*, 77.
but the world as it was meant to be seen and truly is, specifically within the nature of the sacramental vision. Fantasy helps to lift that “veil of familiarity” and allows us to “clean our windows” from what Tolkien calls “the drab blur of triteness or familiarity.” I would argue that this concept of “recovery” (Hume’s “revision” and Jackson’s “subversion”) is vital to the understanding of the mythopoeic authors discussed in the present work. In their various books, these authors are employing the imagination in an attempt to provide the reader with a vehicle for perceiving a sacramental vision of the world. As Tolkien states, “we should look at green again, and be startled anew (but not blinded) by blue and yellow and red.” This revisioning of the world by religious means is the defining element of mythopoeic fantasy. Thus Tolkien’s Ents are not meant for mere entertainment or to comfort the reader by providing an escape from the world of responsibility. Instead, these creations are “meditations” on the natural world, so that once the fantasy is finished, trees are viewed (recovered, revisioned, subverted) in their divine originality. This is Tolkien’s central contention, that by producing a piece of fantasy, and by extension reading that piece of fantasy, one participates in the human engagement with creation itself. One becomes, in essence, a Sub-Creator. Once that process is realized, according to Tolkien, fantasy exhibits its most effective quality:

In making something new, fantasy may open your hoard and let all the locked things fly away like cage-birds. The gems all turn into flowers or flames, and you will be warned that all you had (or knew) was dangerous and potent, not really effectively chained, free and wild; no more yours than they were you.

In this respect, mythopoeic fantasy is a vehicle for recovering the divine nature of the world. It is no coincidence that the authors dealt with in this project were deeply religious, if in a variety of ways, some non-conformist, and their fantasies were attempts at fresh visions, so that if one were not to achieve any religious sensibility through traditional biblical texts, one could encounter this sensibility within the created secondary world.

Although Tolkien’s elaboration on “recovery” is a major contribution to our present study, the idea is not new. Stephen Prickett, in his book Victorian Fantasy, points to a shift in

35 Tolkien, The Tolkien Reader, 77.
36 Tolkien, The Tolkien Reader, 77.
37 Tolkien, The Tolkien Reader, 77.
38 Tolkien, The Tolkien Reader, 78.
religious sensibility as occurring in Victorian England by 1825. Before 1825, anything associated with the imagination, such as the words fancy or fantasy, was discussed with a semi-contemptuous attitude. Products of the imagination were relegated to the confines of the lunatic asylum, and one spoke of fantasy with a sense of derogation. “Healthy” minds were content with more mimetic modes of representation, often in the form of overt didacticism. However, by 1825, Prickett argues that a major shift in sensibility had occurred relating to fantasy, and Samuel Taylor Coleridge was a “barometer” of this change. The imagination was regarded more seriously, as a reflection of God’s creative power on a human, artistic level. Thus, for Prickett, what becomes a “loose designation” of the term Romanticism signifies, in fact, this shift in the importance of the imagination brought about at least in part by Coleridge. By the mid 1850’s, fantasy became more free and flexible and, for Prickett, this positive valuation of fantasy is what we have inherited. Seeming to echo Tolkien’s concept of recovery, Prickett states that “Fantasy has helped us to evolve new languages for new kinds of human experience; it has pointed the way towards new kinds of thinking and feeling.”

It has been noted that, for Tolkien, what is recovered in fantasy is a new way of perceiving reality, a way for readers to experience what Tolkien terms “Enchantment.” Other critics have pointed to this same element in defining fantasy, choosing instead the term “wonder.” In Modern Fantasy, critic Colin Manlove defines fantasy as “a fiction evoking wonder and containing a substantial and irreducible element of the supernatural with which the mortal characters in the story or the readers become on at least partly familiar terms.” He discusses further this element of “wonder” as the central element in fantasy, and one which may incorporate anything from a simple astonishment at the created world to a profound sense of the transcendent, that same defining element which informs the fantasies of our particular authors.

Whether one calls it enchantment or wonder, what these mythopoeic authors are concerned with is a certain religious “feeling” for the world, a feeling for which fantasy is only

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40 Prickett, Victorian Fantasy, 2.
41 Prickett, Victorian Fantasy, 2.
42 Prickett, Victorian Fantasy, xvi.
44 Manlove, Modern Fantasy, 7.
the vehicle. In *The Fantasy Tradition*, Brian Attebery defines the sense of newness as “making the impossible seem familiar and the familiar new and strange.” He argues that this sense of wonder is the defining element of all successful fantasy. Although Attebery’s study is primarily concerned with the tradition of American fantasy, nonetheless his comments are equally applicable to our British authors. It is interesting that, while referring to this concept of newness or strangeness as evidenced in Tolkien’s *The Lord of the Rings*, Attebery refers to an “experience” and terms it “extraliterary.” By this he means that the “feeling” of wonder which one receives through reading fantasy is not contained within the text itself but is a result of the act of imagination in which the reader participates. Concerning this point Attebery states, “It is because of some movement within one’s mind, called up by the written or spoken words but not contained within them. The experience is extraliterary because it depends on the needs, expectations, and background of the reader. It defies analysis under any system of literary values.”

The central idea of “wonder” with its reference to a felt “experience” that is, at the same time, “indescribable,” is an area for further analysis. Many authors and critics of mythopoeic fantasy point to the indescribable experience of fantasy, precisely because this is its primary attraction. For these mythopoeic authors, fantasy is emotive, associated with certain feelings, specifically religious feelings, and it is these feelings which are non-rational and cannot be directly explicated by words. In Tolkien’s essay “On Fairy-Stories,” he seems to agree with this indescribable quality. In discussing Faerie as a “perilous realm,” he states, “I will not attempt to define that, nor to describe it directly. It cannot be done. Faerie cannot be caught in a net of words; for it is one of its qualities to be indescribable.”

The indescribable nature of “wonder” or “enchantment” which authors and critics refer to as the defining element of fantasy may best be understood within the context of a different academic discussion, located in the history-of-religions field. In his seminal text *The Idea of the Holy*, Rudolf Otto attempted to analyze what he considered the “core” of religious thought. He employed the Latin root “numen,” coining the phrase “numinous consciousness” to refer

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to a quality or state of mind which has as its basis a unique, original feeling-response to the holy which he equates with God.\textsuperscript{49} The numinous, he argued, was that quality of “holiness” in its original meaning as that which inspires awe, a meaning devoid of our modern associations of the holy as a moral category. Otto promoted the idea that this numinous consciousness was the basis of the first stirrings of the religious imagination which, at their inception, were a form of religious dread, but later evolved into more complex, rational conceptions which informed most of the major religious traditions.\textsuperscript{50} This numinous quality, for Otto, was non-rational in that it was a “feeling” or “experiential” mode of comprehending the divine reality, and in that sense was indescribable, much in the same manner as is referred to in fantasy: “This mental state is perfectly \textit{sui generis} and irreducible to any other; and therefore, like every absolutely primary and elementary datum, while it admits of being discussed, it cannot be strictly defined.”\textsuperscript{51}

What Otto does admit is that the numinous consciousness can be evoked through means of symbols which objectify the numinous state of mind. These symbols act as vehicles of the numinous consciousness, concretizing it within rational forms. It is interesting to note that in discussing the numinous consciousness, Otto points to the feelings of the “eerie” and “weird” which one feels in the face of the “wholly other.”\textsuperscript{52} This concept of the wholly other is what interests us in our discussion of fantasy as it aids us in the understanding of “wonder” within fantasy literature. In one of Otto’s examples, he discusses the particular dread some have of ghosts. However, what gives a sense of dread is not the thing in itself, that ghosts can be defined as “long” or “white,” but exactly that sense of otherness which attracts the imagination;\textsuperscript{53} similarly, it is this otherness which is at the core of fantasy’s departure from reality. According to Otto, a ghost fills the imagination with dread “because it is a thing which ‘doesn’t really exist at all,’ the ‘wholly other,’ something which has no place in our scheme of reality but belongs to an absolutely different one, and which at the same time arouses an irrepressible interest in the mind.”\textsuperscript{54}

The recognition that the imagination is attracted to what is presented as “wholly other” is what ties Otto to such fantasy critics as Hume, Jackson and Tolkien. Hume’s definition of fantasy as “any departure from consensus reality,” as well as Jackson’s contention that fantasy is “subversive,” both highlight this act of the imagination as capturing the sense of awe (for Otto, religious awe) through the presentation of the other. While it may seem unsuitable to connect Otto’s analysis of the numinous with a consideration of fantasy, Otto does mention fairy stories and fantasy as viable vehicles for the perception of the numinous. He considers the element of the “wondrous” to be a category which is infused with the numinous, in a line of thought pursued by Tolkien.

The connection between religion and fantasy is one dealt with by many other fantasy critics. In fact, Colin Manlove, in his book *Christian Fantasy*, argues that it is not totally implausible to view the Bible as a Christian fantasy and to view fantasy as a form of religious truth. By analyzing such authors as Lewis, Tolkien, and MacDonald, Manlove argues that these authors share a desire to portray divine truth through their creation of secondary worlds. The authors believe that through the images of fantasy, the religious mind of the reader could be revitalized and brought closer to truth: “They sense that divine truth is not to be caught by one image, not even if that image is given direct by God, as they sometimes claim. For God, as the mystics know, is beyond all images, even if for some he is also in them.”

The fact that “God” may be both within an image and, at the same time, beyond it has analogies with Otto’s ideas of the numinous. Otto’s positing of a non-rational core of religion as experiential, a religious sense only to be evoked or awakened rather than dogmatized, is similar to fantasy’s effort to evoke a sense of “wonder” in the created secondary world. This is Attebery’s “extraliterary” quality of fantasy which cannot, ultimately, be described. However, what captures this sense of wonder is the subversive representation of the wholly other, the departure from reality which fantasy creates. In Otto’s theory, the fantastic image is the “ideogram” which represents, symbolically, the numinous consciousness.

It is this essence of mythopoeic fantasy, to capture the unique consciousness of the numen, that separates it from non-mythopoeic writers of fantasy literature. It is also this

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defining element which makes some fantasy a vehicle of religious knowledge, a vehicle which Robert Galbreath argues is related to the Gnostic quest for the transcendent. Drawing upon the works of historian of religions Mircea Eliade, Galbreath states that fantasy opens up new dimensions of experience which cannot be apprehended by intellectual or rational faculties. The images of fantasy are employed to evoke certain feelings and awaken the religious consciousness so that reality can be transformed. In fact, it is this quality of fantasy as a form of religious knowledge in narrative that ties it so closely to myth and folktale. Certain scholars of fantasy recognize fantasy’s origins in myth and folktale and point to fantasy’s ability to capture certain transcendent truths. It is precisely this ability to capture religious consciousness that Attebery states is the major criterion of judging a successful piece of fantasy:

In judging a work akin to myth, one major criterion should not be density of construction or wealth of details, but the clarity and consistency with which it evokes our sense of the numinous, a sense compounded of equal parts of wonder and significance. Any work that aspires to mythicuality should be outside our general frame of reference and yet have a profound effect on the world we know.

The fact that fantasy may induce an awareness of the numinous, and also have a profound effect on the world we know, is what places mythopoeic authors such as Coleridge, MacDonald, Lewis and Tolkien within Hume’s literature of revision and Jackson’s category of the subversive. These authors are not content with simply providing readers with a renewed access to the transcendent; on the contrary, they are attempting to create secondary worlds also to engage the reader with a new experience so that ordinary reality may be transformed through the sacramental vision. This transformation of ordinary reality is made possible through the infusion of the numinous. In short, the mythopoeic author undertakes two tasks: to instill awareness of the transcendent, and to turn that awareness back to the mundane world.

59 Galbreath, “Fantastic,” 331.
So, certain questions must now be asked: what is the practical applicability of this approach to fantasy? Recent scholarship has pointed to an area which has been largely ignored by the critics of past decades, and it is to this area which some mythopoeic literature speaks: the environment. With a growing concern for such environmental problems as overpopulation, pollution, the ozone layer, global warming, and the mass extinction of species, it is perhaps unfortunate that literary studies have not fully responded to these growing concerns. As environmental critics have pointed out, these concerns should not be relegated to the fields of natural science. Instead, the revisioning of the relationship between humans and the natural world demands the participation of the humanities.

As a result of this growing concern for the environment, literary studies which engage environmental concerns have lately become an important area of study. Throughout the 1980’s and 1990’s, environmental studies began to grow and by 1993, it became a recognizable field of inquiry.\(^{62}\) Within the field of environmental studies, many sub-fields began to develop, each emphasizing a separate area of interest: Deep Ecology, Ecofeminism, and Ecocriticism. The latter area, ecocriticism, is an area of literary studies which has as its basis the analysis of literature and its relationship to the natural world. The term itself was coined in 1973 by William Ruekart and, as its principles began to grow, it sought to offer “An alternate view of existence that [would] provide an ethical and conceptual foundation for right relations with the earth.”\(^{63}\) The desire for an “alternate view” of existence is precisely what may be found in a study of mythopoeic authors, although these authors cannot be identified retroactively as “environmentalists.”

Concerns for the environment have not been restricted to fantasy by any means. Much of the environmental criticism has been directed at more mimetic or “realistic” writers such as Emerson, Thoreau, Dillard, Abbey, or Carlson.\(^{64}\) However, that is not to say that no critical attention has been given to such concerns in fantasy. For instance, Kathryn Ross Wayne has

\(^{62}\) In 1993, Patrick Murphy formed the journal *ISLE: Interdisciplinary Studies in Literature and Environment* which Glotfelty argues brought scholars together as a recognizable school.
written an excellent book entitled *Redefining Moral Education: Life, Le Guin and Language*, which is an analysis of the environmental interests in the works of Ursula Le Guin. Perhaps more directly related to the present study is Don Elgin’s text, *The Comedy of the Fantastic*. The book analyzes authors such as Lewis and Tolkien and places them within the realm of the comedic. Having as its basis the celebration of life and humanity’s integral part of the total environment, the comedic provides a valid response to environmental concerns with a consideration of the field of fantasy.

Considering the Christian backgrounds of the mythopoeic authors under study here, what is of considerable interest is the criticisms which have been directed at Christianity as the source of environmental problems, specifically the separation of God-human-nature, which for some lies at the root of these problems. In his much debated article “The Historical Roots of our Environmental Crisis,” Lynn White states that our attempts at proposals for environmental care are ineffective; what must be addressed is the underlying ideologies which inform the way nature is perceived. White states “What people do about their ecology depends on what they think about themselves in relation to things around them.” What people think, White argues, is largely informed by religion, specifically Christianity, which he believes “bears a huge burden of guilt” for environmental problems. White traces the religious roots of the environmental problems back to the biblical story of creation and Adam and Eve in Genesis. In the story, God creates humans as separate beings from himself, albeit in his image in chapter one, and tells them of their “dominion” over the earth. By placing Adam and Eve at the center of the story, and thereby giving them control over nature, White argues that Christianity is “the most anthropocentric religion the world has seen.” This anthropomorphism is what informs the Western world’s view of nature and unless this view changes, he argues, the environment will continue to be exploited.

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The problem of the hierarchical nature of the Western mind, the God-human-nature dichotomy, has also been addressed by the famous mythologist Joseph Campbell. In many of his works, he refers to Western mythology as participating in “mythic dissociation.” Since the nature of divinity is transcendent (somehow “out there”), and man is to have “dominion” over the earth, humans are dissociated from the divine; God is not in the world, in humans, or in nature. This mythic dissociation is not to be found in Eastern religions such as Buddhism, Taoism, or Hinduism. In fact, in these religions it is quite the opposite. In his Power of Myth interviews, Campbell discusses this idea of mythic dissociation as he relates the story of a lecture he attended by the famous Zen philosopher D.T. Suzuki. According to Campbell, Suzuki opened his lecture in the following manner:

God against man, man against God
Man against nature, nature against man
Nature against God, God against nature
Very funny religion.

Within many Eastern creation stories, the source of divinity is immanent, not transcendent. For example, in the Brihadaranyaka Upanishad, the Self (Purusha) was all that existed in the beginning. Once the Self realized “I AM,” it became lonely and desired a mate. Since it was all that existed, it decided to split itself into two, a male and female, and through the union of these energies, humans came into existence. When the female counterpart realized the shameful nature of this act, she transformed herself into the female nature of various animals. Not content with her evasion, the male energy transformed itself into the male counterpart, and through many unions, everything was created, all the way down to the ants. What is noticed in this story is that the divine nature of the world is present within all creation, and the God-human-nature hierarchy is not present. Campbell terms this immanent ideology “mythic association.”

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72 Campbell, “The Message of the Myth.”
What Campbell and White seem to have in common, besides their criticisms of Western religious thought, is their solution to the problem. Campbell speaks of the Western religious traditions as needing to adapt to the modern, scientific worldview. What this requires, for Campbell, is a revisioning of the fundamental religious truths into more applicable metaphors. Similarly, White speaks of the need for a new religion as a way of rethinking the old. He states, “Since the roots of our trouble are so largely religious, the remedy must also be essentially religious, whether we call it that or not.”[75] White points to the possibility of looking at St. Francis, whom he calls the greatest radical, in terms of his views concerning the human-nature relationship. For White, if we could rethink the major Christian tenet that nature’s whole reason for existing is to serve man’s needs, then we might have a chance at saving the environment.[76]

While Campbell and White both raise fundamental issues which are important for environmental study, they do tend to overlook certain possibilities. For example, scholars have pointed out that an alternative reading of scripture shows an affirmation of creation by God, so that, far from man’s exploitation of nature, man can be viewed as a “steward” of the earth.[77]

Although Joseph Campbell is, for the most part, accurate in his contention that Christian mythology stresses the separation between God, humans, and nature, he also realizes that the bigger problem lies in the fact that this mythological system has lost its sense of participation in the mystery of the universe. This participation in the transcendent reality which informs the world is Campbell’s first function of a living mythology. The mystical or metaphysical function of mythology, Campbell argues, is “to waken and maintain in the individual an experience of awe, humility, and respect, in recognition of that ultimate mystery, transcending names and forms ‘from which,’ as we read in the Upanishads, ‘words turn back’.”[78] Campbell believes this mystical function to be the most important function of mythology and, without it, there can be no real mythology at all. Thus, the problem is not with Christianity as such, but its loss of a sense of mystery.

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As many critics have pointed out, the roots of fantasy literature are within living mythologies, and it should come as no surprise that these mythopoeic authors are attempting to reinvigorate religious truth in their literary worlds. They are, in effect, trying to reproduce this feeling of awe in the face of the ultimate mystery, using such terms as “wonder” or “enchantment.” Of course, it is to be noted that when Campbell discusses the mystical function of a mythology, he is heavily influenced by Rudolf Otto’s discussion of the numinous and the *mysterium tremendum*\(^{79}\) which informs the religious mind. The mythopoeic authors are attempting to awaken a dormant numinous consciousness. It should also be noted that Campbell’s reference to the ultimate mystery as “transcending names and forms” is precisely that indescribable quality to which fantasy critics and authors refer.

Within the context of our discussion, it is worthwhile to reconsider the nature of the criticisms of Christianity in respect to current ecological problems. If Christianity bears a “huge burden of the guilt,” as White maintains, how are we to understand these mythopoeic authors, who are deeply influenced by the Christian mythology, but are presenting alternate views of reality which subvert normal modes of perception by erasing such fundamental barriers as human and non-human? Is there anything of value within these mythopoeic authors which could address some of these basic environmental concerns? I would argue that by examining Hume’s literature of revision, and Jackson’s ideas concerning the subversiveness of fantasy literature, these mythopoeic authors may be placed within ecological discourse, offering new perspectives on our relations with the environment. Hence to blame Christianity for environmental damage is inaccurate. The problem may be the failure of a religious mythology that had been available in the Christian tradition, which the mythopoeic authors try to renew.

Within Hume’s literature of revision, the fantastic impulse creates realities which not only portray a vision of a new reality but present a “plan” or “program” to revise our world to meet this new reality. In a similar way, many environmental critics speak of a need to learn a new language, one which cuts across the fictional barriers of what is human and what is not human. In his article “Nature and Silence,”\(^{80}\) Christopher Manes argues that a possibility for this new language lies within animism. He says animism’s underlying principles assume that

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\(^{79}\) The ultimate mystery which evokes both fear and trembling; the object of the numinous consciousness.

the phenomenal world is alive and that beings have the ability to communicate. While it may be argued that this form of language is “primitive,” Manes points out that we participate in a form of animism all the time: when we engage in team sports with mascots, as children when we speak to animals, or even when we yell at our computers. 81 So animistic thinking has not disappeared, it’s just that nature has become largely silent. He says we must remember that social power always comes from privileged speakers, and since so much of western thinking places “man” at the center of any dialogue, and as the only speaker, it is difficult for modern man to reenvision relations with the environment. 82 The only way to recover nature’s lost voice is to learn a new language, to revise our attitudes regarding the human and non-human. Manes states, “In an attempt to reanimate nature, we must have the courage to learn a new language, even if it puts at risk the privileged discourse of reason---and, without a doubt, it does.” 83 Fantasy offers the reader this new language and by departing from reality, it takes us into new realms that, while not denying reason, certainly give us a sense of awe towards the created world, a fresh way of viewing reality anew, and a way of recovering what was lost.

This new way of perceiving the world is also subversive in Jackson’s sense of dissolving the barriers between the human and non-human. By making trees walk or animals talk, fantasy is perhaps the most subversive art form there is. In a similar manner, environmental critics have noted that this subversion is necessary for regaining right relations with nature. In “Beyond Ecology,” Neil Evernden states, “the really subversive element in ecology rests not on any of its more sophisticated concepts but upon its basic premise: interrelatedness.” 84 It is here, in this literal interrelatedness, where Evernden asks basic questions: is there any real boundary between the human and non-human? Where does one organism stop and another begin? What exactly is the nature of the subject/object relationship?

By asking such basic questions, Evernden is challenging our most basic cultural constructs, exposing them as, in his view, fictions which need to be revised in order for the environment to survive. In an interesting example, Evernden discusses what occurs during the

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mating season of a cichlid. What is noticed is that smaller cichlids will often attack larger ones when they come into their territory. Evernden believes that what occurs is the cichlid’s sense of self has been expanded to fill its entire territory. It doesn’t define itself by its physical area but the larger environment. Just how Evernden knows the thinking of the cichlid is questionable, but his example is insightful in that it highlights the difference between animals and humans. In contrast to the dissolution of the self by the cichlid, Evernden argues that modern, Western man clings to his ego as much as possible. This clinging to the ego fails to allow for the experience of participation in the total environment, and subsequently the numinous, as the chapters on Coleridge and MacDonald will examine. For Evernden, the connection between cichlids and literature is not as distant as one would assume. He discusses the aesthetic experience in art as the denial of the strict categories between the human and non-human; these, he states, are mere abstractions and not realities at all. What environmental critics take into consideration is the “individual-in-environment, the individual as a component of, not something distinct from, the rest of the environment.” In his arguments, Evernden considers the function of art a revising of our original connection with the environment. As with many other environmental critics, Evernden sees animism as a possible answer to the problems the environment faces.

What environmental critics such as Manes and Evernden contribute to the present project is this need to learn a new language to aid in the understanding of human and non-human relationships. Mythopoeic fantasy offers, especially with its functions of subverting normative categories of thought (Jackson) and revising the way reality is perceived (Hume), a valid means whereby environmental perception may be addressed. However, in some ways their analyses go too far, as it would be a mistake to assert that distinctions between the human and non-human do not exist. There are fundamental differences between the two categories, and mythopoeic authors never fully subvert the categories. Fantasy merely “blurs” the distinctions between the two, allowing for the contemplation and challenge of our usual ways of perceiving. In this sense, mythopoeic fantasy is much more akin to a form of play, as W.R.

85 Evernden, “Beyond,” 97-98.
86 Evernden, “Beyond,” 98.
87 Evernden, “Beyond,” 97.
Irwin examines in his text *The Game of the Impossible*. Irwin defines fantasy as “a story based on and controlled by an overt violation of what is generally accepted as possibility; it is the narrative result of transforming the condition contrary to fact into fact itself.” Thus, fantasy is a means whereby the author and reader willingly enter a conspiracy, a game, where what is accepted in the fantasy world is never confused with ordinary reality. One doesn’t read about Tolkien’s Ents and expect to see real trees walk and talk. Therefore, one must qualify environmental critiques as they apply to mythopoeic literature.

One must also be careful of the claim that we should dismiss reason in order to learn this new environmental language. Although mythopoeic fantasy may greatly contribute to a new awareness of our relations to the environment, it never dismisses reason entirely. In fact, as Tolkien points out in his essay “On Fairy-Stories,” the clearer the reason, the better the fantasy. This form of literature recognizes fact, as Tolkien states, but does not become a slave to it. As a form of play, and as a human activity in its own right, fantasy challenges our most basic assumptions but never dismisses the real world. In reality, there are differences between the human and non-human, important differences, which cannot be ignored. As Tolkien states “If men really could not distinguish between frogs and men, fairy-stories about frog-kings would not have arisen.”

The view that fantasy may aid in the understanding of present environmental concerns is one dealt with in Don Elgin’s text, *The Comedy of the Fantastic*. Since Elgin deals largely with some of the authors concerned in our present study, including Lewis and Tolkien, it is worthwhile to summarize his thesis and show ways where it may be expanded into further discussion. Drawing heavily upon Joseph Meeker’s *The Comedy of Survival: Studies in Literary Ecology*, Elgin endeavors:

To apply the concepts of literary ecology to the twentieth century fantasy novel in an attempt to establish that it is the comic, ecological perspective that

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89 Irwin, *Game*, 9.
90 Tolkien, *The Tolkien Reader*, 75.
principally distinguishes the fantasy novel from the tragic, formally realistic and/or existential approaches to the traditional novel.\footnote{92}{Elgin, \textit{Comedy}, 2.}

By comic, what Elgin means is a literary form which celebrates life and places humanity within the total environment. For example, when Elgin discusses Tolkien’s \textit{The Lord of the Rings}, one of his models of this celebration of life is Sam Gamgee both as a character and in his relations to the Shire. The Shire embodies certain comic principles such as order and simplicity; in effect, it is a symbolic Garden of Eden which, through the course of the text, is threatened. This saving of the Shire is the central element in \textit{The Lord of the Rings}.\footnote{93}{Elgin, \textit{Comedy}, 48.} Elgin further points out that even though there are heroic, tragic figures, such as Aragorn, these figures ultimately either die or fade. In the final chapters of \textit{The Lord of the Rings}, what must be remembered is that it is ultimately Sam and his wife Rosie, those characters who represent humility and the ordinary, who survive. For Elgin, then, this is the final celebration of life that is applauded at the end of the book.\footnote{94}{Elgin, \textit{Comedy}, 53.} Analyzing other texts such as Lewis’ \textit{Space Trilogy}, Elgin concludes that fantasy is a way for us to reintegrate ourselves with the natural world.

The present study will elaborate on Elgin’s argument in considering specific texts by mythopoeic authors in detail, showing how, although their approaches somewhat differ, they offer a revisioning of the human relationship with the natural world through a reawakening of the numinous consciousness.

It must be noted at the outset however that these authors each have a distinct approach. Fantasy critic Colin Manlove has pointed out in a number of texts the need to separate what he calls modern, Christian fantasy of the twentieth century from that of its predecessors.\footnote{95}{Prior to the twentieth century, the focus of much fantasy literature was on the individual. The focus of chapters two and three will be on two works which exemplify this inner quest for the numinous: Coleridge’s \textquote{\textit{The Rime of the Ancient Mariner}} and MacDonald’s \textit{Phantastes}. Although not generally referred to as a major mythopoeic author, Coleridge will be included because he contributes the most important factor which later influences the subsequent authors: the importance of the imagination as a vehicle for the numinous. \textquote{\textit{The Rime of the Ancient Mariner}} will be considered for its portrayal of the numinous quest as achieved through an}
imaginative transcendence of the self. Similarly, MacDonald’s book *Phantastes* will be revealed as a quest for the numinous by the transcendence of the self through the vehicle of romantic love. So, while both works will exhibit certain dissimilarities, their primary goal is to locate the possibility of engaging the numinous within the confines of the inward self. Both authors use a revisioning of the natural world to achieve their aim.

In contrast, chapters four and five will work on a more epic scale including the wider human community. Within the two sets of material, C.S. Lewis’s *The Last Battle* and J.R.R. Tolkien’s *The Lord of the Rings*, the focus will not be so much on the individual but on the larger implications of the numinous as it exists in the world. Again, while the two authors share somewhat similar religious worldviews, they are not without their differences. Chapter four will explore Lewis’s *The Last Battle* as it relates to concept of *sehnsucht*, or longing, a longing which is only achieved, in this text, through the destruction of the world. A careful consideration will be given here to Lewis’s revisioning of the biblical text Revelation and its implications. Although attempting to portray similar religious experiences, Tolkien will locate the numinous within his created Middle-Earth, with such constructions as the Tom Bombadil, Lothlorian, Treebeard and the Shire. Again, for each author a new imaginative perspective on nature is an important part of their method.

Although they have varied approaches to the numinous, what these authors share is an attempt to inculcate within the reader a “feeling” of wonder both in the secondary world and, by simple transposition, a revisioning of the primary world. The most effective analytical tool for understanding these attempts is the religious discourse centered around Rudolf Otto’s ideas concerning the numinous. Furthermore, the practical application of such an analysis is in its effectiveness in entering the growing field of environmental debate. While many critics find fault within the Christian religion as it relates to the environment, the fantasy worlds of these deeply Christian authors show that their worldview is not inherently antithetic to respect for the natural world. Whether they focus on the inner quest for the numinous, or they pursue the numinous in the larger community, they all share one common denominator. As Manlove states:

When we say that they are all Christians, the common denominator is finally the particular sense of the numinous in the story— we are dealing now with Christian fantasies which are so not only by virtue of the patterns of Christian belief and narrative in them, but also through the inculcation of a feeling, an attempt to make us thrill imaginatively to a divine reality both near and far, both with us and other.  

96 Manlove, *Christian Fantasy*, 163.
“QUIETING THE EYE”: THE PERCEPTION OF THE ETERNAL THROUGH THE TEMPORAL IN COLERIDGE’S *THE RIME OF THE ANCIENT MARINER*

“Art is the place of exile where we grieve for our lost home upon the earth.”
--Jonathan Bate

“O, strange is the self-power of the imagination.”
--Coleridge

“Our longing for the imagined health of the past must be a sign of the sickness of the present.” With this quote from *The Song of the Earth*, Jonathan Bate brings readers to an awareness of our environmental predicament while at the same time, through his “experiment in ecopoetics,” posits poetry as a viable means of imagining “right” relations to the earth. The attraction which a nature poet such as Wordsworth exerts on modern readers, shows a “nostalgia” for a time past, a time when our relationship to the earth was quite different; thus the disparity between the “fictional” past and the “realistic” present reminds us that our relationship to the natural world is perhaps one of malaise and in need of revisioning. As Bate suggests, part of the problem lies in Western cultural ideologies which subscribe to a Cartesian dualism, one which bifurcates such categories as subject/object, self/other, and culture/nature. Concerning the latter, Bate reminds readers that the word “culture” originally referred to the cultivation of land; it was only later accretions which gave it the connotation of “refinement”

and thus separated the term from “nature.” This separation between the two terms has its modern inflection in the usage of such terms as “environment” throughout the nineteenth century. The mere fact of the word’s coinage is a result of our own alienation from our environment.

Where exactly did we go wrong in terms of the environmental crisis with which we are now faced? Bate speculates that since literary forms are ways of working on our consciousness, it might be beneficial to examine our roots there. In a text such as Genesis, we become aware of how the literary form of the “Fall of Man” might influence ideology. As argued in the introductory chapter, critics such as Joseph Campbell and Lynn White locate the origins of environmental problems within this particular myth. The text suggests, to use Campbell’s terminology, a “mythic dissociation,” where God is a being who is separate from the world, while humans are separate from God, on the one hand, and nature on the other hand. The text reminds us that man is to have “dominion” over the animals, and to use them as he sees fit. Of course there are alternate readings of the myth which must be kept in mind, but Bate wants us to consider how readings of these stories imply certain ideologies from which we can learn our relationships to the natural world.

There are, Bate reminds us, positive examples of relating to the earth present in other mythologies, for example, the myth of the Golden Age which is found in various traditions, most importantly in the Greek. In the Golden Age, humans existed in perfect harmony with their surroundings. Using translations of such texts as Ovid’s *Metamorphosis* by Ted Hughes, Bate argues that those artists who draw inspiration from the myth of the Golden Age are poets who “listen to their source.” However, the problem is that these mythologies no longer hold their value as ways of engaging imaginatively with the world. With increasing skepticism brought on by science, modern man dismisses mythic discourse as irrelevant. Not content with the symbolic intent of many of these myths, moderns are more concerned with historicity, with

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2 Bate, *The Song of the Earth*, 5.
3 Bate, *The Song of the Earth*, 24-27.
5 Bate, *The Song of the Earth*, 26-27.
events that “actually” occurred. As Bate states, “the demand for historical explanations, as well as, or instead of, mythical explanations is one of the characteristics of ‘modernity.’”

This concern for the historicity of particular events should cause us to pause and examine the relationship between cultural ideologies and language. In many oral cultures, mythologies are meditations on relationships with the natural world. The language used in the myths reflects an animistic view of the world, where gods and goddesses are associated with natural forces. In contrast, our dilemma, Bate reminds us, is that through language we can only have a “representation” of nature; to us, nature is not a living organism but an idea. We can only artificially recreate nature through the use of the imagination. However, this is not necessarily a problem. As Bate notes, just as parks represent a state of nature, albeit through an abstraction, they still allow us the “experience” of a recreational (re-creational) space which may aid in our understanding of our relationship to the natural world. In his book *The Song of the Earth*, Bate offers an “experiment in ecopoetics” which is “to see what happens when we regard poems as imaginary parks in which we may breathe an air that is not toxic and accommodate ourselves to a mode of dwelling which is not alienated.”

The “experience” of a re-creational space, where our relationship to the natural world is revised through poetry or literature, is not new but a technique evidenced in the unique contribution of the mythopoeic authors Coleridge, MacDonald, Lewis and Tolkien. Their fantasies serve to counter arguments of the type made by Lynn White that Christianity “bears a huge burden of guilt” for environmental problems, especially in its anthropocentrism. In fact, the works of Coleridge and MacDonald engage us in the dialogue of the transcendence or annihilation of the self which brings about environmental revisioning. It is interesting to note that this is a major concern for ecocritics as well. Perhaps paradoxically, the term “environment” (from the root “environ” which means “around”) betrays an obsession with self, as the environment always means what is around “us.” The problem is even deeper than this. As Bate points out, the aestheticization of nature allows us to frame nature as we like it. This is why environmental groups concern themselves with “cute” animals such as the panda or

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6 Bate, *The Song of the Earth*, 29.
7 Bate, *The Song of the Earth*, 63.
8 Bate, *The Song of the Earth*, 64.
dolphin, where Bate would draw our attention to the peat-bog or the earthworm, which should be taken into consideration as well. In order to properly revise relationships with the natural world, this anthropocentrism which entails framing nature around our image of it, must be discarded, and an awareness of the whole of nature must be embraced. It is precisely this experience of nature which the theme of the annihilation of the self engenders, as will be seen in Coleridge (and in the next chapter MacDonald).

As many ecocritics point out, the need is to learn a new language which will offer alternatives to our normative ways of perceiving the world. As Bate states, “It may therefore be that a necessary step in overcoming the apartness is to think and to use language in a different way.” Mythopoeic fantasy offers this alternative by allowing an imaginative engagement with the natural world. Bate’s view of ecopoetry as a means “to engage imaginatively with the non-human,” is easily extendible to the genre of mythopoeic fantasy; in departing from consensus reality and by its subversive quality, this form of literature has a distinct capacity of speaking for nature itself. As Bate notices, one of the reasons for ecocriticism’s failure as a means of revisioning relationships to the natural world is the “silence” of nature itself; it literally cannot speak. This is in direct contrast to other critical fields such as Women’s studies or African-American studies, where there are voices which may be heard. Bate says, “a critic may speak as a woman or as a person of colour, but cannot speak as a tree.” However, mythopoeic fantasy, with its ability to express the experience of the natural world, has the ability to give nature its proper voice. To refer to an example in chapter one, Tolkien’s creation of the Ents, the creatures who are both human and arboreal, serves to speak for the trees; thus, far from being an escape from reality, Tolkien’s creations are meant to recover a numinous perception of the world, one which has been lost or hidden by our linguistic appropriations. Mythopoeic fantasy, then, has an equivalent function to Bate’s ecopoet who is a “potential savior of the ecosystem,” not literally in the sense of saving the environment but in challenging our cultural constructs through the use the imagination.

Further features of the ecopoet are transferable to mythopoeic fantasy as well, the most important of these being the focus on the experience of nature. As argued in chapter one, critics

10 Bate, The Song of the Earth, 138.
11 Bate, The Song of the Earth, 37.
12 Bate, The Song of the Earth, 199.
of mythopoeic fantasy often refer to the quality of “wonder” or “enchantment” as its defining element, and it is this “extraliterary experience” which helps in a recovery of the numinous. To use Otto’s terminology, it is both awe-inspiring and indescribable. Commenting on this role of ecopoetry, Bate states, “Ecopoetry is not a description of dwelling with the earth, not a disengaged thinking about it, but an experiencing of it.” This experience of the natural environment is part of the language of poetry, which is quite different from political or practical language that attempts to save the environment. Poetry, and we can now say mythopoeic fantasy, has no specific “agenda” to save the environment; it merely offers a re-creational space to experience the natural world imaginatively.

Engaging imaginatively with the environment helps us to lift the “veil of familiarity,” a concept which is at the root of Tolkien and Lewis’s theories of fantasy. This same idea is echoed in Bate’s consideration of the process of “enframing.” He states, “a poem is enframed when it becomes not an original admission of dwelling, but rather a cog in the wheel of a historical or theoretical system. To read ecopoetically is, by contrast, to find ‘clearings’ or ‘unconcealments.’” To “unconceal” in this sense is similar to Tolkien’s “recovery,” where the moment of wonder, or in Otto’s terminology “the numinous,” aids in the challenging of our most cherished cultural constructions.

A borrowed phrase from Jonathan Bate’s text *The Song of the Earth*, “Quieting the Eye,” serves as a portion of this chapter’s title precisely because it relates to how the numinous consciousness presents itself in the works of Coleridge (and in the next chapter MacDonald): in terms of a recovery or a revisioning of our relationship to the natural world, normative modes of perception must be subverted (a challenge to the “eye”). Similarly, if one partakes in word-play, the challenge to the “eye” in these works is also a challenge to the “I,” portraying the corollary theme of the annihilation of the self, a concept central to Coleridge’s (and later, MacDonald’s) worldview. As discussed in chapter one, the dividing line between this project’s four authors is whether the numinous conscious is experienced as “inner” (Coleridge and MacDonald), or “outer” (Lewis and Tolkien). All four authors, however, are similar in their

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13 Bate, *The Song of the Earth*, 72.
14 Bate, *The Song of the Earth*, 42
15 Bate, *The Song of the Earth*, 268.
attempts to inculcate within the reader a feeling-oriented response which leads to a revisioning of the natural world in the context of a sacramental vision.

The theme of the annihilation of the self that is present within the works of Coleridge and MacDonald is analyzed in Otto’s discussion of the *mysterium tremendum*, the object of the numinous consciousness which evokes both fear and trembling. Although Otto refers to the experience of this mystery as “absolute unapproachability,” he adds to these terms “absolute overpoweringness” or *majestas*. This suggests a form of creature consciousness in which the feeling of one’s own submergence, a sense of nothingness, results in a consequent form of religious humility over against an overwhelming power. Otto is careful here in distinguishing between two types of consciousness, a “consciousness of createdness” and a “consciousness of creaturehood.” In the former consciousness, that of createdness, the focus is on the creature as being “created” as a result of a divine act. If the focus is on the creature, the construct of the “self” is viewed as “real,” as that which is separate from what is viewed as “other,” or God. In terms of the earlier discussion of Campbell’s mythic dissociation, self and God are two separate entities and, for Otto, this consciousness of createdness posits a causality of God as the creator and self as creation which, as a strictly rational conception, fails to evoke the fear and sense of awe that is experienced with the *mysterium tremendum*.

The latter consciousness, that of creaturehood, posits the self as somewhat illusory, and the feeling of nothingness in the face of an overwhelming power is the true source of the *mysterium tremendum*. As Otto states, “it starts from a consciousness of the absolute superiority or supremacy of a power other than myself.” This absolute supremacy can only be experienced with the annihilation of the self, where the finite self must be transcended in order for the numinous consciousness to be present. The eternal must be reflected in the temporal.

Coleridge presents the experience of the numinous consciousness through the annihilation of the self in his poem *The Rime of the Ancient Mariner*. Although not usually referred to as “mythopoeic” by many critics, Coleridge was important in formulating a theory of the imagination which allowed for an expression of the numinous consciousness, one which the other authors discussed in the present study indirectly drew upon in their own formulations. He

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also posed the problem of the annihilation of the self, one which he shares with MacDonald as will be seen in chapter three. Many of Coleridge’s poems embody his religious sense of the imaginative function, but his most well known poem, The Rime of the Ancient Mariner, first published in Lyrical Ballads (1798) in collaboration with William Wordsworth, shows this most clearly.

One of the most common fallacies associated with the Lyrical Ballads project is the assumption that the work is the sole achievement of William Wordsworth. Although most of the poems are indeed attributed to Wordsworth, failure to acknowledge the contribution of Samuel Taylor Coleridge is a failure to acknowledge the distinct form of genius Coleridge embodied, a poet who shared with Wordsworth a deep religious feeling for life but employed a different method of achieving its expression. In his Biographia Literaria, Coleridge explains the differing means used by the two poets in the Lyrical Ballads project: whereas Wordsworth would write poems which dealt with the “natural” world, Coleridge would write poems which dealt with the “supernatural” which he stated would “transfer from our inward nature a human interest and a semblance of truth sufficient to procure for these shadows of imagination that willing suspension of disbelief for the moment, which constitutes poetic faith.”

Although the approaches employed by the two poets were quite distinct, Coleridge points out that the underlying motivations for the poems were similar; both the supernatural and natural modes were to provide an “awakening [of] the mind’s attention from the lethargy of custom, ...directing it to the loveliness and the wonders of the world before us.” Thus, awakening one’s mind to the “wonders of the world” is precisely the sense of recovery envisioned by our present authors. Keeping the underlying themes of the poems within Lyrical Ballads in mind, it is interesting to examine the criticism of these two modes after the publication of the Lyrical Ballads. Although Coleridge’s The Rime of the Ancient Mariner was to serve as the lead poem in the 1798 version of the Lyrical Ballads, reviews of the poem were not favorable; according to Charles Burney, The Rime was “the strangest story of cock and bull we ever saw on paper.” It was, according to other reviews, “absurd, or unintelligible,” or the

18 Otto, The Idea of the Holy, 21
20 Coleridge, “From Biographia Literaria,” 518.
product of a mind which resembled a “mad German poet.” Wordsworth himself was disappointed by the poem and stated “The Ancient Mariner has upon the whole been an injury to the volume, I mean that the old words and the strangeness of it have deterred readers from going on.

The subsequent publication of the *Lyrical Ballads* relegated *The Rime* to a different position in the text; far from being the lead poem in 1798, the 1800 version of *Lyrical Ballads* placed the poem in the twenty-third position. Such a decision on Wordsworth’s part allowed readers to experience the much more understandable poetry of the “natural” world before encountering Coleridge’s strange “supernatural” contributions.

It is interesting to speculate on the adverse reaction of the critics and populace concerning *The Rime of the Ancient Mariner*. What was it about the poem that people disliked? What was Coleridge trying to accomplish with images such as a dead albatross, a skeleton ship with Death and Life-in-Death, and spirits inhabiting dead bodies? Upon reading the *Biographia Literaria*, a much later text published in 1817, it becomes clear that behind Coleridge’s poetry was an elaborate view of the imagination, and the function of the imagination was to produce fantastic images or symbols which were “characterized...above all by a translucence of the eternal through and in the temporal.” Thus, what the critics and readers failed to understand was that Coleridge’s *The Rime of the Ancient Mariner* employs fantastic or “supernatural” symbols to convey Coleridge’s belief in the imagination as a primary means of experiencing the numinous.

What becomes difficult for the scholar when trying to reconcile Coleridge’s theory of the imagination with *The Rime* is the question of how much of the theory was formulated by the time of the writing of the poem in 1797-8. And, if one employs the crystallized version of the theory in the *Biographia Literaria* of 1817, what version of *The Rime* should be used? The 1798 version or one that coincides with the *Biographia*?

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In his “Introduction” to *Imagination in Coleridge*, John Spencer Hill provides some answers.

He argues that although one must be careful in attributing to Coleridge the full expression of his theory of the imagination in 1797-8, certainly he was starting to question the empiricist views of the time, views that held that the imagination was merely an aspect of memory which mistakenly combined and associated disparate perceptions. In Hill’s argument, Coleridge formed the bulk of his theory between 1795 and 1802. Hill examines three periods in the formulation of Coleridge’s theory: 1795 and earlier, where Coleridge became interested in the theories of Platonism, providing him with a distrust of materialism and empiricism; 1795, his association with and realization of the genius of Wordsworth, especially the recitation of “Guilt and Sorrow,” where Coleridge realized the connection between thought and feeling; and finally, the period of 1798-1802, where Coleridge developed portions of his critical theory, as evidenced in his contributions to Wordsworth’s “Preface” to the *Lyrical Ballads* and the influence of Kant and the German Transcendentalists.

If Hill’s position is correct, Coleridge would have been in the process of formulating his ideas of the imagination concurrently with his writing of *The Rime of the Ancient Mariner*. However, for the present argument, it is more appropriate to employ the 1817 version of the poem for two reasons: the added gloss and the epigraph by Thomas Burnet, which both contribute to the theme Coleridge was trying to convey; and, the fact that by its publication, Coleridge’s theory of the imagination had crystallized and was published in the *Biographia Literaria* in 1817.

According to his *Biographia Literaria*, Coleridge’s conception of the artist’s imaginative faculties consists of a tripartite system involving the primary imagination, which is God-like in its perception; the secondary imagination, which is the artist’s echo of the primary imagination; and the fancy, a mechanical faculty which is both aggregative and related to memory. As Owen Barfield points out in his text *What Coleridge Thought*, these imaginative faculties represent a “unity in multeity,” and cannot ultimately be separated; a distinction can be made but not a division. For Coleridge, underlying this tripartite system was his belief in the law of polarity, one which posited that “the duality of the ‘opposite forces’ is a manifestation of

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a prior unity; and that unity is a ‘power.’” For Coleridge, then, all three faculties work together; however, both types of the imagination perform a more active function than that of the fancy, and it is the imagination which mirrors God’s original creation. Defining the two functions of the imagination, Jonathan Wordsworth states “with the primary imagination man unknowingly reenacts God’s original and eternal creative moment; with the secondary he consciously vitalizes an object-world that would otherwise be dead.”

The primary imagination is an unconscious faculty which allows humans to create order in the world. It is somewhat equated with sense perception, a common element in the mind which allows it to perceive a unified, ordered world. In the primary imagination, what is perceived is the “real” world of the senses, so this function of the imagination is, at first glance, not associated in any way with Coleridge’s desire to produce “supernatural” images; however, the primary imagination is also more than sense perception due to its mirrored reflection of God’s own creation and ordering of the world. Thus, the primary imagination for Coleridge is the closest one can get to God. This truth is embodied in Coleridge’s famous lines from the Biographia Literaria: “The primary imagination I hold to be the living Power and prime Agent of all human Perception, and as a repetition in the finite mind of the eternal act of creation in the infinite I AM.”

The secondary imagination differs from the primary imagination in that it is both conscious and its activities are willed. It is the artist’s echo of the primary imagination which seeks to dissolve orderings of the universe in order to recreate and combine them in new ways. As mentioned in the introductory chapter, the dissolution of the universe is the specific goal of the subversiveness of the fantastic text, and in Coleridge’s system, this is the function of the secondary imagination. According to J. Robert Barth, “the secondary imagination allows the artist not only to perceive the world in an orderly way, but to express that order in a new medium.” By recreating and redefining the world, the artist is perceiving the world in its real significance. In this sense, it is the artist’s job to revitalize the world by echoing God’s creation

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29 Coleridge, “From Biographia Literaria,” 516.
and, for Coleridge, this could be achieved by portraying the supernatural dimensions of the world. For Coleridge, then, this secondary imagination is similar to the primary imagination, which only differs in degree, not kind. The secondary imagination, according to Coleridge “dissolves, diffuses, dissipates, in order to recreate; or where this process is rendered impossible, yet still at all events it struggles to idealize and unify.”

What is clear from the above statement by Coleridge is that the primary and secondary imagination work together in the process of unifying and ordering the world in a God-like fashion. This connection between the artist and the divine is important for Coleridge because the employment of the imagination is similar to an act of faith. According to Barth, in his “Theological Implications of Coleridge’s Theory of Imagination,” “The imagination is in fact a faculty of the transcendent, capable of perceiving and in some degree articulating transcendent reality—the reality of higher realms of being, including the divine.”

Although a lower faculty, fancy is also important in Coleridge’s tripartite construction. For Coleridge, the fancy “has no other counters to play with, but fixities and definites. The fancy is indeed no other than a mode of memory emancipated from the order of time and space.” It is a faculty which is aggregative, only being able to combine elements already associated with the real world. Thus, unlike the active imagination which unifies, the fancy can only separate units of the world, thereby not achieving the unity which is the repetition of God’s original oneness. Fancy, for Coleridge, tends to be passive and cannot produce images which “awaken” the mind in order for the sacramental vision to revise relationships with the natural world. Discussing the function of the fancy, Barfield says, “the mind is in thrall to the lethargy of custom when it feeds solely on images which itself has taken no part in producing.”

To properly understand the difference between the fancy and the imagination, it is beneficial to analyze passages which Coleridge believed embodied the two faculties. In his *Biographia Literaria*, Coleridge quotes a few lines from Shakespeare’s *Venus and Adonis* to describe the function of the fancy: “Full gently now she takes him by the hand, A lily prison’d in a gaol of snow” (Lines 361-2). Upon examining the lines, what one notices is the simple associations between Adonis’s hand: Venus’s hand::Lily: gaol of snow. As the lines connote,

31 Coleridge, “From Biographia Literaria,” 516.
32 Barth, “Theological Implications,” 5.
33 Coleridge, “From Biographia Literaria,” 516.
Adonis’s hand is like a lily, both white and fair; similarly, since Venus is taking Adonis’s hand, the image is it being imprisoned in a gaol of snow, a symbol both of enclosure and whiteness. However, what is to be recognized in terms of the function of fancy is that the images given, whether of snow or lilies, are separate from each other, and the connection between them is aggregative. They do not allow any imaginative act whereby the reader may discover a more complex meaning; all that is apparent is what is given by the association. According to I. A. Richards, “The links between them are accidental, contribute nothing to the action; though the absence of relevant links does. Pondering the links does not enrich the poem.”

However, for Coleridge the imagination allows deeper associations between the images of a poem, and the imaginative impulse to unify becomes noticeable. Coleridge employs another passage from *Venus and Adonis* to exemplify the imaginative faculty: “Look! How a bright star shooteth from the sky, So glides he in the night from Venus’s eye” (Lines 815-816). In this passage, images are not so easily separable, but they exist as a potential unified whole which the reader forms in the imagination. For example, “Look!” allows the reader to experience a sense of surprise at Adonis’s flight; “star” is a light equated with beauty, while “shooteth” connotes a sense of a flight from a heavenly “sky,” one which can be interpreted as a foretelling of ruin associated with Adonis. So, all these images are associative; they work together in a unity, allowing the reader to make connection after connection, and thereby realizing the full potential of the poem. For Coleridge, then, the imagination produces poetic genius which unifies disparate elements and affects readers on an emotive level which is analogous to music: “But the sense of musical delight, with the power of producing it, is a gift of imagination; and this together with the power of reducing multitude into unity of effect, and modifying a series of thoughts by some one predominant thought or feeling, may be cultivated and improved, but can never be learned”

In many of his poems, especially in the 1817 version of *The Rime of the Ancient Mariner* in *Sibylline Leaves*, Coleridge attempted to realize this imaginative unity by exploring and employing supernatural images. In her book *Risking Enchantment*, Jeanie Watson argues that Coleridge’s fascination with the supernatural as means of conveying the function of the

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36 Coleridge, “From Biographia Literaria,” 527.
imagination was related to his early love of stories concerning the world of “Faerie.” To properly understand many of Coleridge’s poems, including *Kubla Khan*, *The Song of the Pixies*, *Christabel* or *Rime*, one must accept the connection between the supernatural, which deals with divine forces outside the natural world, and the landscape of Faery which was, for Coleridge a “mental space.” As Watson states, “the world of Faery is the world of the creative imagination, the world of feeling and intuition, the world of imaginative truth.”

By employing the supernatural in a Faerian realm, Coleridge believed, one could imaginatively reach the numinous consciousness present in the world. This act of imagination was a form of awareness, “a state of being, an mental/emotional construct, and act of creation.”

Constructing the supernatural worlds employed in some of his poems allowed Coleridge, as well as the reader, to explore the consubstantial nature of existence. Coleridge believed that through artistic constructions, one could realize that all matter in the world is symbolic of the numinous. One is reminded here, again, of Coleridge’s definition of a symbol which is “characterized...above all by a translucence of the eternal through and in the temporal.” So, by use of the imaginative faculty, the whole world could be viewed a series of symbols which reflected the numinous. Probably the most apparent embodiment of this belief in the consubstantial nature of existence is in *The Rime of the Ancient Mariner*. In the poem, Coleridge uses the supernatural realm of Faerie to portray an allegory of how the original numinous consciousness can be recovered by an act of the imagination.

In her book, Watson offers four propositions which Coleridge, and by extension the mariner and the reader, explore and come to terms with in the supernatural world of *The Rime*: 1.) the original wholeness and unity does exist; 2.) the original wholeness has been lost but can be recovered; 3.) Spirit, or a means to the transcendent, is available through self-knowledge; and, 4.) the tale of Faerie, by its use of the supernatural and its connection with the imagination, allows the original wholeness to be recovered. The mariner follows this four-fold set of propositions by traveling to the Pole (Faerie or supernatural realm), achieving a vision of the consubstantial nature of existence (original unity), and exiting the supernatural realm to teach

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37 Jeanie Watson, *Risking Enchantment: Coleridge’s Symbolic World of Faery* (Nebraska: University of Nebraska Press, 1990), 54.
his visions to a fallen world, one which has difficulty understanding its relationship with the numinous.

It is interesting to note that Watson’s four-fold set of propositions relates to what Victoria Nelson terms the “psychotopographic aesthetic” in her book *The Secret Life of Puppets*. Discussing the influence of Neoplatonic thought on fantastic sea quests to the Poles, Nelson identifies a writer’s projection of inner processes onto the geological map of the outer world; thus, the human psyche becomes a *topos* reflecting the dynamic relationship between the micro and macrocosm. In one example, Nelson points to Thomas Burnet’s work *The Sacred Theory of the Earth*, in which a neoplatonic construct underlies three subsequent earths: the first being an Edenic orb in which paradise is a lived reality; the second our present earth which is “broken” as the result of the Fall in the Garden of Eden (thus the shifting continents); and third, a millennial earth to come which will usher in a new paradisal state after a final apocalypse. What is of special note is both Burnet’s influence on Coleridge (Burnet’s epigraph introduces the 1817 version of *The Rime*), and the relationship of the evolving earths to Nelson’s psychotopographic aesthetic; if the inner and outer processes of the mind reflect one another, then the evolving earths relate to consciousness, both of which necessitate a final destruction before a new paradise is created. As will be argued in subsequent chapters, the apocalyptic theme is important for mythopoeic fantasists as a way of destroying present ideologies related to the earth and creating new ones. For Coleridge and MacDonald, this apocalyptic destruction is one related to the annihilation of the self.

In relation to the present concentration on Coleridge’s *Rime of the Ancient Mariner*, the outward quest to the Pole is, in effect, a quest to the inward experience of the numinous. In *The Rime*, the quest is depicted specifically as a journey to the Pole which, in a literal sense, is an orienting point (as in a compass) as well as in a symbolic sense that which is furthest away from consciousness. However, as Nelson states, “what is farthest away and most hidden is, paradoxically, always what is most important: the journey to the pole is a journey to the center of the soul.” The Mariner embodies this symbolic journey in that his sea voyage takes him from society (consciousness), to the unknown regions (unconsciousness), where after his “fall” in shooting the Albatross, he redeems himself by a revisioning of the world via the numinous.

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encounter with the water snakes. Thus, as many critics have pointed out, the journey mirrors the Fall in the Garden of Eden, but in terms of the present thesis the fall is specifically directed at a recovery of the sacramental vision of nature: “If humans lost their inner vision at the fall, a journey to the pole must involve an initial failure to recognize that the journey has an esoteric as well as exoteric meaning; and this failure must produce catastrophe followed by suffering and eventual redemption.”

Losing the “inner vision” as a result of the Fall is also explored in Stanley Cavell’s text *In Quest of the Ordinary.* Cavell interprets the Mariner’s quest as a response to Kant’s *Critique of Pure Reason,* which posits that experience is constituted by appearances that ultimately we cannot know in themselves; we can only know our experience of them. Cavell argues that Coleridge’s response to Kant’s text in *The Rime* shows that this dimension of knowledge in fact *can* be known. In the poem itself, the gloss mentions a “line” which is crossed on the journey to the pole, and Cavell interprets this as a line below which knowledge cannot reach; thus, by the Mariner’s crossing of the line, he is penetrating a realm which is both outside and other. According to Cavell, “there is something in the self that logically cannot be brought to knowledge.” Contrary to many critics’ accusation that the Mariner’s transgression is in shooting the Albatross, Cavell insists that the transgression is the crossing of the line where knowledge cannot penetrate. In this transgression, the Mariner embodies the human effort to escape the human, to go beyond what Cavell describes as language games.

Cavell warns readers in his text of the dangers of language itself: “we are the victims of the very words of which we are at the same time the masters; victims and masters of the fact of words.” This same criticism is echoed by mythopoeic fantasists as well, mainly that when language creates abstractions which symbolize objects but are not necessarily the objects themselves, we appropriate these objects and are said to “know” them. Mythopoeic fantasy, subversive in challenging basic categories of thought, allows one to posit a different reality to be considered. So, whether the problem is Coleridge’s “lethargy of custom,” MacDonald’s...

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45 Cavell, *In Quest of the Ordinary,* 48.
46 Cavell, *In Quest of the Ordinary,* 169.
“weary and sated regards” or Lewis and Tolkien’s “veil of familiarity,” the artist’s job is to allow the reader a different, more sacramental orientation towards the world. The insight Cavell has into the Romantic writers may be equally applied to those of the mythopoeic imagination: “they perceive us as uninterested, in a condition of boredom, which they regard as, among other things, a sign of intellectual suicide.”

The idea of the fallen nature of the world, where the connection with the numinous has been lost, is embodied in the Mariner’s shooting of the Albatross. It is this shooting which symbolically represents the attainment of a self, a sense of subjectivity which, for our purposes, serves as a form of mythic dissociation which divorces the mariner from the numinous. After having entered a supernatural landscape, one which holds spectre barks, polar spirits, Death and Life-in-Death, the mariners see the bird and declare “As if it had been a Christian soul, we hailed it in God’s name” (lines 65-66). This reference equates the bird with a higher power, a guide who helps the crew through the fog and ice. The symbolism of birds in psychological discourse is relevant here as well. According to J.E. Cirlot’s famous text *A Dictionary of Symbols*, birds are related to the soul and “birds, like angels, are symbols of thought, of imagination and of the swiftness of spiritual processes and relationships.” The Albatross here functions as a link to the numinous, an intermediary between the mariner and the spirit, and the act of shooting separates the mariner from this power. As a result, he no longer is able to experience the numinous but is forced to experience the nightmares of the sea.

Attributing the shooting of the bird to a psychological model of the Mariner’s emerging as an ego, Anne Williams in her chapter “An ‘I’ for an ‘Eye’” realizes the destructiveness of leaving behind the original unity. She states that the line “I shot the Albatross” is the first use of the first person in the poem, a sign of the separation from unity, and, as a result of this action, the Albatross becomes an object which embodies the Mariner’s abjection, his guilt resulting from his separation. This insight is relevant to Watson’s propositions; what is experienced at this point in the poem is a sense of paradise lost as the result of self-consciousness. In forming an ego consciousness, the Mariner has severed his ties with the numinous power symbolized by the Albatross.

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47 Cavell, *In Quest of the Ordinary*, 7.
The effects of this severance are portrayed immediately. The Mariner no longer notices his connection with other beings, and his separation allows a more noxious view: “yea, slimy things did crawl with legs upon the slimy sea” (lines 125-26). His separation from the original wholeness and his emerging ego force him to view the world with a lack of imagination, a view in which he fails to experience the numinous consciousness. The Mariner is then forced to wear the dead Albatross around his neck which, with its substitution for a cross, implies that he must suffer like Christ. What he must learn to recover is his similar Christ-like nature, both as man and God, and this recovery requires an act of imagination.

The negative consequences of the Mariner’s separation from the original unity is present within the figures of Death and Life-in-Death. Williams associates these figures with negative father and mother images, who then take the lives of the crew members. These negative images, like the Albatross, are abjects or forms of awareness of corporeality which remind the Mariner of his painful separation from the numinous. After the crew’s spirits leave, the Mariner realizes how alone he is with his pain and states “and never a saint took pity on my soul in agony” (lines 234-35). His pain is fully realized as he notices, again, his utter difference and separation from the world around him: “a thousand thousand slimy things lived on; and so did I” (lines 238-9). Psychologically, this pain is the result of his anxiety at becoming a self and, for Coleridge, what this signifies is what happens when the imagination no longer sees its connection with other forms of existence; it is a failure to realize one’s relationship with the numinous. Echoing this disassociation, the gloss points out “He despiseth the creatures of the calm.”

In terms of Coleridge’s own beliefs concerning the imagination, the Mariner symbolizes, at this point in the poem, a failure to realize a consubstantial perception of the world; matter for the mariner is utterly separate from himself and instead of radiating the numinous, is similar to a vision in a nightmare. At this point, the Mariner is so far cut off from the numinous that he is unable to pray for help. He is alone on a “rotting sea” among the dead corpses of his crew, who continually gaze at him with their empty eyes. However, as nightmarish as this section of the poem is, it is also the pivotal moment when the mariner achieves the last two propositions of Watson’s argument: he realizes the availability of spirit.

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50 Williams, *Art of Darkness*, 187-188.
and recovers the original unity of perception. He sees the water snakes which “moved in tracks of shining white” with their “elfish light” falling from their scales. He “watched their rich attire: blue, glossy green, and velvet black, they coiled and swam; and every track was a flash of golden fire” (lines 177-81).

One is struck immediately with the contrast of language presented before and during the sight of the water snakes. Following the images of death and the “rotting sea,” the mariner now experiences the beauty inherent in the world. He blesses the snakes unaware, and at that moment is able to pray. The curse is lifted, at this point, and the dead Albatross, which symbolized his disconnection with the numinous, falls from his neck and “sank like lead into the sea” (lines 290-1). The Mariner has re-established his perception of the world as consubstantial; he sees the beauty in all things and realizes that they are symbols of the numinous. It should be noted, however, that what this involves is not necessarily a change in the actual environment. What has changed is the Mariner’s perception of the world. He has realized the eternal through the temporal, the numinous within the world and within himself, but what this has required is an annihilation of his prior sense of self, his discarding of past perceptions of nature, and a revisioning of a higher sense of self in relation to his engagement with the non-human. With this new form of self-knowledge, or as Williams’ argument claims, his stabilizing awareness of ego, the mariner is able to view his world with the imagination; he has realized his oneness with the “Infinite I AM.”

For Coleridge, the supernatural (or world of Faerie) was an effective vehicle for displaying his belief in the consubstantial nature of existence. If the imagination is properly employed, the world does, in some sense, become supernatural; it takes on a new meaning and a new beauty. Similarly, in Williams’ psychological model, once consciousness emerges, a gap forms and “the fragile ‘I,’ to mend the break its birth necessitates, imagines a higher realm where no such gap exists.”52 For Coleridge, this higher realm is the numinous, and after the ego has severed the tie with this ground of Being, the imagination is the only means of recovering it.

The discourse on the annihilation of the self which both Coleridge and MacDonald participate in does not necessitate that the self be totally dissolved. It is true that the self must

be “annihilated” in order for there to be an experience of the numinous, but the self must reconstitute itself with a new vision of its relationship to the world. This is, again, the theme of “recovery” or “revisioning” which is at the core of the mythopoeic imagination. In Coleridge’s philosophy, this sense of self and other is realized in his law of polarity, in which the self must be viewed only in relation to that which transcends the self. Concerning this lesson of the Mariner, and especially in relation to Coleridge’s polarity, Owen Barfield states, “we cannot acknowledge an individual being without at the same time acknowledging that which transcends individuality.”

Echoing this same theme, Williams argues that the Mariner participates in a system founded upon self and other and, for the purposes of our argument, acknowledging both is the only way of perceiving proper relations to the natural world.

Once the numinous moment occurs through the annihilation of the self which facilitates an imaginative perception of the water snakes, the benefits become apparent: the curse is lifted and the ship moves on its way. As the ship moves, the Mariner falls into a swoon and hears two voices which describe the Mariner’s encounters. One voices states, “The spirit who bideth by himself in the land of mist and snow, he loved the bird that loved the man who shot him with his bow” (lines 402-5). These lines are important in that they set up the chain of relationship between the three images of the poem: Spirit-bird-man. In terms of Coleridge’s views of the imagination and its perception of the consubstantial nature of existence, these images form the original unity present in the world. However, with the shooting of the Albatross, the Mariner breaks the connection and must exist for a time in a nightmare world, one created by his newly damaged perceptions. Separated from the oneness of Being, the Mariner learns that to properly experience the numinous, the inner eye of the imagination must open.

It would seem odd that the poem would go on to relate how the Mariner was rescued by a hermit, a Pilot, and the Pilot’s boy, especially upon recalling that the Pilot’s boy goes crazy and, after seeing the Mariner rowing the boat states, “full plain I see, the Devil knows how to row” (lines 568-9). Why is this figure, who has just had an experience of the numinous by use of his imagination, referred to as a devil? One answer might be that the true gift of the imagination is not realized or understood by many. Misunderstanding the Mariner’s vision is

52 Williams, *Art of Darkness*, 197.
comparable to the critics’ misunderstanding Coleridge’s art, for it is fairly common practice to
demonize what is not understood. However, demons and devils are interesting in their own
way. In thinking about the reaction of the Pilot’s boy, and in thinking about the early negative
criticism of The Rime of the Ancient Mariner and the portrayal of Coleridge as some sort of
madman, it might be beneficial to keep in mind an alternative definition of devil: “a devil is a
god who has not been recognized.”  

Interpreting The Rime in terms of Coleridge’s own religious and artistic beliefs is not new. In his essay “A Poem of Pure Imagination: An Experiment in Reading,” Robert Penn
Warren offers a dual interpretation of the poem, one which coincides with the argument
presented above. What must be noted is that although one interpretation is primary and the
other secondary, both interpretations work together and cannot be ultimately separated.
Concerning the primary interpretation, Warren states that the poem is a fable; it offers a
sacramental vision of the world (the unity of the “one life”), which is the result of the
mythopoeic retelling of the Fall in the Garden of Eden. Thus, the shooting of the Albatross is a
violation of the religious order of the world, and it disconnects the Mariner with this order.
Warren believes that this act of violence is central to the poem’s meaning because it shows that
here “We are confronted with the mystery of the corruption of the will.” This corruption of
the will is the result of the Mariner’s own conscious choice to exist apart from the primal unity.
This act is similar to the Fall in the Garden, where Adam and Eve, by partaking of the fruit, are
separated from God and the original unity present in the Garden. By their own will, they
consciously disassociate from their original oneness.

Concerning the secondary interpretation, Warren points out that the poem works on an
artistic level, providing an allegory of the function of the imagination. The shooting of the
Albatross functions in this interpretation as a “crime against the imagination.” Interpreting
the symbolic “clusters” in the poem, Warren connects images such as the wind, the moon, the
Albatross, and the imagination, all of which are associated with the unconscious, non-rational,

53 Barfield, What Coleridge Thought, 151.
54 Joseph Campbell, An Open Life: Joseph Campbell in Conversation with Michael Toms (ed. John M. Maher and
55 Robert Penn Warren, “A Poem of Pure Imagination: An Experiment in Reading,” in Twentieth Century
and sacramental aspects from which the Mariner is disassociated. As argued earlier, Warren views the episode with the water snakes as the turning point of the poem. As this episode involves the regaining of the sacramental view of the world by imaginative perception, it is no wonder that the central light of the vision is provided by the moon. In the gloss, it states “By the light of the moon he beholdeth God’s creatures of the great calm” (91-92). Once the imaginative vision of the water snakes occurs, the Mariner’s redemption takes place; he recognizes happiness, sees love as the motivating force in the world, blesses the creatures, and is freed from the curse. Warren states, “In the end, he accepts the sacramental view of the universe, and his will is released from its state of ‘utmost abstraction’ and gains the state of ‘immanence’ in wisdom and love.”

In Warren’s interpretation, *The Rime* works on two levels concurrently: it portrays the religious dimension in the theme of a quest to recover the numinous consciousness which has been lost; and, it works aesthetically to convey the importance of the imagination in allowing for the vision. Warren assumes that the underlying theme of the poem is that:

The world is full of powers and presences not visible to the physical eye (or by the ‘understanding’): this is a way of saying that there is a spiritual order of universal love, the sacramental vision, and of imagination; that nature, if understood aright—that is, by the imagination—offers us vital meanings.

Warren’s position is reminiscent of the epigraph in the later version of *The Rime* by the seventeenth century theologian Thomas Burnet; this paragraph, translated from Latin into English, offers readers the theme Coleridge intended for this particular publication of the poem:

I can readily believe that there are more invisible than visible natures in the universe of things. But who shall explain their family, their orders, relationships, the stations and functions of each? What do they do? Where do they live? Human nature has always sought after knowledge of these things, but has never attained it. Meanwhile, I do not deny the pleasure it is to contemplate in thought, as though in a picture, the image of a better and greater world: lest the mind, habituating itself to the trivia of life, should become too narrow, and subside completely into trivial thoughts only. But, at the same time we must be

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vigilant for truth, and set a limit, so that we can distinguish the certain from the uncertain and night from day.  

As a mythopoeic author, Coleridge offers readers both a comprehensive theory of the imagination as well as a symbolic manifestation of this theory in his poem *The Rime of the Ancient Mariner*. In his theory, he posits the artistic imagination as that faculty which closely resembles God’s original act of creation. By employing the imagination, we participate in a recovery of the original unity of the world, one which has as its root the numinous consciousness. The poem embodies the experience of the numinous in relation to a retelling of the Fall in the Garden of Eden. What must be remembered in reading the poem, however, is its symbolic import, not its literal meaning; this is how it differs from conventional interpretations of the Bible and what makes it mythopoeia (Greek *mythos* = “story” and *poiein* = “to recreate”). As Harry Slochower points out in his text *Mythopoesis: Mythic Patterns in Literary Classics*, “while mythology presents its stories as if they actually took place, mythopoesis transfers them to a symbolic meaning.”[^61] Thus the symbolic meaning in *The Rime of the Ancient Mariner* is that the emergence of the ego, or self, as symbolically represented by the shooting of the Albatross, is what dissociates the Mariner from the numinous; it is a symbolic gesture mirroring the Fall in the Garden of Eden, where by eating the forbidden fruit, Adam and Eve were dissociated from God and nature. The redemption, however, occurs as the Mariner is able to transcend his finite self through an imaginative act of perception which renews his vision and his relationship to all creatures. Thus the implied moral “he prayeth best, who loveth best all things both great and small; For the dear God who loveth us, he made and loveth all” (lines 614-617). It is through his perception of the water snakes that the Mariner truly experiences the sense of “awe” which is so central to the apprehension of the numinous.

The concern of the present chapter has been to understand how the imagination (especially as formulated by Coleridge) helps facilitate a revised relationship with the natural world by means of an encounter with the numinous. By its subversive nature and its departure from consensus reality, mythopoeic fantasy challenges normative modes of perception and asks

us to rethink our most basic cultural assumptions concerning our world. As Burnet’s epigraph points out, it is beneficial to contemplate a better world lest our minds become too narrow; if minds are too narrow, then alternative modes of perception (which many ecocritics point out are our basic needs) will never be available. It is the foundation of mythopoeic fantasy, then, to broaden our minds by helping us revise the ways in which think about our relationships in the world, especially those non-human relationships vital to our survival. What is ultimately needed in this discourse is for an “awakening” of our minds either from what Coleridge terms the “lethargy of custom” or MacDonald terms our “weary and sated regards.” Perhaps it is best to conclude with a quote from Coleridge’s *The Friend*, a quote which serves as a concluding thought on Coleridge as well as a transition to George MacDonald. The quote repeats the overall thesis on which the present argument is based:

To carry on the feelings of childhood into the powers of manhood, to combine the child’s sense of wonder and novelty with the appearances which everyday for perhaps forty years has rendered familiar...and so to represent familiar objects as to awaken the minds of others to a like freshness of sensation concerning them...this is the prime merit of genius, and its most unequivocal mode of manifestation.\(^{62}\)

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CHAPTER THREE

THE IDEAL AND THE SHADOW: GEORGE MACDONALD’S PHANTASTES

“Man is but a thought of God”
---George MacDonald

The one principle of Hell is ‘I am my own”
---George MacDonald

“To inquire into what God has made is the main function of the imagination.” With these words, George MacDonald (1824-1905), for many the grandfather of mythopoeic fantasy, shows his considerable debt to the formulations of the imagination put forth by Samuel Taylor Coleridge. For MacDonald, the imagination is regarded as the faculty which “images” or makes a likeness of something. It is that faculty which most closely resembles the activity of God, for just as God is the primary creator, creating the universe through his power, so the artist imitates this creative act in the formation of the secondary worlds created. Agreeing with Coleridge’s distinction between the imagination as offering new versions of old truths, and the fancy as mere inventiveness, MacDonald was an important figure in furthering the function of the imagination as a vehicle to apprehend the sacramental nature of the world. By embodying old truths in new versions, MacDonald was foundational for the mythopoeic artists who attempt to revise the perception of the world by infusing it with a sense of the numinous.

Although MacDonald wrote realistic novels, children’s fairy tales, essays and sermons, perhaps his theories of the imagination are best realized in his two “adult” fantasies, Phantastes

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(1858) and *Lilith* (1898). A reading of either of these books reveals the extent to which MacDonald relied on the unconscious as a vehicle for the expression of God. Heavily influenced by the German Romantics, especially Novalis, MacDonald believed that “the greatest forces lie in the region of the uncomprehended,” and that the closer a piece of art was to the truly dreamlike or chaotic state of mind, the closer this piece of art would mirror God’s own creative impulse. When these works of art embody a sense of chaos, the emphasis is placed on the emotive rather than the intellectual. MacDonald felt that fantasy was the appropriate vehicle for the chaotic, and, if a work was successful, it would elicit a certain response within the reader. As MacDonald states, “it is there not so much to convey a meaning as to wake a meaning.” What MacDonald means by this statement is that images from the imagination must work unconsciously on the reader; if the art is true to its nature, it will be associative, working more like a symbol which has many potential meanings rather than a sign where meaning is limited. This lends the reader some interpretive freedom in any text, but this is the key to the imagination’s workings. What “wakes” one reader might be different from what “wakes” another (as is often the case). Thus whoever really “feels” a given story will read into it only what accords with his or her own nature. One will read one meaning, while another will read something entirely different. MacDonald here espouses his theory of art: “A genuine work of art must mean many things; the truer the art, the more things it will mean.”

Lest this theory of the imagination sound too decentered, MacDonald provides the reader with an analogy which is important in understanding his concept of the imagination: music. As anyone who enjoys music knows, music has an effect on the listener not on the intellectual level but on the emotional level. A particular piece of music lends access to the feeling-oriented dimension of ourselves but, again, as in art, no two people will agree on any “meaning” a piece of music may have. MacDonald employs the analogy of the sonata to explain this difference. Although two people may have similar feelings about a piece of music, neither would agree on any meaning. As MacDonald says, “the best way with music, I imagine,

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2 MacDonald, *A Dish of Orts*, 319.
4 MacDonald, *A Dish of Orts*, 317.
is not to bring the forces of the intellect to bear upon it, but to be still and let it work on that part of us for whose sake it exists."

MacDonald’s idea of music as a means of waking up meaning is one that is discussed in Otto’s treatment of the numinous consciousness. Although Otto is careful to point out that musical “feelings” and the sense of the numinous are not perfectly analogous, they do share the same emotive response in the subject, both inculcating in the subject a certain disposition of mind which includes the dimension of the non-rational. For Otto, music is an effective expression of the balance between the rational and the non-rational, both mutually penetrating one another. For example, in certain musical pieces, there is the verbal text, that which expresses natural emotions such as joy or grief. On the other hand, however, there is also the emotive or non-rational aspect of music, and it is the stress of this non-rational aspect which closely allies it with the numinous. It represents the “wholly other,” and is the basis of the indescribability which is both characteristic of the religious and, for the present thesis, the mythopoeic imagination. Music, for Otto, “releases a blissful rejoicing in us, and we are conscious of a glimmering, billowy agitation occupying our minds, without being able to express or explain in concepts what it really is that moves us so deeply.” The indescribable nature of the feelings brought forth in music, as in the numinous consciousness, is what is central to mythopoeic fantasy’s ability to recover the sacramental vision in order to revision the relationship to the natural world.

Thus, the connection between the products of the imagination, or music, or the numinous, is similar. They all are emotive rather than intellectual, and the more the unconscious or non-rational is made accessible in any of these forms, the closer one may apprehend divine truth. For MacDonald, this divine truth involves knowing what a thing is rather than what it means. For example, in his Unspoken Sermons, MacDonald states, “to know a primrose is a higher thing than to know all the botany of it.” Thus, the function of the imagination is to provide images which are powerful in themselves, regardless of any inherent meaning. MacDonald’s belief was that this state of knowing can be “awakened” by the imagination and not by mere intellect. MacDonald found this to be the case with the fairytale,

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5 MacDonald, A Dish of Orts, 321.
music, or even nature herself: “A fairytale, a sonata, a gathering storm, a limitless night, seizes you and sweeps you away: do you begin at once to wrestle with it and ask whence its power over you, whither it is carrying you?” For MacDonald, the answer to this question would be “no.”

While MacDonald’s aesthetic theories of the imagination are central to the thesis that the element of wonder is the most important defining characteristic of the mythopoeic imagination, critics have also pointed out certain flaws in his theories. In his book Modern Fantasy, Colin Manlove argues that there are inconsistencies in MacDonald’s aesthetic thinking. Manlove believes that MacDonald has “two minds over his material,” an imaginative side and an intellectual side which Manlove sees as at war with one another. For example, Manlove points out that MacDonald’s attempts to theorize about the nature of fairytales is in direct contradiction to his views that fairytales are meant to be incomprehensible. How can one provide a theoretical background to a genre which has as its defining characteristic that which cannot be theorized about? The problem, for Manlove, revolves around language. While Manlove argues that language may indeed have both an emotive side and a meaningful or intellectual side, he believes MacDonald would disagree. For MacDonald, the important aspect of language is its ability to allow access to the emotional dimension, and this is the function of the fairytale. However, what Manlove points out is that the fairytales themselves cannot be separated from the language element which does imply that meaning is possible. Thus, for Manlove, MacDonald’s attempts to associate the fairytale with music is faulty: while music does have the ability to affect just the emotions, language by definition also affects the intellect. Manlove concludes:

MacDonald is what one might call a would-be ‘exclusive’ modern fantasist: he wants to have to do with the world only as a house full of mystic symbols, and with only the unconscious and imaginative side of the mind. But though he tries to shut out the conscious selves of science and law, intellect and will, they keep coming back to interrupt the proceedings.

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7 George MacDonald, Unspoken Sermons, First, Second and Third Series (California: Johannesen, 1999), 350.
8 MacDonald, A Dish of Orts, 319.
10 Manlove, Modern Fantasy, 98.
Manlove also questions the ability of mythopoeic art to offer a sacramental religious experience. If, in MacDonald’s theories, the imagination is the dwelling place of God, and the products of the imagination are symbolic of the eternal (divine immanence), how does one arrive at proof of this? Are the fantasy worlds really products of God or are they merely from MacDonald’s own mind? One can never be certain. On the other hand, Manlove does admit that certain images in a particular work may awaken a sense of longing (sehnsucht) for heaven. In MacDonald’s case, images such as jewels, flowers, and stairs are presented as manifestations of God, and Manlove concurs that, “The images in MacDonald’s fantasies must thus work sacramentally and the reader may have a form of religious experience through them.”

Regardless of any flaws in MacDonald’s aesthetic theories, his point still has value and what is of concern here is the defining characteristic of mythopoeia, that of a sense of wonder which may be awakened so that the divine element present in the world is recovered. The fact that many of the mythopoeic authors refer to the indescribable nature of these works shows that what is more important is not particular words used but images portrayed. In his “Introduction” to Phantastes, the book which “baptized” his imagination, C.S. Lewis offers what he feels is the unique gift of MacDonald, one that serves as the central element of mythopoeia:

It goes beyond the expression of things we have already felt. It arouses in us sensations we have never had before, never anticipated having, as though we had broken out of our normal mode of consciousness and ‘possessed’ joys not promised to our birth: it gets under our skin, hits us at a level deeper than our thoughts or even our passion, troubles oldest certainties till all questions are reopened, and in general shocks us more fully awake than we are for most of our lives.

MacDonald’s theories of the imagination have interesting consequences for the thesis that mythopoeic fantasy attempts to “revise” reality, as Kathryn Hume would express it. As with other fantasy authors, MacDonald’s fantasies were not attempts to “escape” reality, but by emphasizing the emotive aspects of the genre, he was asking readers to look deeply into his world to realize the eternal through the temporal or, as he says, to think things “as God thinks

11 Manlove, Modern Fantasy, 97.
them.”\textsuperscript{13} The only proper vehicle for seeing the eternal through the temporal is the imagination, which for MacDonald is the best guide one may have:

For it is not the things we see the most clearly that influence us the most powerfully; undefined, yet vivid visions of something beyond, something which eye has not seen nor ear heard, have far more influence than any logical sequences whereby the same things may be demonstrated by the intellect.\textsuperscript{14}

This view of the eternal working through the temporal is one developed by Coleridge and shared with Lewis and Tolkien; it is the worldview which posits a combination of two types of reality, one material and one mystical, neither of which may fully account for the full scope of reality. Thus fantasy becomes an important means whereby these two realities may intersect, and, according to Stephen Prickett in his book\textit{Victorian Fantasy}, MacDonald shows his debt to the Platonic tradition: “MacDonald is a temperamental Platonist, only interested in the surface of this world for the news it gives him of another, hidden reality, perceived, as it were, in a glass darkly.”\textsuperscript{15}

In order for the eternal to be perceived through the temporal, an act of imagination is required. For MacDonald, this was the primary function of art, to provide the reader with a means of experiencing these realities. Just as Coleridge thought that our sensibilities were dulled by the “lethargy of custom,” so MacDonald thought that the boredom of everyday life could bar us from seeing the world sacramentally. For MacDonald, as with our other mythopoeic authors, art was the means whereby the sacramental vision could be awakened. This theory of art is clearly illustrated in a passage from MacDonald’s\textit{Phantastes} and deserves to be quoted in full:

\begin{quote}
But is it not rather that art rescues nature from the weary and sated regards of our senses, and the degrading injustice of our anxious every-day life, and, appealing to the imagination, which dwells apart, reveals nature in some degree as she really is, and as she represents herself to the eye of the child, whose every-day
\end{quote}

\textsuperscript{13} MacDonald,\textit{A Dish of Orts}, 27.
\textsuperscript{14} MacDonald,\textit{A Dish of Orts}, 28.
\textsuperscript{15} Stephen Prickett,\textit{Victorian Fantasy} (Sussex: The Harvester Press, 1979), 193.
life, fearless and unambitious, meets the true import of the wonder-teeming world around him and rejoices therein without questioning?

Thus, whether it is Coleridge’s “lethargy of custom” or MacDonald’s “weary and sated regards,” what these authors share is a recognition of the way normative modes of perception limit the possibility of seeing the religious dimension which underlies the mundane world. This view is shared with Lewis and Tolkien, the latter formulating his own response to this dilemma in his argument for “recovery” as the main function of fantasy literature. As discussed in chapter one, Tolkien argued that as reality is “appropriated,” we run the risk of knowing our world too well, and once the world is intellectualized, that childlike sense of wonder, which is the defining element of mythopoeia, is lost. In the view of MacDonald, the ideal reader for fantasy is one who may recover the childlike wonder of the world, the vision which allows one the ability to perceive the numinous.

It is also worth noting that MacDonald refers to this act of the imagination as having the ability to reveal nature “as she really is.” It is here that MacDonald’s aesthetic theories intersect with concerns for the environment. In his essay “The Imagination” in *A Dish of Orts*, MacDonald discusses the culture of imagination which he argues “must be an ordering of life towards harmony with its ideal in the mind of God.” For MacDonald, his Christian ideology, infused as it is with Romanticism, reveals an immanent idea of God. One who is in harmony with nature is really one who is searching out the things of God. Again, this form of “knowing” nature is not an intellectual pursuit; instead, it is an emotive response to the natural world which engenders a certain feeling of wonder which is, according to such critics as Attebery, Manlove, and Tolkien, the defining element. This notion of the mood-engendering ability of nature is one which MacDonald believed was shared both with music and fairytales. Since nature does not just wake one thought but many, so must the fairytale in its dreamlike and chaotic images awaken many meanings. Thus for MacDonald, the less the intellect has a part in the act of perceiving, whether it be in nature or art, the more one comes closer to perceiving the numinous. Again, this is the highest function of art, to wake readers into an awareness of the numinous so the world may be revisioned. As MacDonald states, “the best thing you can do for

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17 MacDonald, *A Dish of Orts*, 36.
your fellow, next to rousing his conscience, is---not to give him things to think about, but to wake things up that are in him."

It is interesting to note that the basis for MacDonald’s aesthetic theories, the idea of the imagination’s ability to wake up meaning, parallels Otto’s discussion of the numinous consciousness. Otto defines the numen as that sense of the holy minus both its moral component and its rational component. It evokes an original feeling-oriented response which only later accretes the moral and rational. However, as Otto states throughout his book, this numinous consciousness cannot be taught but must be “awakened from the spirit.” This is different from the moral dimension of religion which may be passed down from generation to generation: “what is incapable of being so handed down is this numinous basis and background to religion, which can only be induced, incited, and aroused.” One may wonder how this process of awakening, both for MacDonald and Otto, may be achieved. For MacDonald, it is achieved through the imagination as a means of engaging the unconscious. For Otto, it is achieved through the use of associated feelings. For example, if one posits the numinous as experience X, then one may compare and contrast this feeling with others to arrive at an understanding of what experience X really is: “In other words our X cannot, strictly speaking, be taught, it can only be evoked, awakened in the mind; as everything that comes ‘of the spirit’ must be awakened.”

One of the clearest examples of MacDonald’s aesthetic theories of waking up what Otto would call the numinous consciousness is in Phantastes. The book traces the journey of Anodos (Greek for “pathless”) through fairyland, a journey through which he must learn to let go of his ego, which seeks to possess, in order to experience the numinous. Following MacDonald’s own theories that a fairytale must offer up dreamlike images directly from the unconscious, which he believed was the dwelling-place of God, the book contains episodes which are largely chaotic in themselves, at times even containing stories within stories. However, as Colin Manlove has pointed out, although Phantastes is the most disconnected of all of MacDonald’s novels, it is bound by two connected themes which relate to the present study: the Ideal, which Anodos awakens and pursues throughout the book, and the Shadow,

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18 MacDonald, A Dish of Orts, 319.
which Anodos acquires in a cottage inhabited by an ogress. Both of these themes relate to a reawakening of the numinous consciousness for a revisioning of the relationship to the natural world.

As Manlove argues, these themes all revolve around possessiveness. The quest for the Ideal in the form of the White Lady (which is a surrogate for the divine presence modeled after Novalis’s Sophie) leads Anodos to an over-reliance on the self or ego which, in turn, dissociates him from an experience of the numinous; similarly, the shadow which Anodos acquires is that projected aspect of himself which dissociates him from an experience of wonder, which is the defining aspect of mythopoeia. In effect then, both the Ideal and the Shadow must be given up in order for Anodos to experience the numinous consciousness. This sacrificing of the self or ego in order to facilitate an experience of the numinous is part of what connects MacDonald to Coleridge, since the death of the self was present in MacDonald’s own theories as well as his predecessor’s. As he states in his Unspoken Sermons, “the one principle of Hell is—‘I am my own.” As with Coleridge, this clinging to the ego is what keeps us from experiencing that which is beyond words. So, although Coleridge is considered a Romantic and MacDonald is considered a Victorian, both these authors express a distrust of empiricist or rationalist modes of thought. In their theories as well as their works, these authors look to another source of truth, that of the unconscious, which leads to the reawakening of the numinous.

**THE IDEAL**

In MacDonald’s fantastic fiction, female characters often are means for male characters to achieve a higher spiritual state. Usually in the form of a wise old woman (Grandmother) or a form of ideal beauty with whom the male character must be initiated, these figures are feminine aspects of divinity, and the main hero must encounter this female in order to experience the numinous. One of the clearest cases for a progressive acceptance of the numinous is in the figure of the Ideal in Phantastes. In this theme, the hero, Anodos, pursues an ideal woman with whom he has fallen in love and, because of his love for her, he quests through three stages of love which are connected to Romantic Love and a form of love-death. The three stages are possessiveness, self-denial, and union upon death. Anodos must journey through these stages

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22 MacDonald, *Unspoken Sermons*, 495.
in order for a recovery of the numinous consciousness and a subsequent revisioning of the relationship to the natural world.

In *The Nature of Love*, Irving Singer describes Romantic Love as love which transforms selfish desires into an unselfish oneness. This unselfish oneness is viewed as a oneness with the divine presence in the form of a female. Although this love has its expression in the works of such figures as Keats, Shelley and Blake, its origins can be traced even further back in time. From Plato and the Neoplatonists, Romantic Love valued a purity which transcended sexual relationships; from Christianity, especially in the form of ecstatic mysticism, it inherited an interpersonal love which allowed one to participate in divinity; and, from Courtly Love, it borrowed the idea that the relationship between a man and woman is comparable to religious love. The combination of these elements into Romantic Love allowed the lover to awaken a desire for the beloved which was primarily based on feeling rather than reason and would lead the lover to an experience of the numinous.

One important feature of Romantic Love, which was especially important to Keats and Blake, was the connection between imagination and the desire for oneness. For example, Blake believed that God and man existed within each other, as well as in the world, and this oneness could only be experienced through an act of the imagination. Blake states that “Through the imagination we participate in God’s being as the creator of such unity.” This transformation occurs through a process of “sympathetic identification,” where one identifies with another in the process of love and, at the same time, perceives the unity behind the appearance of the two people, as well as the unity of all things.

A key concept in this expression of unity is merging. Whether it is merging with another person, nature or God, these aspects all imply a merging into the totality of being. Since Romantic Love is a “metaphysical craving for unity,” this unity can be reached through a variety of vehicles. Once the merging has occurred, and the unity experienced, all sense of ego is dissolved. To realize the nature of divinity present in all things is to realize that one is not an individual who is separate from the world but one who is combined into the totality of everything. It is through love that one loses this sense of self and merges into another. Love is

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the impetus for this experience of unity and, according to Singer, “Romantic Love---whether it is religious or secular, involving man and God or just human beings---finds its divinity in the act of loving.”

An important sub-mode of Romantic Love is its connection with the theme of love-death, a form of love reflected in the writings of Goethe, Novalis, and many other of the German Romantics. In contrast to Romantic Love, where the lovers are granted union, love-death affirms the position that a true union can only occur in death. In this view, death is seen as a superior state, and it is through death that an awareness of unity is comprehended. According to Singer, “The two lovers will consummate their love for one another after death in a way that nothing on earth can equal.”

It is significant that one of the main proponents of this type of love is the German author Novalis, a major influence on the thought of George MacDonald. For Novalis, everything experienced sensuously, whether it is nature or a human being, is a manifestation of divine love. He experienced this love with a thirteen year old girl named Sophie, who tragically died two years after they met. In his poem *Hymns to the Night*, Novalis “portrays Sophie as an emanation from God, and he celebrates the phenomenon of death as the goal for which all life has been created.” In MacDonald’s *Phantastes*, this theme of love-death, set within the broader aspects of Romantic Love, is effectively painted in the love of Anodos for his ideal beauty.

It is difficult to summarize the plot of *Phantastes* due to its dream-like structure. MacDonald believed that “The greatest forces lie in the region of the uncomprehended,” and that true fantasy should be fundamentally chaotic with only a small surface level of coherence. Thus both of his adult fantasies, *Phantastes* and *Lilith*, are filled with surrealist motifs, and the novels are more streams of chaotic images than structures containing plot lines. In *Phantastes*, the hero Anodos wakes up one morning to find that his room has transformed into Fairyland. As Manlove states, this transformation highlights MacDonald’s presentation of fairyland as a projection of a different mode of reality, a “change from one mode of being to another, mirroring the collapse of the empirical mode of presentation and entry into the unconscious

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26 Singer, *The Nature of Love*, 293.
mind and the world it perceives.\footnote{29} On his journey further into fairyland, Anodos enters a cave, and it is here that he discovers and awakens a beautiful woman in a marble tomb whom he desires to possess. She flees from him, and throughout the novel, in various adventures, sometimes adventures within adventures, Anodos pursues his “marble lady” in order to experience a love which will ultimately lead him to a higher spirituality.

The first form of love Anodos embodies is extremely possessive, a love opposite the goal of Romantic Love. Before he enters Fairyland, a small figure resembling a Greek statue appears from a cubby hole within a secretary to tell Anodos of his upcoming quest. After the figure transforms into a life-sized woman, Anodos is overtaken with the desire to possess her and reaches out to embrace her. She rebukes his advances, stating that she is actually two hundred and thirty seven years old and implying that she is his grandmother. Rolland Hein, in his book \textit{The Harmony Within}, points out that the episode reflects Anodos’ confusion between two types of desire: sexual desire (which he is now pursuing), and a joyous desire for an experience in a supernatural world (which he should be pursuing).\footnote{31} Since Anodos is in a low spiritual state, he does not see his potential for spiritual growth which contact with Fairyland can fulfill. Instead, he acts upon base sexuality which shows that the trip into Fairyland might be beneficial.

Once inside Fairyland, Anodos has experiences which further show that his love at this state is possessive. He is hunted early on in the novel by an evil ash tree who represents an all-consuming, possessive desire, and who wants to destroy Anodos. Just as he is about to be overtaken by the ash, he falls at the foot of a beech tree, which transforms into a woman, embracing and protecting Anodos from the evil ash. Through rescuing Anodos, the beech tree represents the opposite of the ash’s possessiveness. She gives of herself to protect Anodos and, even though she loves him, lets him continue on his quest. These two trees, the ash and the beech, reflect the dichotomy of the two loves present in the novel: the selfish and the selfless. They also symbolize the potentials at war within Anodos’ self. What Anodos does not realize

\footnote{29} MacDonald, \textit{A Dish of Orts}, 319.  
at this point is that the beech tree, which values loving rather than being loved, is the spiritual
goal which Anodos seeks.

When Anodos enters into a cave and discovers a lady encased in marble, he states
“What I did see appeared to me perfectly lovely; more near the face that had been born with me
in my soul, than anything I had seen before in nature or art." He sings a song which is
effective in releasing the marble lady, but she immediately flees from Anodos and continues her
journey in Fairyland. Anodos feels that his power to awaken the marble lady is a part of his
imaginative act to bring her to life, and his possessive love for her leads to his referring to her
as “My lost lady of the marble.”

In discussing the role of ideal beauty in Phantastes, Rolland Hein states, “The marble
lady appears to symbolize the spirit of the Ideal, or the Perfect, and, as such, is in MacDonald’s
thought a surrogate for the divine Presence.” This idea is equated to the function of
Romantic Love, in which the beloved represents a part of the lover, as well as a divine source,
where all must be unified into a totality. However, as Anodos continues on his quest, he cannot
experience this totality because of his egotistic desire to possess the marble lady. In fact, this
mode of love is present throughout most of the novel, and Anodos continuously sees her only as
property, not a path to an experience of the numinous. What Anodos fails to realize is that true
merging can only occur when the ego is denied, and the love is giving rather than taking in
nature.

One of the first insights readers get in relation to MacDonald’s vision of true Romantic
Love, where one must die to the self, is in a story Anodos reads in the Fairy Palace. It must be
remembered that MacDonald’s fantasies often employ stories within stories and, in this case,
what Anodos reads is, in a dream-like fashion, somewhat related to his own quest.

The story he reads centers around a figure named Cosmo, a university student who has a
fascination with magic and the occult. While helping a friend judge the value of an old suit of
armor in a store, Cosmo is overtaken by a desire to possess an old mirror which happens to be
in the corner. He purchases the mirror and, upon taking it home, notices that at certain times of
the day, a beautiful woman appears within it. This, again, is the theme of the ideal beauty, but

32 MacDonald, Phantastes, 36.
33 MacDonald, Phantastes, 42.
34 Hein, The Harmony Within, 61.
what is revealed is an alternative form of loving. Through the suggestion of the lady in the mirror, Cosmo learns that he cannot truly love her until she is freed from the enchantment of the mirror. He is told to break the mirror at the risk of never seeing his ideal again. After much inner conflict, Cosmo finally breaks the mirror, and after subsequent adventures ends up dying in his lady’s arms.

What occurs within the story is an act of renunciation, where in order to love his ideal, Cosmo must break the mirror. There is a love-death element present as Cosmo must destroy the image in order to gain a deeper love based in reality; also, he must literally die for his love at the end of the story. Thus, here, if one reads the psychological dimensions of the novel and its dream imagery, Cosmo’s tale represents a similar potential as that of the two trees: a growing awareness in Anodos of a new form of love, one that is not possessive and dominating, but one which is self-denying.

This form of love which is self-denying, and connected with the Romantic love-death ideal, was present in George MacDonald’s life. Viewing fantasy as an inner projection of unconscious thoughts, it is easy to see the figure of Anodos as a projection of MacDonald’s own unconscious self. In a letter to his wife Louisa, he wrote: “Is love a beautiful thing? You and I love but who created love? Let us ask him to purify our love to make it more real and more self-denying.” It was only through this self-denial that MacDonald believed that one could experience God. In fact, it is so self-denying that MacDonald often used the terms of death to convey a sense of it. In writing on what is called “daily death” he says, “We die daily. Happy those who daily come to life as well.” This view is also present in the Cosmo story. In the middle of the narrative, added in separately as an independent thought, it states: “Who lives, he dies; who dies, he lives.” These various references to death reflect MacDonald’s view that to love another is to die to the self, and it is through death that one can experience the unity of God and the world.

Upon completion of the Cosmo tale in the Fairy Palace, Anodos still has not learned the advantage of self-giving love. In fact, when he sees his marble lady again as a statue on a pedestal, many of the earlier episodes are replayed. He tries to bring her to life again with his

songs and, upon his success, tries again to grasp her. She flees from him, only to be pursued through the Faerian landscape. When Anodos’ attempts to capture her fail, he jumps from a rocky promontory in a suicide attempt. However, as he becomes submerged in the water, he experiences a new sense of joy. The reference to water is one of the clues which symbolizes Anodos’ changing attitudes. In MacDonald’s work, water represents death and rebirth. More specifically, it symbolizes Anodos’ loss of ego, which has, up to this point, dominated his possessive love for the ideal. Now that Anodos has experienced this loss of ego, he can fully learn the joy of a self-denying love.

For MacDonald, this self-denying love is best realized and employed in action. After Anodos survives his suicidal sea episode, he finds a cottage which is inhabited by a wise old woman. In her cottage she has four mysterious doors, all of which lead Anodos to some aspect of his former life. One of the most important doors is the door of sighs, where Anodos learns that the marble lady whom he has been pursuing is in love with a knight, Sir Percival, and the best course of action that Anodos can follow is to learn to serve his marble lady and release her. After this lesson is learned, Anodos returns to the cottage where the old woman tells him that he must go and do something worthwhile.

Now that Anodos has realized the importance of being humble and serving his lady, he sets out on many quests to prove his service. He helps two knights destroy giants who are plaguing their town, helps a girl destroy wooden men who keep her from finding her way home, and he saves sacrificial victims in a forest church service. This latter adventure is of the most importance because Anodos gives up his life for others. He dresses as one of the sacrificial victims and walks to the altar where he had witnessed the others disappear. He destroys one of the religious images and, as a result, a huge monstrous brute emerges from where it stood. After a fight, both the creature and Anodos die.

This episode clearly connects *Phantastes* with the love-death component of Romantic Love. What Anodos discovers is that death is a joyous event, and he becomes one with nature. After being buried, he states, “Now that I lay in her bosom, the whole earth, and each of her many births, was as a body to me, at my will. I seemed to feel the great heart of the mother

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37 MacDonald, *Phantastes*, 95.
beating into mine, and feeling one with her own life, her own essential being and nature.  
Thus it is only after this literal death that Anodos has a connection with the earth.  He has 
undergone a transformation similar to what Bonnie Gaarden describes as the Romantic spiral 
journey, a sort of “ethical evolution.”  Within this spiral journey, there is an original unity 
which is lost once the ego separates from what is non-ego, mirroring the dissociative process 
between the human and non-human.  The final goal, however, is the achievement of this 
original unity on a much higher, spiritual level.  
This is the journey undertaken by the Mariner 
in Coleridge’s poem as well.  Referring to this process as a form of Christian pantheism, 
Gaarden says, “God’s heart expressed in nature communicates to man’s heart more significant 
truth about deity than any doctrinal system could possibly convey to the intellect.”  
It is this movement from unity to dis-unity and to a higher unity which Anodos undergoes, and it is this 
process which gains him access to the numinous and allows him a revisioning of his 
relationship with nature.  In fact, in one of his transformations, he becomes a primrose in his 
marble lady’s garden, and when she notices its beauty, she plucks it and gives it a kiss.  
Anodos realizes that death has brought him closer to his love than in life.  In the most 
important passage in the book, Anodos verbalizes what he has learned in his Fairyland quest: 

I knew now, that it is by loving, and not by being loved, that one can come 
nearest the soul of another; yea, that, when two love, it is the loving of each 
other, and not the being beloved by each other, that originates and perfects and 
assures their blessedness.  I knew that love gives to him that loveth, power over 
any soul beloved, even if that soul love him not, bringing him inwardly close to 
that spirit; a power that cannot be but for good; for in proportion as selfishness 
intrudes, the love ceases, and the power which springs therefrom dies.  Yet all 
love will, one day, meet with its return.  All true love will, one day, behold its 
own image in the eyes of the beloved, and be humbly glad.  This is possible in 
the realms of lofty death. 

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38 MacDonald, *Phantastes*, 181.  
41 MacDonald, *Phantastes*, 181.
These final episodes, and this final speech on love, highlight important aspects of the love-death relationship in Romantic Ideal Love. In Romantic Love, as noted earlier, the emphasis is on merging, either between two lovers or with nature or God. Whatever one merges with involves a unity which is experienced between all things. Thus love acts only as a vehicle to achieve this higher sensibility. In Anodos’ case, he merges with nature and, through it, realizes the oneness of the world. Because he must die in order for the merging to occur, the death imagery employed by MacDonald cannot be overemphasized. Death is not a negative state but one in which humans have the ability to realize what is higher than the finite self. It is a state which brings one closer to nature, to God and closer to the beloved. For followers of the love-death tradition, it is only in death that a true consummation occurs. This value of death is portrayed in MacDonald’s text as well. Anodos anticipates the day when the death of his beloved will reunite him with her on another level.

*Phantastes* shows a continuous progression of love through the character of Anodos. He begins his adventures with a possessive love which reflects his physical needs. As his adventure continues, he realizes that true love can only come through a death of self, where claims to possession are supplanted by a joy only experienced by serving another. As the old woman in the cottage sings, it is better to be a well giving water than an impure cistern only receiving for itself.

Many critics have pointed out the heavy emphasis on female figures within *Phantastes*. In fact, the form of love MacDonald advocates through Anodos’ quest for the Ideal is equated with feminine thought. In his essay “Phantastes and Lilith: Femininity and Freedom,” Roderick McGillis states, “Feminine thinking takes us out of the self and into the joy of participating in all things.” This is exactly the love-death component of Romantic Love. Through loving the woman in all her fantastic forms in the novel, Anodos leaves the possessive side of himself for a love that is humble, serving and connected to God. Critic Colin Manlove argues that the theme connected with females is the renunciation of the mother figure, where to achieve unity within the divine, as well as unity with the earth, the female must be removed. Thus the final union with nature is brought about only by Anodos’ willingness to give up his quest for the Ideal. However, it is this act of renunciation which allows Anodos to sacrifice his life for
others and upon his literal death, “he enters that higher childhood of union with earth, of solid
self with solid self, which the earlier mothers have in part prefigured.”\footnote{43} So, whether it is his
Ideal, or the beech tree, or the wise woman of the four-square cottage, these images of the
female culminate with the final encounter with the ultimate mother, mother earth.

However, final death is not to be Anodos’ lot. In the last chapter of the book, Anodos
awakens back to an earthly existence and finds that he has left fairyland behind. He returns to
his castle and the love of his sisters, but he is still haunted by his strange experiences in
fairyland. He doubts whether his adventures may be translated into common life. From time to
time though, he thinks of his adventures, and even looks about for the mystical red sign, which
he believes will lead him back to the four-square cottage upon his final death. The last image
readers are presented with is Anodos lying underneath the shadow of a beech tree, resting. He
hears a faint voice which tells him, “A great good is coming-is coming-is coming to thee,
Anodos.”\footnote{44} He opens his eyes and fancies he sees the old woman from the four-square cottage
speaking to him through the trees. It is these images of the mother which conclude the novel:
“All images of motherhood: the earth, the beech tree, and the wise woman herself come
together harmoniously at the end of life.”\footnote{45}

**THE SHADOW**

The second figure related to the theme of possessiveness is the Shadow. Midway
through his pursuit of his Ideal, Anodos comes to a small hut inhabited by an woman. Entering
her hut, he finds her reading from a book certain stanzas which deal with the theme of darkness.
Anodos’ curiosity is activated when he sees a cupboard in the hut, and he immediately decides
that he will look in it. When he approaches it, the woman, without looking up from her book,
voices her prohibition: “You had better not open that door.”\footnote{46} However, as in the typical
folktale motif “the forbidden thing,” Anodos opens the cupboard, despite her warning. After
noticing a few household tools, he sees that the back of the cupboard opens up onto the night

\footnote{43} Manlove, “Circle of Imagination,” 66.
\footnote{44} MacDonald, *Phantastes*, 185.
\footnote{46} MacDonald, *Phantastes*, 56.
sky. He sees a dark figure, a sort of shadow, running towards him. It immediately enters the hut, but Anodos is unaware of its exact location. He asks the shadow’s whereabouts and the lady responds, “there on the floor, behind you.” Anodos is perplexed as to the nature of the shadow, and why it is attached to himself. Upon asking the lady its meaning, she states, “It is only your shadow that has found you...everybody’s shadow is ranging up and down looking for him.” As Anodos leaves the hut, he realizes the lady is an ogress, and he knows his shadow will have a negative effect on his subsequent adventures in fairyland.

The effects of the shadow are detailed, for the most part, in chapter nine of the book and require full attention because it is here that MacDonald’s emphasis on the theme of wonder, which is the characteristic of mythopoeia, is fully developed. The first two incidents which involve the shadow relate to its ability to affect nature. Upon awakening from a rest, Anodos notices that although the flowers he had lain upon were down-trodden, the ones on which his shadow fell were “scorched,” “shriveled,” “dead,” and “hopeless of any resurrection.” In a similar manner, when the shadow actually moves to a position on front of Anodos, it shoots forth rays of darkness and “wherever a ray struck, that part of the earth, or sea, or sky, became void, and desert, and sad to my heart.” Thus, given MacDonald’s emphasis on the imagination’s ability to perceive nature “as she is,” as a manifestation of the numinous, the shadow is that part of ourselves which cuts us off from any experience of the beauties of the natural world.

That the shadow destroys wonder is evident in Anodos’ encounters with others as well. In one encounter, he see a fairychild who has two toys which are described in the following manner: “The one was the tube through which the fairy-gifted poet looks when he beholds the same thing everywhere; the other that through which he looks when he combines into new forms of loveliness those images of beauty which his own choice has gathered from all regions wherein he has traveled.” The description of these toys is largely reminiscent of MacDonald’s own theories concerning the imagination, especially as it relates to the numinous. Beholding “the same thing everywhere” is the ability of the imaginative mind to perceive the eternal

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47 MacDonald, *Phantastes*, 57.
48 MacDonald, *Phantastes*, 57.
behind the temporal, while combining “into new forms of loveliness” mirrors MacDonald’s Coleridgean views of the imagination’s ability to create new forms. However, what is of interest is that once Anodos realizes the nature of these toys, the shadow embraces the fairychild, who then becomes a mere “commonplace boy, with a rough broad-brimmed straw hat” whose toys now become a multiplying glass and a kaleidoscope. Again, the shadow’s function is to destroy the imaginative wonder by which the world may be perceived in its most sacred manner.

What is perhaps the most interesting is that, during the course of these encounters with the shadow (and there are more), Anodos begins to welcome its disenchanting power. He states, “I will not see beauty where there is none. I will dare to behold things as they are. And if I live in a wasteland instead of a paradise, I will live knowing where I live.” As many critics have pointed out, the shadow, by denying the central element of wonder, represents an intellectual or materialistic mode of perception. Its function is to destroy any numinous perception of the world and appropriate reality so that it is “known,” thus negating any possibility of recovery or revision within the context of the sacramental vision. As R.L Wolff points out in *The Golden Key*, “The shadow represents pessimistic and cynical disillusionment, the worldly wiseness that destroys beauty, childish and naive pleasures, the delight of friendship and love; it is a foe of innocence, of openness, of optimism, of the imagination.” It is this shadow which Anodos must lose.

There is one more important episode with the shadow which is relevant to the thesis of the shadow’s ability to dissociate from the numinous: his encounter with the maiden with the crystal globe. In this encounter, Anodos travels for three days with a maiden who has a crystal globe as her playtoy. As with the previous episode with the ogress of the hut, the maiden voices her prohibition concerning the globe: “you must not touch it, or if you do, it must be very gently.” Again, Anodos’ curiosity proves too much. He touches the globe which then emits a sweet sound, increasing to a low harmony as he continues to touch the globe.

Eventually, however, the shadow reappears and enwraps the maiden along with her globe. Although the shadow has no power to change the maiden, as with the “commonplace boy,” it

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52 MacDonald, *Phantastes*, 60.
implants within Anodos an irresistible desire to touch the globe again, this time with disastrous results: the globe bursts and emits a black vapor which descends over both the maiden and the shadow. Distraught, the maiden picks up the fragments of the globe and escapes into the forest. All Anodos is left with are her parting words, “you have broken my globe!”

This episode is important for the present thesis because when Anodos meets the maiden in a subsequent chapter, he learns the most important lesson concerning the perception of the numinous: the death of the self. The shadow does show up in other places in the text, for example in his adventures in the fairy palace; however, it is Anodos’ imprisonment in the tower which directly relates to the theme of the death of the self. After he encounters the lady of the four-square cottage who tells him “Go, my son, and do something worth doing,” Anodos comes upon two brothers who are preparing to wage a battle with three giants who are threatening their country. Anodos proves to be the third knight prophesied for battle and, after much preparation, the three knights meet and battle the three giants. Unfortunately, the two brothers are killed in the battle but not until they have successfully killed two of the giants. The last giant Anodos kills, and when his pride surfaces as the result of his victory, the shadow appears again.

It is here that the shadow comes to represent Anodos’ own pride and over-reliance on the ego, and it is this that dissociates him from any experience of the numinous. After defeating the giants, Anodos compares himself with the great knights of old, specifically Galahad, and as he travels through the forest, his pride increases. He then encounters a knight who has the same armor and the same horse as himself. The knight has power over Anodos and commands him to follow. As they approach an isolated tower, Anodos realizes the connection between the knight and his own shadow: “I had a terrible conviction that the knight and he were one.” As Anodos enters the tower, he notices that the knight and horse have disappeared only to be replaced by the shadow which enters the tower with him. Critic R.L Wolff notices here a change in the nature of the shadow: “the shadow, which began as the intellectual skepticism that withers the imagination, and which later becomes conscience or consciousness of self, has

55 MacDonald, Phantastes, 61.
56 MacDonald, Phantastes, 62.
57 MacDonald, Phantastes, 144.
58 MacDonald, Phantastes, 161.
now become personal pride, or a misconception of one’s true role in the world.” Whether the shadow destroys wonder or mirrors Anodos’ own pride, it is MacDonald’s symbol for that which bars any recovery of the sacramental vision.

Imprisoned within the tower, Anodos notices the strange properties of his dwelling: when night comes, the walls of his prison vanish and he imagines himself free, while upon the coming of light, he is once again confined to his prison. After many days and nights, Anodos finally hears a sweet song outside his prison walls. When the song is completed, Anodos opens the door to his prison and realizes he was free to leave at any point. Upon leaving, he learns that his deliverer is none other than the maiden with the crystal globe. Apparently, the song brings Anodos to an epiphany because he realizes his pride and vows to be humble and lowly, to be a mere doer of his deeds. As Keith Wilson points out in his article “The Quest for ‘the Truth,’” “The girl’s song invites Anodos to come from his house of pride and be united with the spirit of the earth: he must lose the overwhelming sense of self and submit himself to a benevolent cosmic force.”

This loss of a sense of self comes to Anodos when he realizes the delight in being lowly, stating “I am what I am, nothing more.” Upon this revelation, the shadow finally disappears. Thus the shadow has come to represent, symbolically, the disillusionment which prevents one from the recovery of the numinous in order for the revisioning of the world within the context of the sacramental vision. As Wilson further points out, the shadow “shackles him to the mundane” and “If the shadow is the foe of all delight in the natural, it is also the foe of God.” Once Anodos realizes he had lost his shadow, and that it is best to be humbled and lowly, he knows that with this ‘death of the self’ he can open to the possibility of an experience of something higher, that of the numinous. He reflects, “Self will come to life even in the slaying of the self; but there is ever something deeper and stronger than it, which will emerge at last from the unknown abysses of the soul: will it be as a solemn gloom, burning with eyes? or a clear morning after the rain? or a smiling child, that finds itself nowhere, and everywhere?”

61 MacDonald, *Phantastes*, 166.
63 MacDonald, *Phantastes*, 166.
The reference to the “slaying of the self” is what underlies the entire myth of *Phantastes*, incorporating both the theme of the Ideal and the Shadow. If these two themes revolve around possessiveness, as Manlove argues, then MacDonald’s thesis posits that it is only this possessiveness that keeps one from the experience of the numinous and the subsequent revisioning of the natural world. The “death of the self” is also analyzed by Otto in his discussion of the *mysterium tremendum*. In contrast to the feeling of *majestas*, or “absolute overpoweringness,” the subject feels its own nothingness in the face of this overwhelming power, and this feeling inculcates religious humility. By contrasting what he terms “consciousness of createdness,” the focus on the creature as being created, with the “consciousness of creaturehood,” the focus on the nothingness of the creature, Otto posits that the latter is the most effective in emphasizing the superiority of a power other than the subject. This consciousness is akin to various forms of mysticism and stresses two foci paramount to our present thesis: the annihilation of the self, and its complement, the emphasis on the transcendent as the sole reality.

This annihilation of the self and the stress on the transcendent unite both MacDonald and Coleridge’s mythopoeic visions. Through their works, the main characters only recover a sense of the numinous when they dissolve their separate selves and see a transcendent reality over and above the mundane. In a parallel manner, it is the loss of the self which brings about the revisioning of the natural world and a participation in the numinous. Both the Mariner and Anodos are on unique quests, to gain identities which reflect a higher sense of spirituality. As Roderick McGillis states of *Phantastes*, “the quest for identity is a quest for continuous becoming, not to imprint the self on the world, but to achieve that joy which is a going out of the self.”

Critic Keith Wilson argues that George MacDonald is “the most apocalyptic of Victorian fantasists,” reasoning that the fundamental myth underlying *Phantastes* is *via negationis*, “the discovery of God or reality by the progressive stripping away of the veils of illusion.” (Wilson employs the term “apocalyptic” in its technical sense of “revealing” or “unveiling.”) This is an accurate statement and is easily applicable to the authors considered in

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66 Wilson, “The Quest for ‘The Truth,’” 141.
the present study, although their means of projecting these apocalyptic visions differ. For Coleridge and MacDonald, the discovery of God or reality is achieved through an annihilation of the self, and it is the overemphasis on the ego which keeps one from the recovery of the numinous consciousness. It is also that clinging to the ego which dissociates one from total participation in the environment. This is precisely the thesis argued by Evernden, that the western stress on the ego denies interrelatedness and fails to recover or revise our original relationship to the natural world. For C.S. Lewis and J.R.R. Tolkien, on the other hand, our original relationship to the natural world is not to be recovered by any annihilation of the self. Their books offer the reader apocalyptic visions on a more epic scale. It is not a transcendence of the self which gives access to the numinous, but a transcendence of the entire world. Finally, it is not here in nature where the numinous resides. It is elsewhere.

67 Wilson, “The Quest for ‘The Truth,’” 141.
CHAPTER FOUR

“FURTHER UP AND FURTHER IN”: APOCALYPSE AND THE NEW NARNIA IN C.S. LEWIS’S THE LAST BATTLE

“I think that all things, in their way, reflect heavenly truth”
---C.S. Lewis

“The goal of world destruction is world creation”
---Eric Rabkin

In his review of Tolkien’s The Lord of the Rings, C.S. Lewis states, “If you are tired of the real landscape, look at it in a mirror.”[1] As discussed in the previous chapter on MacDonald, mirrors are often employed by authors to highlight what is, for the present study, the defining element of mythopoeic literature: the experience of the numinous as a means of revisioning our relationship to the natural world. By looking at an object in a mirror, we are viewing the same object, but in a slightly different way. Similarly, the author of a mythopoeic text is drawing upon constructs presented in the “real” world, but at the same time “departing” from this reality to offer the reader a fresher, more sacred way of perceiving our ordinary world. The experience of this new form of perception aids in giving its readers a “rich significance” to what both Lewis and Tolkien argued is hidden by the “veil of familiarity.” Thus, contrary to the popular criticism of fantasy as a form of “escapism,” this mythopoeic literature offers a unique way of

participating in reality. As with myth, in this form of literature, “we do not retreat from reality: we rediscover it.”

In fact, the common theme throughout much of Lewis’s essays, particularly in On Stories and Other Essays on Literature, is this sense of the experience which mythopoeic literature offers, an experience which is not found in other, more mimetic works. As Lewis discovered while reading many of the works of MacDonald (Phantastes, for instance, “Baptized his imagination”), the whole function of art is to present to the reader this experience which the more narrow ways of perceiving reality exclude. Commenting on this experience in such books as Phantastes, Lilith, and “The Golden Key,” Lewis states, “They give, like certain rare dreams, sensations we have never had before and enlarge our conception of the range of possible experience.” For Lewis, the specific form of literature which embraced this experience was the fairy tale. By its simple plot and characterization, the fairy tale acts as a net in which to catch something greater:

In life and art both, as it seems to me, we are always trying to catch in our net of successive moments something that is not successive. Whether in real life there is any doctor who can teach us how to do it, so that at last either the meshes will become fine enough to hold the bird, or we be so changed that we can throw our nets away and follow the bird to its own country, is not a question for this essay. But I think it is sometimes done—-or very, very nearly done—-in stories.

As many critics have argued, this elusive bird is that mythic quality within stories which embodies what Otto terms the numinous.

In his often cited chapter “On Myths,” in Experiments in Criticism, Lewis discusses the numinous as one of the six characteristics of myth. It must be noted, however, that Lewis felt that the term “myth” was problematic in that the original Greek word meant “any story”; what Lewis is concerned with in his discussion is rather a certain “mythic quality” which may or may not be found in original myths but, more importantly, is sometimes found in literary constructions of mythopoeia, works such as Stephenson’s Dr. Jekyll and Mr. Hyde, H.G. Wells’ The Door in the Wall, Kafka’s The Castle, or certain episodes in Tolkien’s The Lord of the

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2 Lewis, On Stories, 90
3 Lewis, On Stories, 66.
4 Lewis, On Stories, 19-20.
Rings, such as the Ents or Lothlorian. Most of Lewis’s six characteristics of myth focus on the experience which Lewis felt was the most important aspect of this type of literature. The first two characteristics are that these works of the imagination are extra-literary and they do not rely on suspense or surprise. As Lewis states, they are “valuable in introducing us to a permanent object of contemplation---more like a thing than a narration---which works upon us by its peculiar flavour or quality, rather as a smell or chord does.”\[^5\] It is interesting to note here that Lewis uses the analogy of music to convey the sense of a felt experience, a reference which connects his theory to MacDonald, who similarly felt that fairy tales conveyed an experience closely akin to music. This reference further traces his theory back to Otto, who also described the numinous experience as related to the experience of music.

The third characteristic is that, as readers of a mythic work, we never project ourselves onto the characters. There is always a certain distance we create when we read about characters that separates us from their actions. The fourth characteristic is that myths deal with the fantastic, which Lewis describes as anything involving impossibles or preternaturals. The fifth characteristic is that the experience of myth may be sad or joyful, but it is always grave. The sixth characteristic, which is for our purposes the most important, is that this type of literature involves the numinous. Concerning this characteristic, Lewis states:

> The experience is not only grave but awe-inspiring. We feel it to be numinous. It is as if something of great moment had been communicated to us. The recurrent efforts of the mind to grasp---we mean, chiefly, to conceptualize---this something, are seen in the persistent tendency of humanity to provide myths with allegorical explanations. And after all allegories have been tried, the myth itself continues to be more important than they.\[^5\]

Lewis’s concern is not with any particular authors’ eloquence of writing style in conveying this experience, but instead the ability of the work to present this “mythic quality” as it affects the reader. This type of myth, Lewis believes, doesn’t command belief as the original myths once did, but instead is more of an exercise in contemplating a certain sense of holiness for which the metaphors of fantasy are merely the vehicle. In this respect, it is interesting to note Lewis’s stress on the faulty efforts of the mind to conceptualize this quality, a further

connection with the indescribable nature of “wonder” to which fantasy authors and critics refer, as well as the non-rational and indescribable aspect of the numinous experience as detailed by Otto.

The attempt to capture this sense of holiness has its parallels with Coleridge’s function of the imagination as well as some of the broader implications of Romantic theory. As Colin Manlove points out in his book *The Chronicles of Narnia: The Patterning of a Fantastic World*, Lewis participates in a “Romantic Theology,” which Manlove defines as “a belief that certain images may act as temporary vessels of God, filling human beings with a longing, or sehnsucht, for heaven.” 7 In this aspect of Romanticism, where a bridge between the supernatural and natural realms is created, Lewis and Coleridge share similar views; however, what is of more importance in the present study are their differences, which provide us with the dividing line between our first two authors, Coleridge and MacDonald, and our last two authors, Lewis and Tolkien. For Coleridge, as well as MacDonald, the imagination has its ultimate source in God, and the function of the imagination to reproduce images is both creative and active. For Lewis, on the other hand, the imagination is not directly God but only a medium whereby he may reveal himself. As Mineko Honda states, “this lack of the idea of *imago dei* in Lewis comes from his radical difference between man’s life and divine life.” 8 As a believing Christian, Lewis, as well as Tolkien, believed that God was utterly “other,” and that although mythopoeic literature offers metaphors to contemplate a holiness which is related to God, God himself could never be directly known.

This sense of mythic dissociation, of God as separate from humans, is the dividing line between the four authors of the present study. These four authors are Christian, and they are attempting to infuse their readers with the numinous in “an attempt to make us thrill imaginatively to a divine reality both near and far, both with us and other.” 9 However, Coleridge and MacDonald locate the numinous both “near” and “with us,” the predominant metaphor being the annihilation or death of the self as a means of achieving the numinous

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6 Lewis, *An Experiment in Criticism*, 44.
consciousness; Lewis and Tolkien, on the other hand, locate the numinous as “far” or “other,” the predominant metaphor being the death or fading of the world. However dissimilar the metaphors appear to be, the consistent premise is the experience of the numinous as a means of revising perceptions of this world.

Mythopoeic fantasy is an effective means whereby to achieve this desired effect. By departing from consensus reality, fantasy aids in transforming that reality into a sacramental vision, where the world is seen anew. As Lewis states, “this excursion into the preposterous sends us back with renewed pleasure to the actual.” Critics such as Eliane Tixier have also noted the dual purpose of fantasy as a means to experience the eternal as well as renew the temporal, especially as it relates to Lewis’s theories. In her article entitled “Imagination Baptized or ‘Holiness’ in the Chronicles of Narnia,” Tixier argues that on the one hand, Lewis wants to convey a sense of longing, or sehnsucht, for a place beyond our world, a place of which we may only catch glimpses in this world. On the other hand, although this longing is important, “ardent longing must coexist with an ability to recognize ‘footprints of the divine’ in our world.”

The longing for a faraway reality is embodied in Lewis’s term “joy,” which is equivalent to Otto’s term numinous, and it is this joy which gives one the ability to experience the transcendental realm above and beyond our own. It is the sacramental vision. “In fact” Tixier states, “the normal, final consequence of anticipations of Joy, of beauty, of glory in the tales, besides waking our desires and encouraging our faith, is to enable us to see, in everything beautiful, the giver of all things, to hear the divine presence in the roaring wind or to see it in the ‘cushiony moss’ by a brook.”

As Jesse Thomas argues in his article “From Joy to Joy: C.S. Lewis and the Numinous,” the influence of Otto’s The Idea of the Holy inspired Lewis to find a comparable term to explain experiences he had in his own life. His term “joy” came to refer to this deeper sense of reality which underlies the more mundane world. It is this joy or numinous quality which is “in the background” of Lewis works, whether in his theological texts, his science fiction, or in his children’s stories. The most detailed account of Lewis’s understanding of joy comes from his

10 Lewis, On Stories, 14.
11 Eliane Tixier, “Imagination Baptized or Holiness in the Chronicles of Narnia,” in The Longing for a Form: Essays on the Fiction of C.S. Lewis (ed. Peter J. Schakel; Ohio; the Kent State University Press, 1977), 146.
autobiography *Surprised by Joy*. In the book, Lewis defines his experience of joy as an “unsatisfied desire,” not unlike grief or unhappiness, but nonetheless a kind of desire which one would not exchange for anything else in this world. Lewis presents to the reader three imaginative experiences in his life which help one understand what he meant by the term joy. The first experience was a memory of a memory. When Lewis was a child, his brother presented to him a toy garden which was made out of an old tin and some moss. Lewis states that this toy garden was always equated with ideas of Paradise and, later in his life, when he reflected on the memory of the toy garden, he felt a “stab” or “pang,” which had a profound impact on his life. Lewis describes the effect of the experience:

> And before I knew what I desired, the desire itself was gone, the whole glimpse withdrawn, the world turned commonplace again, or only stirred by a longing for the longing which had just ceased. It had taken only a moment of time; and in a certain sense everything else that had ever happened to me was insignificant in comparison.\(^{13}\)

What is helpful in this account of joy is Lewis’s admission that once the glimpse recedes, the world is referred to as “commonplace” again, stressing the dichotomy between the two disparate realities. Thus in this example, the two realities are mutually exclusive. In a similar manner, the second experience of joy which Lewis relates reflects the exclusivity of the two modes. Upon reading *Squirrel Nutkin* by Beatrix Potter, Lewis says that the desire was reawakened and, in a Platonic sense, the story gave him an experience of the “Idea” of Autumn: “It was something quite different from ordinary life and even from ordinary pleasure; something, as they would now say, ‘in another dimension.’”\(^{14}\) What is noticed here is, again, the view that ordinary reality and the experience of joy are quite different from one another.

The final experience of joy comes from Lewis’s fascination with the world of Norse mythology, especially Longfellow’s *Saga of King Olaf* and myths concerning the hero Balder. In his reading of these myths, Lewis felt the same experience of joy. In fact, it was this element of joy that Lewis admits was missing in his own Christianity and made him, for a time, an

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atheist. He was at pains to understand why Christianity was the one “true” religion while others, such as the Norse, were untrue, even though they elicited this unique religious response.

One of the most important experiences which helped bring Lewis back to Christianity after a period of serious doubt was his reading of George MacDonald’s *Phantastes*, the book which “baptized” his imagination. It is also with this experience that we see a bridge created between the natural and supernatural, the two realms now being interdependent rather than mutually exclusive. Lewis felt that *Phantastes* gave him a unique experience of holiness, where what was encountered transformed the way reality was perceived. He states, “never had the wind of joy blowing through any story been less separable from the story itself.”  

Whereas before, his visions of joy had reminded him of another world, a world distinct from this one, now he realized that the experience of reading *Phantastes* helped bring the worlds together. The reading aided in “transforming all common things and yet itself unchanged. Or, more accurately, I saw the common things drawn into the bright shadow.”

In summation, there are three important considerations to bear in mind concerning the present discussion. The first is that Lewis’s concept of joy is analogous to Otto’s concept of the numinous; these are both sensations or experiences which are awe-inspiring and indescribable. Concerning Lewis’s concept of joy, critic Mineko Honda says, “it is a sensation of an extraordinary, indescribable longing caused by quite ordinary things in life. It is numinous, too, because the very person struck by that longing cannot specify what he really longs for.” Secondly, unlike the first two authors, Lewis locates the source of the numinous as “outer” rather than “inner.” When Lewis later converts to Christianity, he still experiences joy but these experiences are not as important. Lewis argues that these experiences are only “signposts” to a realm utterly beyond this world, a Heaven which is only reached upon death. As Mineko Honda argues, this is where Lewis diverges from mainstream Romantic ideology. Even though “joy” is an aesthetic experience comparable to Coleridge’s “joy” or Wordsworth’s “spots of time,” the fundamental difference is that, for Lewis, joy points to a world which is external and beyond. It can be argued that if nothing in this world satisfies the desire experienced with joy, then it must be outside the world; in a Christian sense, Heaven must be the ultimate satisfier of the desire.

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However, the third consideration to be kept in mind is perhaps the most important for
the present argument: although the numinous experience is somehow external, it still has the
ability to revise the way ordinary reality is perceived. Lewis believed that his imaginative
works were vehicles through which the numinous could be experienced. As Honda argues,
Lewis’s fictions are ways of participating in an “Absolute Reality”; they give readers a
foretaste of that reality. For Lewis, the mythopoeic fairy landscape was the appropriate means
both to convey the experience of the numinous as well as to allow that experience to lift the
“veil of familiarity” for complete access to the sacramental vision:

It would be truer to say that fairy land arouses a longing for he knows not what.
It stirs and troubles him (to his life-long enrichment) with the dim sense of
something beyond his reach and, far from dulling or emptying the actual world,
gives it a new dimension of depth. He does not despise real woods because he
has read of enchanted woods: the reading makes all real woods a little
enchanted. This is a special kind of longing.\footnote{17}

At the conclusion of the last chapter, critic Keith Wilson referred to George MacDonald
as an “apocalyptic writer” due to the fact that texts like \textit{Phantastes} attempt to discover God by
an “unveiling” of illusion to perceive God’s true nature. In the present study, as a sub-theme,
we have broadened the argument by viewing all four authors as apocalyptic in differing
contexts. In order to perceive the numinous, and to revision “right” relationships with the
natural environment, the “veil of familiarity” to which Tolkien and Lewis specifically refer,
must be lifted; the old must give way to the new. The unique religious response which the
numinous experience allows for this new vision is the defining element of mythopoeia. In fact,
it is the extent to which the numinous is present in a particular work which makes one author
mythopoeic and another not. Critic Ed Chapman says, “I would say that the degree to which a
fantasy writer creates (or recreates) a myth to which we experience an intensely numinous
response, is a measure of his status as a fantasy or mythopoeic author.”\footnote{18}

\footnotetext[16]{Lewis, \textit{Surprised}, 181.}
\footnotetext[17]{Lewis, \textit{On Stories}, 38}
Discussing the theme of the apocalypse in speculative fiction, Eric Rabkin says that “the goal of world destruction is world creation.”\footnote{19} It is no wonder, he argues, that writers provide us with images of the end of the world because we fear what our technologies can achieve. There is no doubt that technology has provided us with beneficial advances; however, what speculative authors contemplate are the negative effects which are the result of these same technologies. This is especially the case with environmental issues such as global warming, population explosions, pollution, and mass extinction. As discussed in chapter one, one possible starting place for engaging in the environmental debate is to involve not just the field of science, but also the humanities. By looking at literature which exposes our predominant views about ourselves and our relationship to the environment, the possibility exists for us to create new metaphors for these relationships and, as a consequence, change or revise our attitudes toward nature. This is the specific function of mythopoeic art. By departing from reality, this literature subverts normative modes of thinking to allow us to rethink our assumptions about nature. In a similar manner, the apocalyptic visions of the end of the world supply us with metaphors for the destruction of the world in order for us to contemplate a more sacred reality. As Rabkin states, “the world we see is the world we were raised to see; to have a world of our own we must destroy the world we inherit and project ourselves onto chaos.”\footnote{20} This basic assumption underlies Ecocriticism as well. The proposal of ecocritics to offer an “alternative view of existence,” in their case by analyzing literary texts, is precisely the function of the mythopoeic author.

The apocalyptic theme within mythopoeic fantasy helps in the revisioning of the relationship to the world; it offers new metaphors by destroying old ones and allows for fresh perspectives. The unique characteristic of mythopoeia is that, unlike myth which posits its stories as “true” for a particular culture, mythopoeia transfers the same sense of the numinous as myth but in a symbolic truth. Therefore, apocalyptic visions are not necessarily literal visions of the end, but speculations encapsulated in metaphors to help us think about certain


\footnote{20} Rabkin, “Why Destroy the World,” x.
issues. Apocalyptic visions are ways of thinking which help us “break free from a mental cage.”

In terms of ecological discourse, the apocalyptic emphasis of these authors aids in contemplating a shift from Campbell’s mythic dissociation to mythic association. As Campbell argues, the underlying ideology of religions such as Judaism, Christianity and Islam, is a separation between God, humans, and nature. Campbell’s reading of Genesis emphasizes this separation where man is created by God and given “dominion” over the earth. This same line of argument is pursued by Lynn White who, as mentioned in the first chapter, believes Christianity “bears a huge burden of guilt” for environmental problems. However, what is of importance in the present argument is that the mythopoeic writers, Coleridge, MacDonald, Lewis, and Tolkien are Christian, and at the same time they are challenging our ideas of a total separation between God, humans, and nature through their secondary worlds. Although Lewis and Tolkien locate the numinous as somehow “outer,” and “beyond,” they still present us with the possibility that experiencing the numinous can help us to revise our way of perceiving reality here and now. Thus what happens frequently in apocalyptic texts is that what is transcendent (i.e., beyond human limits) is made immanent or interiorized. As Robert Galbreath argues in his article “Ambiguous Apocalypse,” “Thus immanentized or internalized, the transcendental is within nature, yet still beyond the known, still other (if not quite wholly), fully capable of eliciting awe, wonder, terror, but not truly a source of religious faith or an object of worship.”[22] Galbreath concludes that this transcendence can be achieved through gnosis or visionary experiences, and the apocalyptic theme is a means by which mythopoeic authors can convey the experience of the transcendent.

Galbreath further states that “speculative fictions of eschatological transcendence may combine the end of the world or the species with internal awakening.”[23] This comment validates Rabkin’s contention that these texts help free us from mental cages in order for a revisioning of our relationship to the natural world. In mythopoeic fantasy, the perception of God as separate from humans and nature (mythic dissociation) moves more to a contemplation

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of mythic association, where the numinous consciousness is viewed as permeating the entire world. This challenging of normal modes of perception is the unique, subversive characteristic of mythopoeia. Viewing apocalyptic visions as symbolic ways of thinking about environmental problems enables us to participate in a hypothetical dialogue with our most basic cultural assumptions. In this sense, mythopoeic fantasy is a “game” in W.R. Irwin’s definition, a way of changing anti-fact into fact in order to rearrange, rethink, and ultimately revise our ways of thinking.

The efficacy of C.S. Lewis’s strategy in producing a fantastic world and importing the experience of the numinous is apparent in the amount of criticism devoted to The Chronicles of Narnia, especially the first book, The Lion, The Witch, and the Wardrobe. It is a commonplace that the character of Aslan is an allegorical representation of Christ and that the whole story is a recreation of the death and resurrection of this religious figure. However, criticism has failed to take into account the highly religious didacticism in the other six books of the series. If Lewis’s goal in the Narnia stories was to convey the numinous experience into fantastic texts, how might the religious dimension, specifically the apocalyptic theme, relate to the present thesis?

Although there are many religious elements in the Narnia stories, Lewis concentrated most of his imaginative efforts in the first and last books of The Chronicles of Narnia. For Lewis, there had to exist powerful structures allowing entrance into the fantastic world, as well as equally powerful exits. In a religious context, Lewis can be said to have dealt with “first” and “last” things in The Lion, the Witch, and the Wardrobe (1950), and The Last Battle (1956), respectively.²⁴ Because his treatment of the end-time in particular unfolds the full vision of his religious thought, especially as reflected through his attitude towards the natural world, this chapter will turn to The Last Battle. In the text of The Last Battle, what Lewis offers the reader is a carefully constructed apocalyptic vision based on the book of Revelation, but he employs his own mythopoeic constructions of such themes as false prophets, final judgment, the destruction of the world, and the creation of a paradisal new world. It is within this apocalyptic structure that Lewis conveys, in a symbolic manner, a means of breaking our “mental cage” in order for us to view the sacramental vision. Lewis achieves this through a careful employment of the Platonic notion of our world as an “illusion,” a world which must only be a foretaste of a

²⁴ For the most part, scholarly attention to The Last Battle has been lacking.
deeper, more sacred reality. This is the “veil of familiarity” which must be lifted (or reality “unveiled” in apocalyptic discourse) for a more numinous revisioning of the world, one in which nature is fully appreciated.

From the Greek *apokalypsis*, apocalypse means “to reveal or uncover,” and what is revealed is a vision of the end of the world. In showing this end, apocalyptic texts conform to certain identifiable characteristics which have been discussed with particular attention for obvious reasons by biblical scholars. These characteristics have led scholars such as John Collins, in his book *The Apocalyptic Imagination*, to define an apocalypse as “revelatory literature with a narrative framework, in which a revelation is mediated by an otherworldly being to a human recipient, disclosing a transcendent reality which is both temporal, in so far as it envisions eschatological salvation, and spatial in so far as it involves another, supernatural world.”

For purposes of Lewis’s construction, this definition is useful. Identifying apocalyptic literature in terms of its generic characteristics allows these characteristics to be transferred and identified within other “profane” or non-sacred texts. Collins himself acknowledges the blurring of boundaries between religious mythology and imaginative fiction when he states, “the composition of highly symbolic literature involves a vivid use of the imagination, which may be difficult to distinguish from visionary experiences in any case.” Applying Collins’s generic definition of apocalypse to Lewis’s theories of fantasy, as experiences of the numinous contained within fantastic imagery, helps readers understand Lewis’s mythopoeic construction in *The Last Battle* as a unique vision of an apocalyptic end.

The apocalyptic series of events in *The Last Battle* is initiated by an ape named Shift. Finding a lion’s skin floating downstream, Shift constructs an elaborate plan whereby he can take complete control of Narnia: he fits the lion’s skin onto his innocent companion Puzzle, a donkey, and convinces all the Narnian creatures that Aslan has come back. Using the false Aslan as his mouthpiece, Shift is able to destroy much of the Narnian landscape, as well as plant seeds for a false religion (he tries to convince Narnians that the god Tash and Aslan are one—”Tashlan”) which he thinks will destroy confidence in the real Aslan. When the original

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kings and queens of Narnia arrive to help, they find the fake Aslan in a stable on Stable Hill, where the Narnians enter to communicate with Aslan. However, once the children (the original kings and queens of Narnia) enter the stable, they discover that it is actually the real Aslan’s country. Within it, they find Aslan himself and, upon the other creatures’ entry into the stable, a judgment occurs; based on their merits in life, the creatures are either accepted into Aslan’s paradisal country or are never seen or heard of again. Once the judgment has occurred, the inhabitants of the stable are able to peer through the stable door to witness the destruction of the old Narnia. After the destruction, they are taken “further up and further in,” realizing that inside the stable is the true Narnia, whereas the old had been a mere copy or shadow.

In terms of Collins’s definition, *The Last Battle* fulfills all the characteristics of apocalyptic literature: it provides a narrative framework; it is revelatory in that it reveals an end (the destruction of the old Narnia); it is mediated by an otherworldly being (Aslan) to a human recipient (in this case those who are within the stable); and, it discloses a transcendent reality which is both temporal (the eschatological end of the old Narnia) and spatial (the supernatural world of the new Narnia, which is “more real” than the old). Not only does the text fulfill these generic characteristics of apocalyptic literature, it also has, not coincidentally, close parallels with the biblical text Revelation.

One of the signs of the apocalypse in the book of Revelation is the coming of false prophets. In chapter twelve of Revelation, there appears a great Dragon who is revealed as Satan or the Devil. In this chapter, the Dragon attempts to destroy a child waiting to be born of the Heavenly Mother. After a failed attempt, the child is rescued by God, and a battle ensues whereby Michael defeats the Dragon and throws him down to the surface of the earth. Revelation 12:17 states that after the Dragon reached earth, it “went to make war on the rest of her children.” According to Adela Collins, this Dragon represents the negative force in the world which opposes justice and order; it is the primal sea monster which is the embodiment of chaos itself, and it is continually threatening the rule of the divine king.

The two beasts in chapter thirteen of Revelation reflect a similar symbolism. They are both Anti-Christ figures and are further echoes of the threat of chaos and sterility towards the order of the world. For Collins, more specifically, the beast from the sea “applies to the

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perennial desire of human beings to dominate one another,” while the beast of the earth “applies to any situation in which false power demands total allegiance.”

Collins further identifies the beasts in this chapter with what she terms a “counterfeit cult” or “counterfeit power,” where self-centered motivations oppose any consideration of the beneficent power in the world.

The dragon and the two beasts in Revelation clearly have parallels in Lewis’s *The Last Battle*. In fact, in a letter to a child inquiring about the nature of the symbolism in the text, Lewis states “And of course the Ape and Puzzle, just before the Last Judgment (in *The Last Battle*) are like the coming of Anti-Christ before the end of our world.”

In the text, by disguising his donkey/servant in the lion’s skin to deceive the Narnians into thinking it is Aslan himself, the ape Shift is engaged in a “counterfeit power,” the self-centered power which allows him to construct methods for the takeover and destruction of the Narnian land. For example, by a decree from the false Aslan, Shift has the Narnian forests destroyed; he also enslaves the free creatures of Narnia into working to his advantage.

In having the forests destroyed, Shift symbolically represents the forces of chaos and sterility which, both in *The Last Battle* and Revelation, are equated with evil. In a similar manner, the nature of the evil act is specifically directed at environmental destruction, and it is here where our interests lie. In a revealing episode early in the book, King Tirian and his companion, Jewel the unicorn, are discussing the supposed return of Aslan to Narnia. Of course, this information turns out to be second-hand and, upon the arrival of Roonwit the Centaur, turns out to be false. As a reader of the stars, Roonwit tries to convince Tirian that the coming of Aslan is not in the stars; on the contrary, the ominous configurations of the stars predict a time of evil and deceit. Taking these signs from the sky, Roonwit concludes that the predictions concerning the coming of Aslan must be a lie.

As they are debating the true nature of the signs, they are interrupted by the voice of a Dryad, a mythical creature who is likened both to a woman and a tree. Upon her arrival, she exclaims, “Woe for my brothers and sisters! Woe for the holy trees! The woods are laid waste.

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The axe is loosed against us. We are being felled. Great trees are falling, falling, falling.**

Before the king can ascertain who is the culprit behind these murderous acts, the Dryad gasps in pain, falls on her side, and dies. Upon her vanishing, they realize that that the tree in which she dwelled had been cut down. The crime against her is made more horrific in that she was no mere tree, but one of the surviving talking trees of Narnia.

As with Tolkien’s imaginative creation of the Ents in *The Lord of the Rings*, Lewis’s imagination drew upon the mythic equivalent of a creature who represents the fusion of the human with nature: the Dryad. By portraying the death of the Dryad, ultimately the result of Shift’s “counterfeit power,” Lewis connects pure evil with a blatant disregard for the natural environment. It is the symbolic power of Shift, which is revealed to be the apocalyptic equivalent to Satan, which must be overcome in order for a revisioning of a “right” relationship to the natural world. In *The Last Battle*, Shift represents the power of both beasts in Revelation, but is particularly close to the beast of the earth who, in Revelation 13:14, “deceives the inhabitants of the earth” and forces them to worship an image of the first beast. In this context, Shift represents the dominating influence of a self-centered power, which in Narnia becomes a threat to the natural world as well as the continued stability and freedom of the Narnian creatures.

However, the differences between the two texts are interesting as well. Puzzle, the donkey who is actually disguised as Aslan, is also the subject of Shift’s dominating power. Puzzle never willingly accepts his role as a deceiver and, at the end of the text, he is actually redeemed by the real Aslan. So, although the symbolism of the two beasts is present in *The Last Battle*, it is not separated into two distinct beasts; instead, the power of the beasts is amalgamated into one figure, Shift, who embodies the qualities of both Satanic figures.

In the background of Shift’s deceits is also a figure who parallels the Satanic dragon in Revelation. Tash, a name given to a false god in Narnia, is described as having a man’s body with a bird’s head, as well as four arms with sharp claws. At first glance, the god seems like a vast shadow, a smoky presence smelling of death itself. Tash “floats” on the earth and in his wake “the grass seemed to wither beneath it.”

The death imagery surrounding Tash clearly conflicts with the springtime beauty associated with the land of Narnia. In a mythopoeic

context, this quality echoes the connection between the Dragon of Revelation and the negative powers of chaos and sterility which Collins points out in her text. In a symbolic manner, Lewis connects ultimate evil with a sterility which threatens nature itself. Tash also serves Shift’s deceptive plan in that Shift tries to make the Narnians believe that Tash and Aslan are really one god, Tashlan. By trying to incorporate the two gods into one power, Shift is acting in the role of the false prophet, trying to introduce confusion and chaos into the Narnian world.

Apocalyptic literature is eschatological in that it envisions an end to the world, usually portrayed as the destruction of the cosmos. In Revelation, there are many poetic images of this end and, as Collins suggests, each of these visions are separate repetitions of the same theme of cosmic destruction. One of the most poetic versions of the destruction occurs in Revelation 6:12 with the opening of the sixth seal. It describes a great earthquake, followed by a blackening of the sun and the moon turning blood-red. In Revelation 6:14, the stars fall from the sky and the sky vanishes “like a scroll rolling itself up.” Although this destruction of the world affects all of the inhabitants of the earth, Collins argues that certain people are singled out, specifically those who are strong, and this destruction is a form of judgment which “expresses the conviction that wealth and power carry heavy responsibility, that those who abuse them are held accountable.”

In *The Last Battle* a similar destruction is envisioned for the Narnian world, and this destruction is the result of the gradual corruption of the land under the deception instigated by Shift. Once the inhabitants of Narnia enter the stable door, they meet the true Aslan and, from position within the “new” Narnia (paradise), are able to peer through the door to witness the destruction of the “old” Narnia. This is the culminating moment in terms of the sacramental vision, for it is here that the old way of viewing Narnia gives way to the new. What the inhabitants of Narnia mistook for reality was really an illusion. The “true” Narnia is the deeper, numinous reality which the “veil of familiarity” has hidden. In a symbolic way, the inhabitants have here gained a new way of perception which pierces illusory nature for reality. As readers, we too experience this new form of perception and are freed from the “mental cage.” We see the “true” reality of the world as an expression of the numinous consciousness.

32 C.S. Lewis, *The Last Battle*, 82.
As Rabkin states in his “Introduction” to *The End of the World*, apocalyptic visions help one break free from a mental cage. In *The Last Battle*, one could argue that Lewis felt this same way, especially in his treatment of the dwarfs once they enter the Stable; what is of key importance is the difference in perception between King Tirian and his friends, on the one hand, and the dwarfs on the other. It is this episode which clearly demonstrates the sacramental vision. When Tirian and the others enter the stable, they are aware of a bright sky overhead, with groves of trees supporting the most exquisite fruits, “such as no one has seen in our world.” The dwarfs, although they are in the same stable, perceive things quite differently. When Lucy asks if one of them can see the sky, the trees, or the flowers, the dwarf replies, “How in the name of all Humbug can I see what ain’t there? And how can I see you any more than you can see me in this pitch darkness?” Not only is the vision of the dwarfs affected, but also their sense of smell. When Lucy holds up violets to one of the dwarfs to test his ability to perceive smell, the dwarf Diggle says, “What do you mean by shoving a lot of filthy stable-litter in my face?”

The reason for the dwarfs’ inability to perceive the sacramental vision of the “true” Narnia is related to their unwillingness to accept Aslan or his paradisal country. The repeated phrase, “the Dwarfs are for the Dwarfs” echoes the same obsession with “self” which was analyzed in the previous chapters on Coleridge and MacDonald. The sacramental vision is only offered to those who are willing to experience a higher reality, one which transcends any concern with the finite self; it is a willingness to perceive the eternal through the temporal. This involves a realization that the boundaries between “self” and “other” are not static but fluid, and it is this realization which ecocritics posit as the fundamental paradigm shift which must be undertaken in order for our present cultural constructs to be replaced.

Lewis portrayed this vision effectively with the difference between what characters in the stable perceive. When Aslan appears within the stable, he comments upon the dwarfs’ lack of perception:

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35 Lewis, *The Last Battle*, 144.
36 Lewis, *The Last Battle*, 145.
They have chosen cunning instead of belief. Their prison is only in their minds, yet they are in that prison; and so afraid of being taken in that they can not be taken out.\footnote{Lewis, \textit{The Last Battle}, 148.}

The fact that the prison is only in the dwarfs’ minds shows us that the dwarfs, by being overly self-reliant, are blind to the true nature of the numinous as it is experienced in the “new” Narnia. For the others, who have accepted Aslan, the “veil” has been lifted and they are about to witness the final destruction of the old Narnia.

What the inhabitants see within the stable bears a striking resemblance to that destruction envisioned in Revelation. Father Time, who upon waking is now called Eternity, blows his horn to signal the end of the world. Stars begin falling to the ground, but in Narnia the stars resemble angelic beings “with long hair like burning silver and spears like white hot metal.”\footnote{Lewis, \textit{The Last Battle}, 151.} This angelic imagery of the stars is reminiscent of such Biblical passages as Daniel 8:10 where, according to John Collins’ \textit{Daniel} commentary “the host of heaven connotes both the stars and the heavenly beings, either gods or angels.”\footnote{John J. Collins, \textit{Daniel [A Hermeneia Commentary]} (Minneapolis: Fortress Press, 1993), 331.} After these stars fall in Narnia, the whole world is blackened, and great dragons and lizards are loosed upon the world to destroy it. The text states that “minute by minute the forests disappeared. The whole country became bare and you could see all sorts of things about its shape--all the little humps and hollows--which you had never noticed before. The grass died.”\footnote{Lewis, \textit{The Last Battle}, 155.} Further echoing Revelation, the sun and the moon draw into each other and take on a blood-red hue; Aslan commands “Now make an end,” and Father Time squeezes the combined sun and moon in his finger “as you would an orange” and the world is blackened forever.\footnote{Lewis, \textit{The Last Battle}, 157.}

Before the world is completely destroyed in \textit{The Last Battle}, however, there is a final judgment where Aslan determines the fates of the Narnian creatures. As they enter the stable door during the destruction, they must face Aslan himself. When some of the creatures look him in the face, their expression is one of fear and hatred, and “the creatures who looked at Aslan in that way swerved to their right, his left, and disappeared into his huge black shadow,
which (as you have heard) streamed away to the left of the doorway.” What happens to these creatures is never told; the narrator admits that they were never seen or heard from again. This unwillingness of Lewis to specify a place for those who hate and fear Aslan, an eternal place of punishment for instance, might result from the fact that Lewis was aware of his audience. Although Lewis disagreed with the distinction between “children’s” literature and “adult” literature, he must have known that the primary fascination of his stories would be relegated to children; thus his imagery is less graphic than that found in Revelation.

For those who look into Aslan’s face with an expression of love, they swerve to his right and join the other inhabitants within the stable which is, in fact, a new, paradisal version of the old Narnia. As these figures enter into the stable, what is noticed is that some of the creatures who were presumed dead are now brought back to life within the new Narnia. This idea of the dead coming back to life is central in the Biblical vision of the end.

Revelation 20:13 also envisions a final judgment where “the dead were judged according to their deeds as recorded in the books” and “all were judged according to what they had done.” These books, according to Collins, are records of the deeds done by the humans, and they are to be interpreted as an “image for the conviction that each deed is of ultimate significance and must be accounted for.”

Although The Last Battle does not employ the use of books as symbolic records of deeds which determine one’s fate, there is a parallel in Lewis’s use of the “expression” of each creature which determines its fate. If a creature fears Aslan, the expression betrays the evil actions that creature has performed in life; if a creature’s expression bears love, this expression conveys a life led in accordance with Aslan’s dictates. Thus these creatures are invited to participate in the new Narnia. So, although the metaphors are different, both the book of Revelation and Lewis’s The Last Battle share a common theological premise: in the end, every action performed in life will have a determining role in the fate of the individual.

Throughout the sections of the destruction and judgment in The Last Battle, the imagery of darkness, death, and dissolution prevails. Whether it is the dark clouds which surround the god Tash or the stable door as a threshold upon which the creatures witness the “death” of the old Narnia, such imagery prepares the reader for the final end of the world. Peter Schakel, in his

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42 Lewis, The Last Battle, 154.
text *Reading with the Heart: The Way Into Narnia*, equates these images with Northrop Frye’s modal analysis of literary structures based upon their associations with seasons. Schakel argues that, for most of *The Last Battle*, the ironic phase is present. This is the mode which is associated with winter, and it implies either the death or absence of the hero, and the subsequent death of the land itself. However, what Schakel further notes is that the ironic mode is replaced by the romantic mode at the end of the text. The seasonal association which controls the romantic mode is the summer; the hero is victorious, and the land is transformed or healed. In terms of the present thesis, this shift in Frye’s modal analysis from the ironic to the romantic reflects a “death” to old ways of perceiving the world (in the sense of Campbell’s mythic dissociation where there is a total separation of the numinous and the world), to a new revisioning of the world via the numinous, where the world is a reflection of the sacred. Thus, in *The Last Battle*, a simple symbol such as the stable door, where the inhabitants of Narnia enter to be judged, represents, on the one hand, a death. When the creatures go through the door they experience a different way of perception than previously. However, that is not the end. The door is further an entry into a new paradise, a new beginning. By the end of the text, “The story of winter, of dissolution, has given way to a story of summer, of triumph, of entry into paradise, and of the ideal, wish-fulfillment of romance.”

The apocalyptic structure, which typically portrays a cosmic destruction followed by a renewal, reflects this literary shift in the story from ironic to romantic.

This new triumph of the romantic mode is envisioned as a new Narnia. As the creatures enter into this new paradise, referred to as Aslan’s Country, it is “more real” than the old Narnia. To convey this new experience, Lewis uses the metaphor of the mirror: looking at a landscape in a mirror is, in some sense, like looking at the real thing; however, at the same time, it is somehow different in a central way. It is “deeper, more wonderful, more like places in a story: in a story you have never heard but very much want to know.”

This reference to the mirror connects *The Last Battle* to Lewis’s theories of the imagination as being a vehicle to allow readers to revision the world in a more sacred way. In terms of the ecological debate,

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Lewis reminds us, “If you are tired of the real landscape, look at it in the mirror.” By rethinking our cultural assumptions about nature, specifically the separation of the numinous from the world, we may begin to form new relationships to the world around us. Through its subversiveness, mythopoeic fantasy can aid us in this endeavor.

What Lewis also conveys in this metaphor of the mirror is central to his view of the world as expressed in the new Narnia: it is an inverted world, an exact replica of the old Narnia, but it is a world which is more real: “The new one was a deeper country: every rock and flower and blade of grass looked as if it meant more. I can’t describe it any better than that: if you ever get there, you will know what I mean.” Thus the revisioning of the world via the numinous means that every object in it, whether it is a rock, flower, or blade of grass, is seen as sacred. The only means to achieve this sacramental vision is to destroy old ways of relating to the world so that a new vision is created.

The underlying ideology of this new Narnia is specifically Platonic, revealing Lewis’s belief in the validity of forms. For Plato, the world in which we live, or to relate it directly to Lewis, the world which we imagine, is a mere image of a more true world which exists elsewhere. In The Last Battle, Lewis transfers this Platonic philosophy to Narnia to suggest “The physical world is only the realm of appearances rather than solid reality--illusory, transitory.” What the inhabitants of Narnia find when they travel “further up and further in” is that this new Narnia existing within the stable is the perfected image of what they thought was a reality.

To use Lewis’s terminology, this world is a shadow land, a copy of a more stable reality to be found only after death. However, even though the focus here is on what we have analyzed as an “outwardly” directed numinous experience, glimpses of the numinous can be seen here in this world. As critic Elaine Tixier has already pointed out, the longing for the “true” land must co-exist with seeing the “footprints of the divine” right here in this world. As with Lewis’s experiences of “joy,” these “signposts” can lead us to revision our relationships to the natural world; they can allow us to see the numinous in all things.

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46 C.S. Lewis, On Stories, 90.
47 Lewis, The Last Battle, 171.
The concept of the “heavenly” form of an earthly image is also present in the book of Revelation with the New Jerusalem. In Revelation 21:11, the New Jerusalem comes down out of heaven from God; it is a holy city which has “a radiance like a very rare jewel, like jasper, clear as crystal.” Adorning the walls and gates of this city are various jewels, and the city is depicted as pure gold and as clear as glass. In this new city, according to Revelation 21:27, death and crying cease and “nothing unclean will enter it, nor anyone who practices abomination or falsehood, but only those who are written in the Lamb’s book of life.” To complete the beauty of this heavenly city, the waters of life flow forth from the throne of God, and the Tree of Life is present.

In a mythopoeic context, this imagery is represented in the new Narnia as well. Although most of the children experience the idealized Platonic Narnia in this new world, there are additions to it. For example, after the inhabitants swim up a waterfall they are led to a green hill with trees “Whose leaves looked like silver and their fruit gold.” They notice twelve golden gates leading to Aslan’s palace, and they are greeted outside the gates by past figures of Narnia thought to have been long dead. Thus the new Narnia, like the New Jerusalem, is a natural paradise where death, pain, and suffering do not exist. Metaphorically this place is, for Lewis, the heavenly goal for which all humans strive; it is the end result of a longing which is satisfied only at the end of time. Unicorn describes the end result of this longing quite succinctly: “I have come home at last! This is my real country! I belong here. This is the land I have been looking for all my life, though I never knew it till now.”

This “longing” for a true home embodied in the German Romantic term sehnsucht was at the center of Lewis’s entire life; he also imported this idea in his creation of the Narnian world. Influenced by the Romantic tradition, Lewis believed that the imagination contained a true path to God, and this longing for a heavenly place is “a call for a home we cannot remember, the desire to return to the country we belong.” In Lewis’s own life, this desire was the literal longing for heaven, a world Lewis really believed existed at the end; however, Lewis’s belief that “all things, in their way, reflect heavenly truth, the imagination not least,” allowed him to

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49 Lewis, *The Last Battle*, 176.
50 Lewis, *The Last Battle*, 171.
51 Elaine Tixier, “Imagination Baptized,” 146.
reflect these same truths in his mythopoeic construction of Narnia. As a vehicle to experience the numinous dimension of the world, Lewis’s mythopoeic constructions help us see the natural world anew.

Charles A. Hutter has described *The Chronicles of Narnia* as “a sort of Bible for a Bibleless age.” His position is that the seven books in the series form a coherent literary structure which is both linear and directional: they point to the stages of the world from its beginning to its end. This unification of materials is not unlike the structure of the Bible, a text written over a long period of time by various authors but containing what Jonathan Edwards called “The Grand Design of God.” In Hutter’s argument, the Narnian books can be taken as a distinct genre which he terms “scripture.” In this sense, the Narnian books contain religious messages which are valid, and the question of whether a place called Narnia really exists or is a fictive construction becomes irrelevant. At the center of any religious construction, whether it is mythic, as in Revelation, or mythopoeic, as in *The Last Battle*, is the root word “myth,” a word which denotes “story.” What Lewis adds to myth in *The Last Battle* is that “mythic quality” of the numinous which allows for the sacramental vision.

This text is deserving of serious critical attention, for in many ways it embodies deep religious beliefs Lewis held for a good portion of his life and its apocalyptic structure gives us a unique insight into the author’s full theology as expressed in his mythopoeic art. When reading his autobiographical *Surprised by Joy*, one is struck by the many references to “joy” which occurred throughout his life. “Joy” for Lewis was bound up with the word longing; it is a feeling one gets in life which serves as a reminder of a true home, heaven. This “joy” is finally fulfilled in *The Last Battle* after the false prophet’s reign, the destruction of the world, the final judgment, and the creation of the new Narnia in which grass, water, trees and the natural world as a whole can finally be truly known. By using fundamental apocalyptic themes and clothing them in the mythopoeic symbols of his own imagination, Lewis was able to illustrate his prophetic vision: “This world will come to an end; it was never meant to be our real home--that

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lies elsewhere; we do not know, we cannot possibly know, when the end will come; and the end will come, not from within, but without."  

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CHAPTER FIVE

THE FADING OF THE WORLD: TOLKIEN'S ECOLOGY AND LOSS IN THE LORD OF THE RINGS

“To mortal fields say Farewell,
Middle-earth forsaking!
In Elvenhome a clear bell
In the tower is shaking
Here grass fades and leaves fall,
And sun and moon wither,
And we have heard the far call
That bids us journey thither.”

--- “The Last Ship”

C.S. Lewis once described the effect that the first volume of The Lord of the Rings had upon him; it was “like lightning from a clear sky.”[1] Lightning is an interesting word to use in praise of Tolkien, especially given its associations in many mythologies with that which represents the highest power (e.g. both Zeus and Indra’s weapon of choice was the lightening bolt). Clearly this book had a profound effect on Lewis, who goes on to state that the book “does something to us,” and “we are not quite the same men”[2] when we finish reading it. What exactly is it that The Lord of the Rings does to us? How are we changed upon our reading of it, so that we are not the same people when we first encounter it? Certainly it cannot be denied

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that this book has remained one of the top choices for readers around the world, so much so that Tom Shippey’s recent work *J.R.R. Tolkien: Author of the Century* discusses various polls, including a 1996 Waterstone’s poll and a BBC 4 poll, which show that *The Lord of the Rings* continues to be in the top tier of the most influential books of the century. Shippey backs up this claim by showing Tolkien’s continued influence on three separate levels: the democratic, in which polls seem to show Tolkien to be the author of the century; the generic, since Tolkien created the epic fantasy genre which now is a major commercial market; and, the qualitative, because it is a worthy text for literary critics and has established itself as a modern classic.

The concern of the present study has been an examination of the mythopoeic imagination and its inculcating of a certain religious attitude towards the natural world, an attitude best understood by its connection with the numinous consciousness described by Rudolf Otto in his text *The Idea of the Holy*. This numinous consciousness has at its core a non-rational, emotive dimension of the holy, and it is through this experience of the holy that readers are challenged to alter their normative perceptions of the natural world and recover the sacramental vision. This revisioning of the natural world has its application in the growing field of ecocriticism, which addresses the function of the environment within a given text. The experience of the numinous is particularly apparent in the four authors of the present study due to their profound religious views, specifically their belief in the imagination’s ability to participate in creation itself. This is not, however, meant to trivialize differences between the four authors. Although these authors realized the importance of the imagination as a plausible means of engaging with the numinous, Coleridge and MacDonald focus on the self; it is the self which must be transcended in order to experience the numinous. Lewis and Tolkien, on the other hand, work on a more epic scale; the numinous is only achieved through the destruction or fading of the entire world.

Understanding the religious dimension of Tolkien’s work is especially problematic due to an apparent paradox pointed out by Shippey: “It was written, we know, by a devout and believing Christian, and has been seen by many as a deeply religious work. Yet it contains almost no direct religious reference at all.”

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2 Lewis, *On Stories*, 90.
is the backdrop of Middle-earth, texts such as *The Silmarillion* or *The Book of Lost Tales*, one may find more obvious references to religion, but *The Lord of the Rings* itself contains almost no explicit religious images at all. So, what is this religious quality that readers instinctively perceive in the work which is not there explicitly? One possibility is the connection to the numinous which gives one the *experience* of the holy without relying on traditional religious motifs.

In his *Foreword* to *The Idea of the Holy*, Rudolf Otto states that his book will attempt an analysis of “the feeling which remains where the concept fails.” What Otto means by this statement is that beneath “rational” concepts of religious discourse, there exists a core religious feeling which informs the entire religious framework; this core of religion Otto describes as a “hidden depth” which is “inaccessible to our conceptual thought.” Thus the concept itself “fails” because it is merely a human attempt to clothe the numinous response in conceptual terms, when the non-rational experience cannot be conceptually known at all. However, Otto does not argue that concepts are totally faulty in attempting to capture the numinous. On the contrary, one may experience the sense of joy or awe without knowing an objective reference, but there must always be one present. As Otto states, “a deep joy may fill our minds without any clear realization upon our part of its source and the object to which it refers, though some such objective reference there must always be.” These objective references of the feeling-orienting religious response may only be symbolically represented by what Otto terms “ideograms,” attempts to conceptualize that which cannot be conceptualized.

Within the context of mythopoeia, and specifically within Tolkien’s discourse on fairy-stories, one can see the similarities to Otto’s ideas concerning the numinous. By its subversiveness, the fairy-story allows readers access to dimensions or orders of experience not available in the primary world. Critics often refer to this experience as that of wonder, and it is this experience which aids in the challenging of our normative perceptions of the natural world and helps us understand the mystery of which we and our world are a part. For Tolkien, fairy-stories contained this dimension of the numinous; in fact, as Otto argues, one of the earliest manifestations of the numinous is within the fairy-story itself: “the fairy-story proper only

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comes into being with the element of the ‘wonderful,’ with miracle and miraculous events and consequences, i.e. by means of an infusion of the numinous.”

The most comprehensive discussion of Tolkien’s theory of fantasy is his often cited essay “On Fairy-Stories” published in 1947. Although most of the ideas have been thoroughly discussed by critics, it is worth considering those points which relate directly to the present thesis that fantasy can reflect the numinous as well as aid in a revisioning of our normative perceptions of the natural world. In the introductory chapter, it was pointed out that, for Otto, the numinous consciousness is non-rational in the sense that it is a “feeling-oriented” response which defies language’s ability to fully express it; Otto states “like every absolutely primary and elementary datum, while it admits of being discussed, it cannot be strictly defined.” This quality is frequently referred to in the field of fantasy criticism as the sense of “wonder” which is evoked through the fantastic images; it is that “extraliterary” dimension of fantasy to which Brian Attebery refers.

In a similar manner, Tolkien discusses this indescribable quality of fantasy, a quality which makes it a “higher” form of art. After an attempt at deconstructing the origins of the word “fairy-story,” showing the problems of associating the fairy-stories with the “folk” of fairy (and such faulty characteristics of them as diminutive in size and their supernatural aspects), Tolkien turns his attention to “Faerie.” Referring to the poet Gower, Tolkien notices that one particular reference before 1450 states that a young gallant was “of Faerie.” Tolkien asserts that the true nature of fairy is within the realm itself; indeed, for Tolkien it is the “Perilous Realm,” filled with both wonders and dangers, both of which have the unique ability to engender a “peculiar mood.” This experience of the realm of fairy is difficult to describe, for Tolkien states “its very richness and strangeness tie the tongue of a traveler who would report them.”

In terms of the present thesis, the indescribable nature of the realm of faerie as well as its association with a particular experience or mood, allows for a connection between the unique religious response which Otto argues is the core of religious thought, and the appeal of The Idea of the Holy, 58.


*Lord of the Rings* as a text which implicitly evokes a religious response without presenting a structured theology.

Many critics have pointed out the similarities between Tolkien’s theories and Romantic thought, especially as mediated by Coleridge. It is worth considering some of these points given Coleridge’s inclusion in the present discussion. Tolkien agrees with Coleridge’s definition of the imagination as that faculty which is engaged in “image making.” However, for Tolkien, the grasping of the implications and perceptions of the image is a difference of degree, not kind. The imagination’s ability to alter reality (fantasy’s subversive function) makes this type of art a form of “sub-creation” whereby the artist imitates God’s original act of creation. For Tolkien, the product of this sub-creative art is a secondary world which, although not to be taken as a literal world, still retains an aspect of believability.

Concerning himself with any “truth” value a fairy-story may have, Tolkien refers specifically to children when they are presented with a secondary world and their questioning of its truth value. When children ask if a particular story is true, they really only want to know what type of literature they are reading so they can respond appropriately. For Tolkien, any “belief” one has upon reading a story, and subsequently any enjoyment of a story, depends on how it is told, not how much it imitates the real world. Challenging Coleridge’s views directly, Tolkien states that any child may have a “willing suspension of disbelief,” but that is not really what happens in the reading of a fantasy text. What happens, Tolkien argues, is that the sub-creative art produces a secondary world which you believe while you are inside it; once disbelief arises, you are out of the secondary world, and any experience provided by the text dissipates. Tolkien believed that to “will” yourself to “suspend disbelief” was too simplistic; it was similar to a child who has to play pretend. Tolkien states, “suspension of disbelief is a substitute for the genuine thing, a subterfuge we use when condescending to games of make-believe, or when trying (more or less willingly) to find what virtue we can in the work of art that has for us failed.”

Far from an effort of will which allows a reader to participate in a particular work of art, Tolkien believed that a true piece of art produced a form of “enchantment”; if a reader is under

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12 It must be noted, however, that Tolkien despised the association of children and fairy stories; in fact, Tolkien argues that the love of fairy tales increases, not decreases, with age.

the spell of the secondary world, it commands a secondary belief. This secondary belief makes the fantastic world “real” during the reading of the book. In a strange comparison, Tolkien likens this state of enchantment to a game of cricket. The real cricket enthusiast, Tolkien asserts, is one who can fully participate in the game; there is nothing outside the sheer excitement of the game which holds the spectator there. This is what happens in a successful piece of fantasy. Enchantment takes over, and there is no questioning of the motivation for the work. One who is not an enthusiast for the game, Tolkien argues, has to be held by some other motivation for being there. Tolkien believed that, unfortunately, this is what happens for most adults when they read fantasy; since they cannot be enchanted by the particular work, they must be held by an outside motivation, such as a memory of childhood. Thus, this adult reader performs a “willing suspension of disbelief,” one which can only produce a “let’s pretend” mentality that, for Tolkien, ruins the true intention of the work. Thus the differences between the aesthetic theories of Tolkien and Coleridge are greater than one would expect.

However, in his article “Tolkien’s Revisioning of the Romantic Tradition,” Chris Seeman argues that the sub-creative art is not as central to Tolkien’s theories as is his restriction of fantasy to the narrative mode. This emphasis is what really sets Tolkien apart from Romantic thought. For Tolkien, fantasy is naturally hostile to drama both because of drama’s reliance on the visual as well as its anthropocentricity, which places the human in the center. As Seeman states, “these two aspects of Tolkien’s aesthetic (the non-anthropocentric and the non-visual) ultimately join forces to lay the foundation for his vision of fantasy as a narrative of alterity—of otherness, of transcendence.” In Seeman’s view, Tolkien is not necessarily ruling out Coleridgean applications to certain aesthetic experiences; rather, he is diverging from Romantic thought by privileging fantasy as a “higher” mode of art. Tolkien elaborates on this point in his essay “On Fairy-Stories” by stating that “Fantasy is a thing best left to words.” In this sense, fantasy differs from drama, especially when drama is presented as it should be, as that which is visibily and audibly acted. For Tolkien, this is drama’s flaw: it presents a substitute magic through stage effects and costumery, neither of which allow for the imagination’s ability to create images not experienced in the primary world. As Tolkien states,

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15 Tolkien, The Tolkien Reader, 70.
“for this reason---that the characters, and even the scenes, are in Drama not imagined but actually beheld---Drama is, even though it uses similar material (words, verse, plot), an art fundamentally different from narrative art.”

In viewing fantasy as a “narrative of alterity,” Seeman allows us to see Tolkien in respect to environmental concerns. As argued in the introductory chapter, many ecocritics envision the need for a paradigm shift, a learning of a new language which places the non-human in a central position as part of the whole; this paradigm shift would replace anthropocentric worldviews with ecocentric worldviews, where the environment is treated with respect. With Tolkien’s aesthetic, the focus on the non-visual, that is the imagination’s function as creating forms which are not in the primary world, becomes central to this debate.

In contrast to successful fantasy, once we are presented with “images” which are not our own (through the visible and audible stage effects of drama), we are subject to imaginative passivity, and any attempt to revise normative modes of perception are mute; we are, in essence, seeing through another’s eyes, not our own. In a similar manner, the anthropocentricity of other forms of art fails to allow for “otherness” or “transcendence,” both of which are central to our present thesis. Fantasy’s subversiveness is what allows for a shift from the human to the non-human and allows readers to experience what is not covered by our rational modes of knowledge. In this way, fantasy is a “higher” form of art because it allows the participation of both the author and the reader. Of course, any type of literary experience involves a participation of both the author and the reader, but fantasy is unique because of its presentation of images not present in the primary world; through its departures from reality, fantasy permits other modes of experience, in the present case experiences of transcendence. Thus if it is the author’s role to provide images of “otherness” which reflect “transcendence,” then it is the reader’s role to grasp the implications of the images within his or her own experiential field. In contrast to drama, where the visible form of the play is the result of “stage magic,” fantasy allows the reader’s active participation in the contemplation of imaginary forms not present within a stage production; fantasy similarly differs from the more mimetic works of literature which attempt to reproduce “reality.” It is precisely the departures from reality which initiate the active participation of the reader’s imagination.

16 Tolkien, The Tolkien Reader, 72.
In terms of the present study, Tolkien’s greatest contributions to the field of ecocriticism are his theories of recovery, escape and consolation, all of which are interrelated. As argued in chapter one, recovery is perhaps the most important theory because what we are recovering is the sense of “awe” which is Otto’s core of religious thought. As Tolkien defines it, “recovery (which includes return and renewal of health) is a regaining—regaining a clear view.”\(^\text{17}\) What Tolkien means is that we appropriate our world through language acquisition and familiarity, and we lose a sense of total participation in the natural world. Fantasy, by its subversiveness, allows us to view the world in a new and unfamiliar sacramental manner, as a reflection of the numinous. As Tolkien states, it allows us to view the world “freed from the drab blur of triteness or familiarity—-from possessiveness.”\(^\text{18}\) It is a way of respecting the environment and seeing things as apart from ourselves, to see difference but to realize that difference is also a manifestation of that which is holy. Viewing the world in this manner allows us to see the simple, most fundamental things in our world with a renewed vision. For Tolkien fairy stories had this ability to recapture the sacramental vision. As he states, “it was in fairy-stories that I first divined the potency of worlds, and the wonder of the things, such as stone, and wood, and iron; tree and grass; house and fire; bread and wine.”\(^\text{19}\)

With Tolkien’s tripartite construction of recovery, escape and consolation one may benefit from a comparison between Tolkien’s aesthetic and that of Romantic thought. Although the intent of the present argument is not to comprehensively examine mythopoeia within the framework of Romantic thought, there is an important connection between what is recovered in Tolkien’s theory and that of the “natural sublime” within Romanticism. In his article “The Fantastic Sublime,” David Sadner states, “in the sublime moment, the contemplation of a natural object leads to an aesthetic rapture, which produces a corresponding overflow of feeling, revealing the transcendent.”\(^\text{20}\) He summarizes three key phases of the sublime moment from Thomas Weiskel, all of which are applicable to Tolkien’s aesthetics: 1.) The habitual relationship between subject and object; 2.) The overflow of feeling which necessitates a breakdown of the habitual and a subsequent indeterminacy between subject and

\(^{17}\) Tolkien, *The Tolkien Reader*, 77.

\(^{18}\) Tolkien, *The Tolkien Reader*, 77.

\(^{19}\) Tolkien, *The Tolkien Reader*, 78.

object; and 3.) The renewed relationship to the transcendent in which a balance is achieved between the subject and the object.

This Romantic framework helps in understanding how the sacramental vision is achieved. Within the second phase of the sublime moment, there occurs a breakdown of normative modes of perception, and, as argued in the introductory chapter, the subversive nature of fantasy facilitates this process. It must be remembered, however, that this breakdown is not a change in the object itself but a change in perception, in the mind, which then evokes an experience of the transcendent. This requires, as argued in the earlier chapters on Coleridge and MacDonald, a loss or death of the self. Fantasy’s ability to facilitate this sense of loss through departures from consensus reality makes it a form of art which is experiential by nature. It allows for a perception of other modes of being, modes that are beyond the rational. As Sadner states, “In fairy-stories, as in the natural sublime, the breakdown of the imagination becomes less a failure than a method for the self to loosen itself, through crisis, from the constraints of reason, consciousness, society---whatever is known, defined, explained.”

For Lewis and Tolkien, the experience of the sublime moment involves not so much the inner dimension of the self, but the outer dimension of the world; thus, their works are on an epic scale, where the whole world, not just the self, must be transcended for the experience of the numinous. Tolkien’s concept of recovery, then, is an extension of the natural sublime in that it asks its readers to depart from consensus reality to properly transform normative modes of perception into the sacramental vision. As Tolkien argues, it is seeing the world not as it is but as it was meant to be seen. What this involves is a perception of the eternal working through the temporal, a lifting or tearing of the veil between worlds. Discussing Tolkien’s concept of recovery as an extension of the natural sublime, Sadner states, “recovery is the tearing of the veil between worlds, an apprehension of the otherness of things, the movement into the second phase of the sublime.”

For some critics, the second phase of the sublime moment, the breakdown of the habitual, becomes a reason for viewing fantasy with derogation, criticizing it for its “escapism.” Tolkien addresses this issue in his essay, arguing that people often misunderstand the meaning of escape as it applies to fantasy; escapism must be seen in its positive, not negative, sense. For

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example, Tolkien discusses the position of a prisoner who is confined to a small cell. Just because the prisoner thinks of more than the trivialities of cell life, contemplating the outside world, does not mean he is “escaping” the world. As Tolkien states, “the world outside has not become less real because the prisoner cannot see it.”

For Tolkien, many people confuse what he terms the “escape of the prisoner,” the positive sense of escape as contemplating a better world, with the “flight of the deserter,” the negative sense of disengaging with the world entirely.

In fantasy, the escape allows one to perceive the sacramental vision. This relates, specifically for Tolkien, to the perception of those things of the natural world. Fantasy is directed at the recovery of the natural world, so any criticism concerning fantasy as escapism fails to understand the proper function of the art. When critics discuss escapism as disengaging from what is “real,” Tolkien questions their most basic assumption. Using the analogy of the motor-car, Tolkien says that to view a motor-car as “more real” than a Centaur is curious; viewing a motor-cars as “more real” than horses is absurd. Thus Tolkien’s point has clear connections with ecocriticism. Deeming the products of industrial society as “real” and failing to appreciate the wonder of the natural world causes a misdirected view of the world. In fact, Tolkien argues, what is considered “real” is always that which is natural: “how real, how startlingly alive, is a factory chimney compared with and elm tree: poor obsolete thing, insubstantial dream of an escapist.”

The positive sense of escapism for Tolkien is thus related to his theory of recovery, one that helps in understanding how one may perceive the sacramental vision. It is further reminiscent of Lewis’s contention in that by reading fantasy, “we do not retreat from reality: we rediscover it.” This is the main characteristic of mythopoeia. In its subversiveness, it allows one to experience the numinous, as well as engage one with the revisioning of the natural world. As Lewis argues, what we really escape in this type of literature is the illusion of ordinary life; through mythopoeia, we rediscover the world around us. In this sense, we see the world more clearly and with renewed wonder. Using the analogy of a child’s use of imagination when eating meat, Lewis discusses the potency of mythic forms:

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23 Tolkien, *The Tolkien Reader*, 79.
The child enjoys his cold meat (otherwise dull to him) by pretending it is a buffalo, just killed with his bow and arrow. And the child is wise. The real meat comes back to him more savory for having been dipped in story; you might say that only then is it real meat.

Thus escape from the world is positive in that it equates with the second phase of the sublime moment, where habitual or normative modes of perception break down. However, mythopoeia also offers a transition to the third phase of the sublime moment, the renewed relationship to the transcendent. The world is revised or recovered in a sacramental manner. Fantasy offers certain consolations, which is the third area of concern for Tolkien. One of the highest forms of consolation comes in the form of eucatastrophe, a word Tolkien coined which means “good catastrophe.” It is a “sudden joyous turn” which occurs even in the face of ultimate defeat. This sense of joy is the most important element in a fairy story which offers “a sudden glimpse of the underlying reality or truth.” All true fairy stories must have eucatastrophe and Tolkien, as a devout Christian, believed that the most powerful fairy story of all, that of the Christian, was the eucatastrophe which actually occurred in the primary world. Apparently, some fairy tales do come true.

However, what is most interesting about Tolkien’s discussion of consolation is his inclusion of various levels of consolation. For example, there are “lower” forms such as the desire one has to fly or swim, and “higher” forms such as the desire to converse with living things. It is with this latter desire that Tolkien’s theories intersect with environmental concerns. Tolkien argues that the root of this desire to converse with living things arises from the sense of separation from the natural world, that “a strange fate and a guilt lies on us.” This sense of separation was discussed in the introductory chapter as “mythic dissociation,” Joseph Campbell’s term for Western ideologies which locate the holy as apart from the world and from us. Echoing this sentiment, Tolkien says, “other creatures are like other realms with

25 Lewis, On Stories, 90.
26 Lewis, On Stories, 90.
27 Tolkien, The Tolkien Reader, 88.
28 This desire is reminiscent of Jonathan Bate’s argument that the ecopoet has as his motivation the desire to “engage with the non-human.” The Song of the Earth, 199.
29 Tolkien, The Tolkien Reader, 84.
which man has broken off relations, and sees now only from the outside at a distance, being at war with them, or on the terms of an uneasy armistice.  

Mythopoeic fantasy, by successfully engaging with the non-human, becomes a viable means whereby this separation from the natural world may be mended through a sense of wonder at what is perceived as “other.” The sense of wonder at the world Tolkien describes as enchantment, and this enchantment can help us revise our ways of viewing the world around us. As Patrick Curry states in his article “Magic vs. Enchantment,” we are in a condition of dis-enchantment, where the “drab blur of triteness or familiarity” has blocked our view of that which is most holy. He states, “enchantment has become uniquely precious and important as a resource for resistance, and for the realization of better alternatives.”  

What is needed is a new language, one which engages the non-human, and mythopoeic fantasy, through its subversiveness, offers a plausible alternative. It offers one the experience of enchantment, which Tolkien believed was at the heart of Faerie. Concerning enchantment, Patrick Curry states, “enchantment must indispensably include an experience of wonder as a reality that, so far as the person(s) involved are concerned, could otherwise or hitherto only ever have been imagined.”

Mythopoeic fantasy’s ability to offer “better alternatives” for the revisioning of the environment is due to its subversive function which allows a shift from an anthropocentric paradigm to an ecocentric or biocentric paradigm, a shift which is evidenced in Tolkien’s *The Lord of the Rings*. As Lucas Niiler points out in his article, “Green Reading: Tolkien, Leopold, and the Land Ethic,” “The Lord of the Rings showcases fantasy writing as an apt vehicle for representing, discussing, and resolving problems related to the relationship between nature and culture.” Fantasy has the unique ability to subvert normal categories of thought, such as those between “human” and “non-human,” in order for a fusion of new possibilities which are not available in mimetic works. Subverting normative categories permits what Tolkien terms a “recovery” of the world, a renewed relationship to the earth which acknowledges its numinous essence. This renewed relationship with the natural world seeks to view nature as a part of a

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30 Tolkien, *The Tolkien Reader*, 84.
community, not a commodity. For Niiler, it is the relationship formulated by Leopold in his desire for a new “land ethic,” one which replaces the “conquer” metaphor for a metaphor of interrelatedness. The difference between the two metaphors has been discussed previously as Campbell’s “mythic dissociation,” where God, humans, and nature are separate, and “mythic association,” where the three are interrelated.

The new language which ecocritics call for must involve a change in perception, in how we view the world. It will also involve an awareness of how political, religious, and cultural forms play a part in how we think about the world around us. Discussing this new perception, particularly in Leopold’s land ethic, Niiler states, “Leopold urges that public perception of land be transformed from a doctrine of Abrahamic appropriation to a sensibility of husbandry and a recognition of human membership in a biotic community.”

Tolkien’s theories of recovery, escape, and consolation urge this transformation through the vehicle of fantasy, and the textual embodiment of this transformation is in *The Lord of the Rings.*

What is so striking in *The Lord of the Rings,* notes Patrick Curry, is its “profound feeling for the natural world.” It has been argued in earlier chapters that this feeling-oriented response has its expression in the non-rational experience of the numinous as outlined by Rudolf Otto in *The Idea of the Holy,* which helps in understanding the paradox pointed out by Shippey, that *The Lord of the Rings* is a work written by a devout Christian with nothing really Christian in it. Why do people feel it is a religious work? Precisely because it is infused with the emotive, non-rational dimension which is the core of religious thought. Furthermore, it aids in what Curry states is a “resacralization of life,” a new ethic which values basic necessities such as a good earth and clean water. Concerning *The Lord of the Rings,* Curry states, “It is in fact a work in which a deeply sensual appreciation of this world is interfused with an equally powerful sense of its ineffability.”

As discussed in chapter one, one of the most relevant texts related to this renewed perception of the environment is Don Elgin’s book, *The Comedy of the Fantastic.* Drawing on the ideas of Joseph Meeker, Elgin argues that in *The Lord of the Rings,* the tragic mode, that which values the individual and the heroic code, is replaced by the comic mode, which values

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34 Niiler, “Green Reading,” 281.
the community and the relationship between all things. As he states, “[Tolkien] transfers the quest tale into a sad farewell for the enormously attractive tragic and heroic code and a happy realization of the possibilities inherent in the coming of a new age, a comic one.” Our present concern involves a slight shift away from Elgin’s focus on literary modes, to a consideration of the religious dimension of the numinous and how it relates to some of the characters and settings pointed out by Elgin: Tom Bombadil, Lothlorien, Treebeard, and the Shire. However, viewing these images of a certain attitude towards nature should not blind us to the hint of despair attached to Tolkien’s world. As with Lewis, there is in Tolkien’s work a sense of a fading or disappearing of this relationship towards nature; it is, in essence, an apocalyptic story. Thus in *The Lord of the Rings*, although life is affirmed in its most holy sense, much is lost.

**Tom Bombadil**

One of the most perplexing aspects of *The Lord of the Rings* is the character of Tom Bombadil. Critics have wondered why he is included in the book at all, being a minor character who has no direct tie to the plot. Many of the critics, however, try to point to Bombadil’s “applicability” as some sort of nature spirit or God. The difficulty in placing Bombadil is, in part, understandable, especially given Tolkien’s own admission in a letter written to Naomi Mitchison (1954) that Tom was an intentional enigma. As he further states, although Tom is not an important character in the story, he does serve as a “comment.” What sort of “comment” does Tom serve? Perhaps the best answer is given in the same letter: “He represents something that I feel very important, though I would not be prepared to analyze the feeling precisely.” Tolkien’s comments are reminiscent of the non-rational, emotive core of the numinous which Otto describes in *The Idea of the Holy*. With Otto’s intention to analyze “the feeling which remains where the concept fails,” the applicability to Tom is obvious. For Tolkien, Tom represents the experience of the numinous which defies language’s ability to express it; furthermore, Tom reflects Tolkien’s own views of nature, views which are consonant with his theory of recovery.

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The fact that Tom symbolizes nature is fairly obvious, and critics have covered the map in their variety of nature connections. Elgin claims that Tom represents an “elemental life force”; Slethaug argues that Tom is a sort of “genius Loci”; Hargrove contends that Tom is a Vala, and even Herbert argues that Tom is akin to a “moss-gathering Socrates.” It is easy to interpret Tom as a symbol of nature due to Tolkien’s own admission to Stanley Unwin, his publisher, that Tom represented “the spirit of the (vanishing) Oxford and Berkshire countryside.” While any interpretation leads one to Tolkien’s own warning not to take Tom too seriously, the connections with nature which directly relate to Tolkien’s theory of recovery, and the experience of wonder which is at the core of mythopoeia, is Tom’s representation of the attitude of non-appropriation.

In the previous discussion of Tolkien’s theories it was pointed out that recovery means a regaining of a clear view of things without our appropriations. When we claim to “know” something through familiarity or language abstraction, we lose the sense of wonder at the natural world; as Tolkien says, we possess a thing and then cease to look at it. It loses its sense of holiness. For Tolkien, fantasy allows one to see things without the “drab blur of triteness or familiarity.” What this involves is a new form of perception which sees things as separate from ourselves but at the same time with a sense of “awe.” Tom’s association with this sense of seeing the world without appropriations is seen in The Lord of the Rings when, after the hobbits are rescued from Old Man Willow by Tom, they come to Tom’s house. Frodo asks Goldberry who Tom Bombadil actually is, to which she states mysteriously, “He is.” This statement has caused many critics and readers to speculate on Tom’s connections with nature, and an even higher association, with God. In a draft to Peter Hastings, Tolkien denies that Tom is God; rather, Goldberry’s statement calls into question the propriety of naming beings that represent the “other.” Tolkien’s view of the God-man relationship was personal, in which no proper “names” are required. Just as in man’s relationship with God, Goldberry has no concept

39 Angelic beings sent into Ea to fulfill Iluvatar’s vision of creation.
41 Tolkien, Letters, 26.
42 Tolkien, The Tolkien Reader, 77.
of explaining “who” Tom Bombadil is, he just “is.” Tolkien says that Tom is “a particular 
embodying of pure (real) natural science: the spirit that desires knowledge of other things, their 
history and nature, because they are ‘other’ and wholly independent of the inquiring mind...” Tolkien’s statement corresponds to Goldberry’s answer to Frodo’s question as to whether the land “belongs” to Tom: “the trees and the grasses and all things growing or living in the land belong each to themselves. Tom Bombadil is the Master.” There is a similar passage which shows the theme of non-appropriation of nature when the hobbits are confined to Tom’s house during the rain. To pass the time, Tom tells tales to the hobbits relating to things in the natural world and “as they listened, they began to understand the lives of the Forest, apart from 
themselves, indeed to feel themselves as the strangers where all other things were at home.”

From the above passages, it can be seen that Lucas Niiler is correct in his contention 
that “Bombadil, in sum, serves as a lens through which the hobbits ‘recover’ a clear view of 
their relationship with the environment, and ‘escape’ middle-earth’s dynamic of war, at least for 
a time.” The relationship towards nature which Tom embodies corresponds with Leopold’s “land ethic” which strives to go beyond the appropriation of nature to recognizing one’s role in a wider, biotic community. The foundation for this relationship is not to view nature as a commodity to be used but, instead, to appreciate the wonder of the created world as a representation of that which is “other.” Thus, as Niiler argues, Tom’s role is that he represents a paradigm for a certain attitude towards nature.

However, what is to be remembered is the hint of despair which underlies all of Middle-
earth. The despair connects Tolkien’s view with the Christian apocalyptic tradition shared with Lewis, a view which holds that final glory is not to be found within the confines of this world, be it fantastic or otherwise. So, while it is true that Tom is associated with the recovery of nature and the wonder of “otherness” which is the result of the numinous consciousness, ultimately Tom does not involve himself with the world. This is most clearly evident during the council of Elrond, when the debate focuses on whether Tom can help with the destruction of the Ring. Gandalf states:

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44 Tolkien, Letters, 192.
45 Tolkien, Fellowship, 161.
46 Tolkien, Fellowship, 167.
47 Niiler, “Green Reading,” 284.
No, I should not put it so...say, rather that the Ring has no power over him. He is his own Master. But he cannot alter the Ring itself, nor break its power over others. And now he is withdrawn into a little land, within bounds that he has set, though none can see them, waiting perhaps for a change of days, and he will not step beyond them. The hint of despair, that Tom will not leave his circumscribed area, is related to Tolkien’s theme of power and corruption. In the same letter to Naomi Mitchison, Tolkien says that there are always two sides, good and evil, and each of them desires control in some form or another. However, he states further, “if you have, as it were, taken a ‘vow of poverty,’ renounced control, and take your delight in things for themselves without reference to yourself, watching, observing, and to some extent knowing, then the question of the rights and wrongs of power and control might become utterly meaningless to you, and the means of power quite valueless.” Thus, although Tom embodies the sense of nature without appropriation, he also distances himself from involvement in the world. It is a shame that, as Tolkien once speculated, Tom could not be made into the hero of a story, because he does represent an important aspect of Tolkien’s land ethic; however, the fact that Tom was not entirely removed from the text shows that he is, indeed, an important “comment.”

**Lothlorien**

As Patrick Curry suggests, *The Lord of the Rings* is exceptional due to its expression of a “profound feeling for the natural world.” It is this feeling which is the core of the religious expression of the numinous consciousness discussed by Otto in *The Idea of the Holy*. However, as has been argued throughout the present study, this profound feeling for nature comes about by a perception of nature as “other,” a perception which is non-appropriative and is the basis for Tolkien’s concept of recovery. What must occur with recovery, Tolkien suggests, is “to clean our windows” from our perception of the world as a commodity, as something which is “possessed” or “known” by us. When we clean our windows, we see the world in its original sacredness, as a wonder to be appreciated. The fact that nature can be viewed as “other,” is similar to Niiler’s argument that Tolkien’s land ethic is comparable to “land autonomy,” which is the argument that nature has the ability to care or fend for itself and

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48 Tolkien, *Fellowship*, 318.
that it should be respected as that which is “other.” This is especially the case in Niiler’s cited example of the Ents’ destruction of Isengard which he states “depicts an angry nature responding violently to cultural intrusion and abuse.”

The sense of a profound feeling for nature is clearly seen in certain episodes in *The Lord of the Rings*, the most memorable being the chapter on Lothlorien. When the company enters Lothlorien for the first time, it is interesting to note that they must be blindfolded. This is due to the fact that Lothlorien’s location must be protected, but the connection with Tolkien’s views of recovery is also suggested. When the company is led to Cerin Amroth, they are able to uncover their eyes, and the world of Lothlorien is revealed, reflecting the sense of newness Tolkien suggests with cleaning our windows. When they open their eyes they see the circles of trees, the outer of which have bark of brilliant white, and the inner the Mallorn trees of golden hue. The green grass is studded with flowers of vibrant colors: gold, white, and green. Up above, the sky is clear blue and the sun illuminates the beauty of the whole scene. When Frodo opens his eyes, his experience is reminiscent of Adam in the Garden of Eden:

> Frodo stood awhile still lost in wonder. It seemed to him that he stepped through a high window that looked on a vanished world. A light was upon it for which his language had no name. All that he saw was shapely, but the shapes seemed at once clear cut, as if they had been first conceived and drawn at the uncovering of his eyes, and ancient as if they had endured for ever. He saw no colour but those he knew, gold and white and blue and green, but they were fresh and poignant, as if he had at that moment first perceived them and made for them names new and wonderful. In winter here no heart could mourn for summer or for spring. No blemish of sickness or deformity could be seen in anything that grew upon the earth. In the land of Lorien there was no stain.

The fact that Frodo looks on the scene with “wonder” suggests the connection with fantasy critics’ defining element of “wonder” as the core of the genre, that feeling-oriented experience which is also undefinable. This is why Frodo’s “language” cannot account for what he sees as

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51 Tolkien, *The Tolkien Reader*, 77.
52 Niiler, “Green Reading,” 280.
the beauty of Lothlorien. It relates to Tolkien’s ideas of recovery in that although Frodo sees the same colors from the primary world, golds, greens, and whites, they are “fresh” and “poignant,” as if he beheld the world for the first time. As Tolkien suggests, it is the world not as it is, but as it was meant to be seen; it is a recovery of the sacramental vision. The same indefiniteness of this experience of the beauty of Lothlorien is later echoed by Sam when he tries to explain his experiences of Lothlorien: “If there’s any magic about, it is right down deep, where I can’t lay my hands on it, in a manner of speaking.”

This indescribable quality of a felt experience is exactly that of the numinous consciousness described by Otto that “while it admits of being discussed, it cannot be strictly defined.” The reason why it is difficult to explain this experience is its positioning of two realities interfused with the landscape, one sacred, the other profane. In this sense it is also apocalyptic because what is “unveiled” is a transcendent reality which is reflected in the temporal world. It is similar to the animistic thinking of oral cultures where, as Marta Garcia de la Puerta states, “a specific object acquires worth and, in this way becomes real, because it takes part, in one way or another, in a reality that transcends it.”

Perceiving this sacred dimension of Middle-earth is life-affirming and involves what Curry views as a sensual appreciation for this world. In this respect, Lewis and Tolkien are similar: both authors desire to recover a sense of sacredness of this world through the vehicle of fantasy, and they achieve this through a consideration of a renewed perception of the world, whether it is Narnia or Middle-earth. Both works involve the second phase of the sublime moment, where the relationship to the habitual breaks down; this is reminiscent of Rosemary Jackson’s view that fantasy is subversive. However, in the authors’ visions, the transition to the third phase always follows, and it is this phase where a renewed relationship with the natural world may occur. As Tolkien states in his essay “On Fairy-Stories,” “in fantasy he may actually assist in the effoliation and multiple enrichment of creation.”

Such an appreciation for nature is a view of nature as part of a community, not as a commodity. This involves an appreciation of nature as it is, not for how it can be used.

54 Tolkien, Fellowship, 426.
57 Tolkien, The Tolkien Reader, 89.
Tolkien had this in mind in many of his scenes involving nature. In the chapter on Lothlorien, again, Frodo climbs up a tree to a flet with Haldir. Frodo’s experience of the feel of the tree is described thus: “never before had he been so suddenly and so keenly aware of the feel and texture of a Tree’s skin and of the life within it. He felt a delight in wood and the touch of it, neither as a forester nor as carpenter; it was the delight of the living Tree itself.” 58 The chapter on Lothlorien is, perhaps, the most moving chapter in relation to the love of the earth itself; in fact, it was the chapter that moved Tolkien the most, and he felt that the chapter had been written by someone else.

One of the most powerful qualities of the Lothlorien chapter is in its presentation of “Timelessness,” a quality which connects it to myth. This is most clearly seen after the company has left Lothlorien and are at a loss to account for the time spent there. Frodo tells Sam: “In that land, maybe, we were in a time that has elsewhere long gone by.” 59 Clearly Lothlorien is meant to symbolize an earthly paradise where time is entirely different from the rest of Middle-earth. This earthly paradise is similar to other images of perfection which Tolkien drew on for his work. Shippey points out that The Lord of the Rings is a “mediation” between Christian belief and a pre-Christian world, and Christian belief and the post-Christian world of Tolkien. Concerning the former, Tolkien’s image of earthly paradise is similar to the poem “Pearl,” a poem which had a particular appeal for Tolkien. In the poem, a father falls asleep on a mound, mourning for his dead daughter Margaret. As he dreams, he is given a vision of her across the river; he is, in effect, in an earthly paradise, where he experiences what Shippey terms “liminal uncertainty,” an awareness of the literal world, but also a consequent awareness of a deeper reality underlying the literal world, which is mythic in import. Tolkien is at his best with chapters such as Lothlorien because they show the natural world viewed from the sacramental vision. It is a vision which appreciates nature as it is, beautiful because it is “other.” Commenting on this point, Tolkien states in the Daily Telegraph, “Lothlorien is beautiful because there the trees were loved.” 60

However, even in this earthly paradise there exists Tolkien’s hint of despair. Most of the characters and settings in The Lord of the Rings face what Shippey calls “universal final

58 Tolkien, Fellowship, 415.
59 Tolkien, Fellowship, 457.
60 Tolkien, Letters, 419.
defeat”: the changed Shire, the Doom of the Ents, and the dwindling of the Elves. One is reminded of the fading of the beauties of Middle-earth through the character of Galadriel. She is the character who reminds the company of the inevitability of loss. When Galadriel allows Frodo a glance into her mirror, she explains to him what his coming means to Lothlorien:

Do you not see now wherefore your coming is to us as the footstep of Doom? For if you fail, then we are laid bare to the enemy. Yet if you succeed, then our power is diminished, and Lothlorien will fade, and the tides of time will sweep it away. We must depart into the West, or dwindle to a rustic folk of dell and cave, slowly to forget and to be forgotten.\(^{61}\)

This same sense of loss is seen when Galadriel gives her gift of earth to Sam for him to use for his garden if he ever makes it back to the Shire. She hopes that when he uses the earth for planting he will remember Lothlorien, even though he has only seen it in winter. She says, “For our spring and our summer are gone by, and they will never be seen on earth again save in memory.”\(^{62}\)

Passages such as these serve to point out that although Lothlorien is an earthly paradise, it too is subject to loss and final defeat, and Galadriel is one of the representations of this loss. This sense of loss is always in the background of \textit{The Lord of the Rings}. Universal final defeat is what most of the characters must face in one form or another, and the Third Age, that Age which gives readers beautiful glimpses of nature as seen through the sacramental vision, must give way to the Fourth Age, the Dominion of Men. In this way, \textit{The Lord of the Rings} acts as a “mediation” between Tolkien’s Christian belief and the post-Christian world in which he was living. Symbolically the mediation is represented by two characters, one who symbolizes the wonder inherent in the natural world, the other its destruction by means of technological advance: Treebeard and Saruman.

\textbf{Treebeard and Saruman}

Tolkien once stated in the \textit{Daily Telegraph}, “In all my works I take the part of trees as against all their enemies.”\(^{63}\) Certainly Tolkien’s “tree-love” (as one critic describes) is one of

\(^{61}\) Tolkien \textit{Fellowship}, 431.
\(^{62}\) Tolkien, \textit{Fellowship}, 443.
\(^{63}\) Tolkien, \textit{Letters}, 419.
the most vividly expressed sentiments in *The Lord of the Rings*, especially in the character of Treebeard and the Ents. As with all of Tolkien’s forest scenes, however, one must be on the constant alert. Tolkien never romanticizes nature, and this point is related to his expression of the numinous. It has been stated that, for Otto, the numinous is a sense of “holiness” in the original meaning of the word as that which inspires awe but is beyond such moral categories as “good” or “evil”; this is why, in fact, it is referred to as that which is non-rational. It is interesting that Treebeard never claims to be on the “side” of anybody. Pippen and Merry seem consumed by this point, constantly trying to figure out if Treebeard is willing to help in the quest. However, concerning such future events, Treebeard states, “I do not know about the future. I am not altogether on anybody’s side, if you understand me: nobody cares for the woods as I care for them, not even Elves nowadays.”

The fact that Treebeard does not care for “sides” shows that, like Tom Bombadil, his applicability resides in the fact that he symbolizes something which is beyond the rational, beyond the mere duality of “good” or “evil.” This unaligned quality has its origin in the numinous, that sense of awe which is feeling-oriented rather than part of a rational, Manichean universe. Furthermore, the encounter with the Ents is similar to the sections on Tom Bombadil and Lothlorien due to the emphasis on indescribability, a quality which has been argued as foundational both for a consideration of the numinous as well as the quality of wonder to which fantasy critics refer. Upon meeting Treebeard for the first time, Pippen is at pains to describe his encounter with the Ent:

> I don’t know, but it felt as if something that grew in the ground---asleep, you might say, or just feeling itself as something between root-tip and leaf-tip, between deep earth and sky had suddenly waked up, and was considering you with the same slow care that it had given its own inside affairs for endless years.

The indescribable quality which Pippen and Merry “feel” when they meet Treebeard is related to the awakening of the Ents, a process which was stared with the Elves who first started talking to trees but which was hindered by the “Great Darkness,” after which some of the trees began to get “sleepy.” It is an important environmental message which is at the center of the

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conflict between nature as wonderful and nature as utilitarian. This view of nature as utilitarian is revealed by the enemy, Saruman.

As Shippey points out, the etymology of the word Saruman traces its meaning to something similar to “cunning man,” and what he stands for is “a kind of mechanical ingenuity, smithcraft developed into engineering skills.” He is the voice of modernity, a “restless ingenuity, skill without purpose, bulldozing for the sake of change.” Saruman’s orcs aid him in his quest for ultimate power, and this quest for power Tolkien equates with a destruction of the environment. The orcs fell trees, often just for the sake of felling trees, and Saruman is referred to by the Ents as “tree-killer.” As Treebeard says of Saruman, “he has a mind of metal and wheels; and he does not care for growing things, except as far as they serve him for the moment.”

This type of attitude is what leads to an appropriation of nature, a utilitarian mindset in which nature is viewed as property without an intrinsic value in and of itself. Thus the Ents’ battle against this attitude of Saruman and his minions is an important environmental message. The attitude of “environmental owning” is precisely what keeps one from acquiring the sacramental vision. We cannot experience the sense of awe to which Otto refers unless we divorce ourselves from a possessive, utilitarian worldview. Thus Saruman is typical of Tolkien’s appropriative view of nature. As Shippey states, “the Sarumans of the real world rule by deluding their followers with images of a technological paradise in the future, a modernist utopia; but what one often gets...are the blasted landscapes of Eastern Europe, stripmined, polluted, and even radioactive.”

It is no wonder that *The Lord of the Rings* was published right when concerns for the environment were starting and that so many responded to its environmental message. In our day, when forests only cover less than 6% of the earth’s surface whereas they used to cover 60%, Treebeard represents that final struggle for nature against what Tolkien called the “machine-loving enemy.” As Elgin says, “Treebeard, as a part of nature, is concerned with

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68 Tolkien, *The Two Towers*, 76.
what any ecological system is concerned with, survival.\footnote{Elgin, \textit{The Comedy of the Fantastic}, 41.} What is validated in Tolkien’s \textit{The Lord of the Rings} is the survival of nature itself, in contradistinction to the appropriative, utilitarian attitude of Sauron and Saruman. This is similar to Elgin’s argument that the comic mode, which values the survival of the system, is more important in Tolkien’s vision than the domination of one technocrat. Thus Tolkien’s book is a validation of life itself, a validation of the survival of nature. Treebeard represents this survival of nature. Indeed, one may applaud Treebeard and the Ents’ battle for survival, especially due to the fact that they are successful at destroying Isengard and imprisoning Saruman in his own tower. However, even in the face of the survival of the system there is the ever-present hint of despair. Despite their victory, the Ents are also a part of the fading of Middle-earth.

The hint of despair associated with Treebeard and the Ents is that, as Treebeard states, there are very few Ents left in Middle-earth. Although the true Ents were awakened by the Elves in the distant past, there is reference to the “Great Darkness” which came, and the Elves “made songs about days that would never come again.”\footnote{Tolkien, \textit{The Two Towers}, 70.} Treebeard laments this same loss when he tells Pippen, “some of us are true Ents, and lively enough in our own fashion, but many are growing sleepy, going tree-ish, as you might say.”\footnote{Tolkien, \textit{The Two Towers}, 69.} So, despite the fact that the remaining Ents are awakened and have considerable success in their battle with Isengard and Saruman, one is reminded that the Third Age is drawing to a close, and that the Ents will slowly diminish, as is the fate of so many of the characters in \textit{The Lord of the Rings}. The recovery of nature cannot last; the sacramental vision must fade. Answering Aragorn’s wish that the forests will eventually grow again in peace, Treebeard laments “forests may grow...woods may spread. But no Ents. There are no Entings.”\footnote{Tolkien, \textit{The Return of the King}, (New York: Ballantine, 1955), 280.}

Of course, the fading of the Ents is related to the loss of the Entwives, which is recounted to Merry and Pippen during their stay with Treebeard. Although in a time past, the Ents and the Entwives existed together, eventually the two were sundered, and the Ents are unable to discover the whereabouts of the Entwives. As Treebeard relates the story to Merry and Pippen, he tells them about what he believes is their fate:
We believe that we may meet again in a time to come, and perhaps we shall find somewhere a land where we can live together and both be content. But it is foreboded that that will only be when we both have lost all that we now have. And it may well be that that time is drawing near at last. For if Sauron of old destroyed the gardens, the Enemy today seems likely to wither all the woods.

Thus the fate of the Ents seems bleak, and although these characters are Tolkien’s closest embodiment of the necessity of recovering a new relationship with nature through the sacramental vision, the message seems to be that, with the dominion of Men, all will be lost. As Treebeard states, “for the world is changing: I feel it in the water, I feel it in the earth, and I smell it in the air.” The sacramental vision must give way to the “machine-loving enemy.”

The Shire

The Shire has been left as the final point of discussion not because it lacks importance; on the contrary, the Shire is Tolkien’s lasting image of “home,” that for which the hobbits, throughout their journey, are constantly yearning. However, it is also with this image of “home” that one also experiences the ever-present theme of despair. As many critics have pointed out, the Shire represents Tolkien’s recreation of the pastoral, and the longing for some sort of “idealized land.” As Tolkien was well aware in his time, and as we are more so in our own time, the industrialization of our world divorces us from an experience of the sacramental vision, and there is more of a need to experience it within literary forms. Douglas A. Burger says in his article “The Shire: A Tolkien Version of Pastoral,” that “the pastoral is marked by a yearning for a simpler, more natural, more meaningful way of life.” Critics have pointed out that many of the images of the pastoral are presented at the beginning of The Lord of the Rings: the Shire is a peaceful place, the occupations are largely agricultural, the dwellings of the hobbits are within the earth (note that their short stature and bare feet connect them to the earth), and the Shire is largely unaffected by the outside world. Thus at the beginning of the novel, Tolkien immediately evokes a sense of home, and as the novel progresses, the Shire will embody a sense of nostalgia for this home.

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76 Tolkien, The Two Towers, 80.
77 Tolkien, The Return of the King, 281.
The Shire acts as a “foil” for other images of home in The Lord of the Rings. Burger further argues that we feel the wonder of such places as Fangorn forest or Lothlorien precisely because we have been introduced to the pastoralism of the Shire first. It is the first image of home which is recreated in fantastic forms over and over again: “its appeal is to the deep-rooted human desire for a more natural way of life, a simpler society, and a recovery of a sense of home.” In terms of the ecological arguments presented in this thesis, the Shire represents a closeness to nature, and the hobbits’ attitude is one of community, not of commodity.

However, as with all such images in Tolkien’s world, the Shire must also undergo change. As Don Elgin points out, even though nature is a powerful image in Tolkien’s Middle-earth, it cannot beat the abstraction of evil: “Nature is not enough: it can be destroyed by those who through carelessness or actual intent try to bend it to their own will.” The powers of evil, those of Sauron and Saruman, represent this threat to the natural world, and one feels this loss most poignantly in the chapter “The Scouring of the Shire.” It is the chapter which contains much of Tolkien’s own childhood experience, where the idealized landscape of his youth was transformed by the advance of industry.

The first real awareness of the scouring in the text is when the hobbits reach Bywater, their own country, and are confronted with the destruction of their land:

The pleasant row of old hobbit-holes in the bank on the north side of the Pool were deserted, and their little gardens that used to run down bright to the water’s edge were rank with weeds. Worse, there was a whole line of the ugly new houses all along Pool Side, where the Hobbiton Road ran close to the bank. An avenue of trees had stood there. They were all gone. And looking with dismay up the road towards Bag End they saw a chimney of brick in the distance. It was pouring out black smoke into the evening air.

The hobbits continue to be amazed at the destruction of their environment. Not only that, but they are at pains to understand the gates, guards, and the laws which have challenged the sense of simplicity which the Shire represents. Eventually they come to discover that all the destruction started with Pimple. Farmer Cotton says of this character that it, “seems he wanted...

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80 Don Elgin, The Comedy of the Fantastic, 40.
81 Tolkien, The Return of the King, 307.
to own everything himself, and then order the folk about." This echoes the idea of the appropriation of nature which Tolkien’s theories of fantasy counter; it represents nature as something to be used. Before long, Pimple’s attitude leads to a felling of trees, a building of houses and sheds, and a looting among the people. However, even though Pimple is responsible for beginning the scouring of the Shire, it eventually becomes clear that Sharkey, an appellation for Saruman, is to blame; thus, the tree-slayer is again responsible for the destruction of nature:

They’re always a-hammering and a-letting out a smoke and a stench, and there isn’t no peace even at night in Hobbiton. And they pour out filth a purpose; they’ve fouled all the lower water, and its getting down into the Brandywine. If they want to make the Shire into a desert, they’re going the right way about it. I don’t believe that fool of a Pimple’s behind all this. It’s Sharkey, I say.

Thus the threat to the Shire which Sharkey or Saruman represents is the same threat he represents to the Ents; it is a threat of appropriation, a sense of ownership or possession of nature, and it is that which dissociates one from a recovery of nature. The scouring of the Shire represents the effects of industrialization and the problem is quite bleak. It is true, however, that Tolkien validates the pastoral in the form of the Shire, and he similarly validates the role of Sam. Sam’s main concern, other than Frodo, is with the Shire, and it must be remembered that the last images in *The Lord of the Rings* are Sam, his wife Rosie, and their daughter Elanor. Don Elgin is thus correct in his contention that the comic mode is the final vision Tolkien leaves his readers with. The tragic figures, such as Aragorn, are important, but they are all subject to fading. Of course, as readers we know that the hobbits will eventually retreat as well, but we also know that the hobbits represent Tolkien’s final validation of nature, of the survival of life itself: “Hobbits know from the start of the novel about the relationship between themselves and nature, and they cannot rule over, dominate, or change it.”

In the end, however, what must be remembered is that most of the characters do confront universal final defeat. We know that the end of an age has come and that the world of Middle-earth will never be the same. Thus what images such as Tom Bombadil, Lothlorien,

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82 Tolkien, *The Return of the King*, 316.
83 Tolkien, *The Return of the King*, 318.
84 Elgin, *The Comedy of the Fantastic*, 51.
Treebeard, and the Shire represent is the nostalgia for a recovery of the sacramental vision; Tolkien’s fantasy is a way for images associated with nature to come to life, and for readers to participate in the sacramental vision, for however brief the duration. As argued throughout the study, fantasy’s subversion is what allows for nature to become real and for us to contemplate our relationship to nature in a new, more imaginative manner. As with Lewis’s *The Last Battle*, *The Lord of the Rings* provides readers with this relationship on the epic scale. The numinous, that which lies behind the sacramental vision, is to be seen in the outer landscape of the created world. Readers sense the numinous in *The Lord of the Rings*, and this is the reason why the text can be religious without containing any direct religious reference. However, even though the sacramental vision in *The Lord of the Rings* is an embodiment of Tolkien’s own theory of recovery, we also know that the age has come where these images of the close relationship to nature must give way to the Dominion of Man in the Fourth Age. This final hint of despair is presented when Aragorn, the king returned, is overlooking his own city with Gandalf by his side. Gandalf reminds him of the inevitability of change:

> This is your realm, and the heart of the greater realm that shall be. The Third Age of the world is ended, and the new age is begun; and it is your task to order its beginning and to preserve what may be preserved. For though much has been saved, much must now pass away; and the power of the Three Rings also is ended. And all the lands that you see, and those that lie round about them, shall be dwellings of Men. For the time comes of the Dominion of Men, and the Elder Kindred shall fade or depart.\(^{85}\)

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\(^{85}\) Tolkien, *The Return of the King*, 269.
CHAPTER SIX

THE SACRAMENTAL VISION: PERCEIVING THE WORLD ANEW

“The fantastic is a compensation that man provides for himself, at the level of imagination, for what he has lost at the level of faith”
---Maurice Levy

“Art and nature are more than just mirrors of each other; they are parts of the same biological impulse to life”
---Don Elgin

Critic Tom Shippey points out that the dominant literary mode of the twentieth century is the fantastic. Citing such texts as George Orwell’s Animal Farm and 1984, William Golding’s Lord of the Flies and The Inheritors, and Tolkien’s The Lord of the Rings, Shippey’s claim is easily extendible into the twenty-first century. More and more authors are employing the fantastic as a mode which departs from consensus reality due to the fact that this “impulse” expresses the deepest experiences which humans may encounter in literature; this is in direct contrast to the mimetic impulse, which fails to encompass all the varieties of experience available to us. Moreover, the fantastic impulse is equally shared with the reader who embraces this mode of literature more so today than in any other century. As proof of its widespread

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appeal, one need look no further than the cinematic productions of *Star Wars*, *The Matrix*, and *The Terminator*, or the recent literary resurgence of fantasy in the *Harry Potter* series or in Tolkien’s *The Lord of the Rings*.

By employing Kathryn Hume’s definition of fantasy as “any departure from consensus reality,” we were able to intentionally cast our nets wide for the purposes of incorporating many works in order to understand their appeal. Given this inclusive definition of fantasy, we can now place the present authors, Coleridge, MacDonald, Lewis and Tolkien, in a meaningful academic discourse and specifically relate them to the field of religious studies. What is most interesting concerning these authors is that, although each is distinctly Christian, all advocate the use of the imagination as a viable means of *experiencing* religion without the overt use of Christian doctrine or dogma. It is this religious experience which transcends dogma that is the defining element of mythopoeia; it is also this element to which readers of the past hundred years have responded so enthusiastically.

In her work *The Secret Life of Puppets*, Victoria Nelson states that “the arts, now regarded as homocentric secular territories ruled entirely by the imagination, have come to serve as a kind of unconscious wellspring of religion instead of the other way around.”

According to Nelson, nowadays we can locate our deepest religious impulses not in traditional religious practices but in the imagination itself. What this proves for Nelson is that our culture is undergoing a profound shift, from a previous emphasis on Aristotelian thinking, which embraces logic, to a Platonic idealism, where the deeper religious dimension is nonrational and underlies our basic assumptions concerning the reality of the world. Thus for Nelson, the religious impulses of our modern culture are not to be found in churches but recycled in the works of the imagination.

This shift towards Platonic idealism also underlies what has been a central focus of the present study: the nonrational. Concerning our present predicament, Nelson says, “The larger mainstream culture, via works of the imagination instead of official creeds, subscribes to a nonrational, supernatural quasi-religious view of the universe: pervasively, but behind our backs.”

This emphasis on the nonrational has been analyzed in detail by Rudolf Otto in his text *The Idea of the Holy*. It has been our task to extend Otto’s discussion, which largely

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pertains to traditional religious forms, to the wider realm of the imagination. If Nelson is correct in her argument that works of the imagination offer a recycled form of religious expression, then it is no wonder that readers respond so powerfully to the mythopoeic authors considered in this study. The nonrational factor contained within these works evokes a numinous consciousness, the unique feeling-oriented experience of divine reality which transcends our rational knowledge; it is that quality of “holiness” from which we experience a sense of “awe” beyond such concepts as good or evil. Being an apprehension of divine reality not covered by our rational knowledge, it is indefinable or indescribable; it may only be evoked through symbols which reflect the experience. The indescribable nature of the experience, as well as its sense of “awe” or “wonder,” is acknowledged by fantasy critics and authors, so the application of Otto’s concept of the numinous is effective in revealing the religious quality of these secular works.

So, what is the practical application of such an analysis of fantasy literature? Our proposed answer is that it can contribute to the growing field of Ecocriticism. Scholars in this field are interested in how nature is constructed and how these constructions affect our worldview; however, such concerns have been largely relegated to nonfiction, works by nature writers such as Thoreau, Muir, Abbey, and Dillard. The present study attempts to widen the field of Ecocriticism to include imaginative literature as both reflecting and challenging how we perceive the natural world. It seeks to expand the environmental applications of Don Elgin’s book The Comedy of the Fantastic, which discusses the literary modes of tragedy and comedy, to a consideration of the unique religious implications these fantasy works have for the way nature is perceived.

Concerning environmental problems, Elgin discusses an important point related to our failed attempts at avoiding environmental destruction. He says that these matters are not solved by an increase in technology, which merely shifts the problem. On the contrary, what needs to be challenged are our most basic assumptions about the environment itself. Environmental crises, he states, “are logical end results of the central attitudes western humanity has developed and propagated about the relationship between itself and its environment.” These attitudes

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about our separation from nature, Elgin claims, stem from three basic areas: religion, especially western Christianity; the shift from a hunter-gatherer society to an agricultural society; and, the French and Industrial Revolutions. Our present concern is the effect that Christianity has on our authors. By analyzing the religious dimension, specifically the Christian, we are confronted with a basic problem which seems to be solved by mythopoetic fantasy.

In terms of religion, the most destructive piece of literature from an ecocritical perspective is in the biblical account of creation in Genesis. Many environmental critics argue that God’s command to have “dominion” over the earth is a carte-blanche to utilize the earth for human purposes. As Roderick Nash points out in his article “The Greening of Religion,” the Hebrew words in consideration are kabash and radah, both of which are used in the Bible as related to violent assaults or crushing; they also relate to enslavement and conjure up images of a conqueror with his foot on the neck of the enemy.\footnote{Roderick Nash, “The Greening of Religion,” in \textit{This Sacred Earth: Religion, Nature, Environment} (ed. Roger S. Gottlieb; New York: Routledge, 1996), 196.}

The problem with Genesis is that it sets up what Joseph Campbell terms “mythic dissociation,” a view of the universe which is shared among the Judeo-Christian religions. Ultimately, it sets up a differentiation between God, humans, and nature, where no sense of identity between the three is conceived. According to Campbell, once one subscribes to this particular view of the universe, the mystical function of myth, that which provides the sense of awe, disappears. As Campbell argues, this function of myth is the most important function; he states, “myth opens the world to the dimension of mystery, to the realization of the mystery which underlies all forms. If you lose that, you don’t have a mythology.”\footnote{Joseph Campbell, \textit{The Power of Myth with Bill Moyers} (ed. Betty Sue Flowers; New York: Doubleday, 1988), 31.} If Nelson is correct, and we now find our deepest religious impulses in literature, then we ought to look in this direction to see if our lost mythology reappears there.

Similar criticism of Christianity is seen in activist groups such as Greenpeace and Earth First!, the latter of which has as its central priority “to protect and restore wilderness because undisturbed wilderness provides the necessary genetic stock for the very continuance of evolution.”\footnote{Bron Taylor, “Earth First!: From Primal Spirituality to Ecological Resistance,” in \textit{This Sacred Earth: Religion, Nature, Environment} (ed. Roger S. Gottlieb; New York: Routledge, 1996), 47.} Many of the advocates of such groups look to other religious traditions for

guidance, such as the Native American, Buddhist, and Taoist, which espouse a sense of interrelatedness that they feel is not present in Christianity. Many within these groups are quite hostile to the Christian worldview; as Bron Taylor says of Earth First!, “Virtually all of today’s Earth First!ers believe patriarchy, hierarchy, and anthropocentrism reflect related forms of domination that destroy the natural world.”

The sense of Christianity’s anthropocentrism has led to much controversy, instigated by Lynn White’s famous essay, “The Historical Roots of our Ecological Crisis.” Arguing that Christianity “bears a huge burden of guilt” for environmental destruction, White believed that since this anthropocentrism promoted our separation from nature, we must rethink how we perceive the natural world. He states, “since the roots of our trouble are so largely religious, the remedy must also be essentially religious, whether we call it that or not.” Thus contrary to the widespread belief that White was attempting to destroy religion, he concludes the essay by advocating a reform of its most basic premises. He suggests looking to St. Francis as an ecologically sound alternative to the Christian dilemma. This leads us now to a fascinating possibility: if our culture is now looking for religious experiences in imaginative literature rather than in traditional religion, why not look at Christian fantasies to see if they provide viable alternatives to the way we perceive the natural world?

Such a move, if successful, puts into question all the previous concerns about Christianity being at fault for environmental problems. In their own distinct way, each of the authors in the present study was deeply influenced by the Christian worldview, but their fantasies betray a concern for the way nature is perceived and a need for a revisioning or resacralizing of the world. In the introductory chapter, this need for revision was discussed within the context of Kathryn Hume’s work *Fantasy and Mimesis*. She relegates fantasy to four different modes---illusion, vision, revision, and disillusion---which are effective ways of understanding the concerns of the present authors. However, she herself fails to provide a thorough discussion of any of our present authors, and some, such as Tolkien, she categorizes incorrectly. It has been our present concern to locate all of our authors within Hume’s mode of

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8 Taylor, “Earth First!” 554.
“revision,” because they provide new ways of experiencing and ordering our reality and, in the context of ecocriticism, these new ways help resacralize nature.

More specifically, fantasy aids in the revisioning of the natural world due to its subversive function. According to Rosemary Jackson, in her book *Fantasy: the Art of Subversion*, fantasy allows for a total breakdown between distinctions such as animal, vegetable, and mineral; our normative modes of perception are undermined. As she argues in her book, fantasy traces what is “unsaid” or “unseen” in a culture. In this sense, fantasy does not escape reality but attempts to make reality strange: “fantasy is not to do with inventing another non-human world; it is not transcendental. It has to do with inverting elements of this world, re-combining its constitutive features in new relations to produce something strange, unfamiliar, and apparently ‘new,’ absolutely ‘other’ and different.”

Jackson’s concept of subversion helps to explain the need of the four fantasy authors to depart from reality in order for a revisioning of the natural world to take place. In one way or another, these authors believe that they are not transcending this world for mere escapism; on the contrary, they are recombining elements of this world in order to help the reader see the world as it was meant to be seen, as an infusion of the numinous. Thus what fantasy really helps us escape is the not this world but our perceptions of it as being devoid of any religious value. Coleridge describes this as an escape from the “lethargy of custom”; MacDonald believes art rescues us from our “weary and sated regards”; Lewis advises that “if you are tired of the real landscape, look at it in a mirror”; and, finally, Tolkien argues that the imagination relieves us from the “drab blur of triteness, of familiarity.”

In terms of ecocriticism, this subversive element in fantasy is extremely important. Many of the environmental critics discussed in chapter one argue for a learning of a new language, or a new way of thinking about our relationships to nature. Fantasy is already a viable means of achieving this. By its subversiveness, through which it departs from consensus reality, it offers an imaginative engagement with that which is non-human. Thus art and nature reflect each other. As Don Elgin states, “the apprehension of beauty in art is built on the same principles as apply to nature.”

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In the present focus upon Coleridge, MacDonald, Lewis and Tolkien, what is at the forefront concerning their similarities is the presentation of the numinous within the fantastic forms, each time in a way that attempts to revise our attitudes toward the natural world. However, each author is distinct in the presentation of the fantastic. Here it is perhaps best to quote Colin Manlove in his Christian Fantasy; it is the same quote which concluded the introductory chapter, and it is used here to restate our method of distinguishing between Coleridge and MacDonald on the one hand, and Lewis and Tolkien on the other:

> When we say that they are all Christians, the common denominator is finally the particular sense of the numinous in the story---we are dealing now with Christian fantasies which are so not only by virtue of the patterns of Christian belief and narrative in them, but also through the inculcation of a feeling, an attempt to make us thrill imaginatively to a divine reality both near and far, both with us and other.\[12\]

Employing the distinction between “inner” and “outer” divine reality, we have analyzed Coleridge’s The Rime of the Ancient Mariner and MacDonald’s Phantastes as concerned with the realm of the inner. Each of these works deals with the theme of the annihilation or death of the self in order for this divine reality to be perceived, a theme related to Otto’s discussion of the numinous because the numinous can only be apprehended by a loss of identity. As Philip C. Almond says, “the recognition of the objective value of the numen is accompanied by a corresponding devaluation of the self, and existence in general.”\[13\] In Coleridge’s The Rime, the death of the self mirrors the biblical theme of fall and redemption. The Mariner shoots the albatross and his fall is related to the first utterance of a sense of identity: “I shot the albatross.” This action instigates his mythic dissociation, where once a sense of self develops as a result of the crime against nature, he is cursed. His redemption, however, occurs as he is able to experience the beauty of the water snakes; he blesses all God’s creature because he has identified with a higher, more divine reality than his finite self allows.

In Phantastes, George MacDonald betrays the same focus on the inner dimension of the numinous but in a less allegorical manner. The death of the self theme occurs within the wider

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context of Romantic love. Through his many pursuits of his ideal lady, the figure who embodies the numinous or divine reality, Anodos must continually learn to give up his sense of identity in order to serve his lady. It is only when he finally gives up his life for his lady, literally, that he is able to experience the divine reality from which his self has excluded him. This over-reliance on the self is similarly revealed with Anodos’ encounter with the Shadow, the character who divorces Anodos from his many encounters with the wonders of fairyland which, in our argument, are encounters with the numinous itself. Again, it is only when Anodos realizes the importance of being humble or lowly that he is able to transcend his finite self to an experience of the higher reality of the numinous.

The annihilation of the self theme in both Coleridge and MacDonald’s texts is relevant for the experience of the numinous as well as for revisioning the perceptions of the natural world. It has already been pointed out that the perception of the numinous is accompanied by a devaluation of the self; *The Rime* as well as *Phantastes* employ this theme in order to present the reader with a certain *experiential* mode of perception. This, in turn, helps in a revisioning of the natural world because the boundaries of the self are challenged, which is precisely the ideological goal of ecocriticism. In challenging the sense of identity, the boundaries of the self are enlarged and the whole world may be perceived as containing the sacramental vision. As Roger S. Gottlieb states in his article “Spiritual Deep Ecology and the Left: An Attempt at Reconciliation,” “a spiritual perspective suggests that only with this discovery of a sense of selfhood beyond the ego can we become released from the ego’s compulsions and inevitable disappointments.”

What is interesting is that this death or annihilation of the self is traditionally more characteristic of Eastern Religions such as Taoism or Buddhism. In fact, many of the environmental critics who are hostile to Christianity’s anthropocentrism look to Eastern religions for a new way of perceiving the world untainted by the self. Referring to this turn to Eastern religions, Roderick Nash says, “by advocating the submergence of the human self in a larger organic whole, they cleared the way for environmental ethics.” However, what is to be

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gained by the present study is the realization that the death or annihilation of the self which helps in perceiving the numinous is not the exclusive claim of Eastern religions; in fact, there are unique contributions to environmental revisioning by authors who are largely influenced by the Christian worldview. So, if Nelson is correct, and our culture is shifting to a more Platonic worldview via works of the imagination, we should widen Lynn White’s suggestion of looking to St. Francis to a consideration of such mythopoeic authors as Coleridge, MacDonald, Lewis and Tolkien.

If Coleridge and MacDonald place their emphasis on the “inner” problems of perceiving the sacramental vision, then Lewis and Tolkien place their emphasis on the “outer,” that is, the numinous as it exists on a more epic scale. Mineko Honda suggests the reason for this emphasis on the “outer” is that for Lewis, and by extension Tolkien, God is absolutely “other,” and although the imagination is a means by which God reveals himself, God cannot be directly known; this is in contrast to the slightly different approach of Coleridge and MacDonald who, with their emphasis on problems of the self, tend to be a bit more mystical. Mystical approaches typically accept the possibility of full merging with the divine. However, the bridge which connects both sets of authors is that their fantasies are apocalyptic in the sense that their theoretical structures are meant to “unveil” or “reveal” the transcendent. It is Lewis, however, in *The Last Battle*, who hews most closely to traditional Christian thought when he allegorically portrays how the present perceptions of the world, and in fact the world itself, must be ultimately destroyed in order for the sacramental vision.

Lewis’s apocalyptic vision is more direct than the other authors because he structures the book around the biblical text of Revelation. By creating a fantastic context where the “old” Narnia is destroyed and replaced by a “new” Narnia, Lewis betrays a Platonic influence, where this world is a mere shadow or copy of a reality which is somewhere more real. It is only when the characters go “further up and further in” to this new Narnia that they are in a perfected paradise. Thus in Lewis’s theological worldview, the sense of longing or *sehnsucht* is what keeps this vision of the perfected world in the here and now. As Eliane Tixier has argued, the result of this longing is always accompanied by the ability of seeing the “footsteps of the divine” in this world. Therefore, even though Lewis’s vision is much more epic than Coleridge and MacDonald’s, it is still related to how the world is perceived here and now. This has been
illustrated by the dwarfs, whose motto “the Dwarfs are for the Dwarfs,” betrays an over-reliance on the self and keeps them from perceiving the wonders of the “new” Narnia. According to Aslan, they have been imprisoned in their own minds.

Tolkien’s detestation of allegory is largely what separates his vision from that of Lewis. Tolkien believed that what was most important was “applicability” rather than strict allegory, so the numinous as it is perceived in Middle-earth tends to be more direct since it concerns itself with a sense of awe at the created world and not necessarily a transcendence of it. Nonetheless, as with Lewis, Tolkien projects his ideas of the numinous on the outward world with such characters as Tom Bombadil and Treebeard, and with such settings as Lothlorien and the Shire. These characters and settings are “applicable” because they are manifestations of Tolkien’s theory of recovery, that they represent how the world was meant to be seen. However, as awe-inspiring as these characters and settings are, they are subject to fading. Thus, in a similar manner, both Lewis and Tolkien’s visions partake in the theme of ultimate loss, although the loss comes more gradually for the latter.

Some environmental critics believe that to lose the environment is to lose our sense of God. However, each of the present authors, in both their theories and in their art, show that neither of these elements, the environment or God, need be lost. We can awaken our experience of both through the imagination, which seeks to resacralize the world. This approach is useful in that it attempts to interpret the world as a symbolic disclosure of the divine mystery which, in this argument, is ultimately nonrational and experiential. In their works, Coleridge, MacDonald, Lewis and Tolkien advocate this experience through their imaginative forms because, for some, traditional religious forms are no longer adequate. For the authors, the experience of the numinous in the form of the sacramental vision is what transforms nature from an object which must be appropriated to a mediator of wonder. According to many fantasy critics, wonder is the defining element of fantasy and its greatest gift; it is a spiritual good which cannot be lost. Thus fantasy, by making the familiar seem both strange and wondrous, gives us a new language to encounter the natural world around us in a new way through experiences which awaken our sense of awe, humility, and respect for the mystery which finally transcends both ourselves and our world.
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BIOGRAPHICAL SKETCH

Christopher S. Brawley was born in Charlotte, North Carolina in 1969. In 1991, he received his B.A. from the University of North Carolina at Charlotte, with a major in English and a minor in Religious Studies. He completed an M.A. in English at the same institution in 1993, with a concentration in mythology. His thesis is entitled “Mythopoesis: The Hero’s Quest in C.S. Lewis’ Perelandra,” and is a preliminary study of the connections between myth, fantasy and religion. He currently teaches World Mythology, World Religion, and various courses in the English Department at Central Piedmont Community College.